

Statistical Sentence Condensation using Ambiguity Packing and Stochastic Disambiguation Methods for Lexical-Functional Grammar

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Abstract

We present an application of ambiguity packing and stochastic disambiguation techniques for Lexical-Functional Grammars (LFG) to the domain of sentence condensation. Our system incorporates a linguistic parser/generator for LFG, a transfer component for parse reduction operating on packed parse forests, and a maximum-entropy model for stochastic output selection. Furthermore, we propose the use of standard parser evaluation methods for automatically evaluating the summarization quality of sentence condensation systems. An experimental evaluation of summarization quality shows a close correlation between the automatic parse-based evaluation and a manual evaluation of generated strings. Overall summarization quality of the proposed system is state-of-the-art, with guaranteed grammaticality of the system output due to the use of a constraint-based parser/generator.

1 Introduction

Recent work in statistical text summarization has put forward systems that do not merely extract and concatenate sentences, but learn how to generate new sentences from $\langle \textit{Summary}, \textit{Text} \rangle$ tuples. Depending on the chosen task, such systems either generate single-sentence “headlines” for multi-sentence text (Witbrock and Mittal, 1999), or they provide

a sentence condensation module designed for combination with sentence extraction systems (Knight and Marcu, 2000; Jing, 2000). The challenge for such systems is to guarantee the grammaticality and summarization quality of the system output, i.e. the generated sentences need to be syntactically well-formed and need to retain the most salient information of the original document. For example a sentence extraction system might choose a sentence like:

The UNIX operating system, with implementations from Apples to Crays, appears to have the advantage.

from a document, which could be condensed as:

UNIX appears to have the advantage.

In the approach of Witbrock and Mittal (1999), selection and ordering of summary terms is based on bag-of-words models and n -grams. Such models may well produce summaries that are indicative of the original’s content; however, n -gram models seem to be insufficient to guarantee grammatical well-formedness of the system output. To overcome this problem, linguistic parsing and generation systems are used in the sentence condensation approaches of Knight and Marcu (2000) and Jing (2000). In these approaches, decisions about which material to include/delete in the sentence summaries do not rely on relative frequency information on words, but rather on probability models of subtree deletions that are learned from a corpus of parses for sentences and their summaries.

A related area where linguistic parsing systems have been applied successfully is sentence simplification. Grefenstette (1998) presented a sentence reduction method that is based on finite-state technology for linguistic markup and selection, and Carroll et al. (1998) present a sentence simplification system based on linguistic parsing. However, these approaches do not employ statistical learning techniques to disambiguate simplification decisions, but rather iteratively apply symbolic reduction rules, producing a single output for each sentence.

The goal of our approach is to apply the fine-grained tools for stochastic disambiguation in Lexical-Functional Grammar parsing to the task of sentence condensation. The system presented in this paper is conceptualized as a tool that can be used as a standalone system for sentence condensation or simplification, or in combination with sentence extraction for text-summarization beyond the sentence-level. In our system, to produce a condensed version of a sentence, the sentence is first parsed using a broad-coverage LFG grammar for English. The parser produces a set of functional (f)-structures for an ambiguous sentence in a packed format. It presents these to the transfer component in a single packed data structure that represents in one place the substructures shared by several different interpretations. The transfer component operates on these packed representations and modifies the parser output to produce reduced f -structures. The reduced f -structures are then filtered by the generator to determine syntactic well-formedness. A stochastic disambiguator using a maximum entropy model is trained on parsed and manually disambiguated f -structures for pairs of sentences and their condensations. Using the disambiguator, the string generated from the most probable reduced f -structure produced by the transfer system is chosen. In contrast to the approaches mentioned above, our system guarantees the grammaticality of generated strings through the use of a constraint-based generator for LFG which uses a slightly tighter version of the grammar than is used by the parser. As shown in an experimental evaluation of the system output, summarization quality of our system is high, due to the combination of linguistically fine-grained analysis tools and expressive stochastic disambiguation models.

A second goal of our approach is to apply the standard evaluation methods for parsing to an automatic evaluation of summarization quality for sentence condensation systems. Instead of deploying costly and non-reusable human evaluation, or using automatic evaluation methods based on word error rate or n -gram match, summarization quality can be evaluated directly and automatically by matching reduced f -structures produced by the system against manually selected f -structures of a set of manually created condensations. Such an evaluation only requires human labor for the construction and manual structural disambiguation of a reusable gold standard test set. Matching against the test set can be done automatically and rapidly, and is repeatable for development purposes and system comparison. As shown in an experimental evaluation, a close correspondence can be established for rankings produced by the proposed f -structure based automatic evaluation and a manual evaluation of generated strings.

2 Statistical Sentence Condensation in the LFG Framework

In the following, each of the system components will be described in more detail.

2.1 Parsing and Transfer

In this project, a broad-coverage LFG grammar and parser for English was employed (see Riezler et al. (2002)). The parser produces a set of context-free constituent (c)-structures and associated functional (f)-structures for each input sentence, represented in packed form (see Maxwell and Kaplan (1989)). For sentence condensation we are only interested in the predicate-argument structures encoded in f -structures. For example, Fig. 1 shows an f -structure manually selected out of the 40 f -structures for the sentence:

A prototype is ready for testing, and Leary hopes to set requirements for a full system by the end of the year.

The transfer component for the sentence condensation system is based on a component previously used in a machine translation system (see Frank (1999)). In this application, it consists of an ordered set of rules that rewrite one f -structure into another. Structures are broken down into flat lists of

```

[FRED {bc[93:readyp[30:prototype]}
  [FRED 'prototype'
    NTTYPE [BRAIN count]
  ]
SUBJ {
  SPEC {DET [FRED 'a'
    [DET-FORM a, DET-TYPE inde]]}
  30CASE nom, NUM sg, PERS 3
}
[FRED 'ready[30:prototype]'
XCOMP {
  SUBJ [30:prototype]
  93ADGREE positive, ATYPE predicative
}
[
  [FRED 'forc[141:testp'
    [FRED 'test'
      OBJ NTTYPE [BRAIN gerund]
      141CASE acc, NUM sg, PERS 3, PFORM for, VTTYPE main]
    125ADV-TYPE vpadv, PSEM unspecified, PTTYPE sem
  ]
  TNS-ASP MOOD indicative, PERF --, PROG --, TENSE pres]
PASSIVE -, STRE-TYPE decl, VTTYPE copular
79 {252:hope}
[FRED 'hope[235:Leary], [280:setp'
  [FRED 'Leary'
    SUBJ NTTYPE [BRAIN proper
      NSEN [PROPER name]
    ]
    235AMIN --, CASE nom, NUM sg, PERS 3
  ]
  [FRED 'set[235:Leary], [336:requirement], [355:forp'
    SUBJ {
      SPEC {DET [FRED 'a'
        [DET-FORM a, DET-TYPE inde]]}
      336CASE acc, NUM pl, PERS 3
    }
    [FRED 'requirement'
      OBJ NTTYPE [BRAIN unspecified]
    ]
    336CASE acc, NUM pl, PERS 3
  ]
  [FRED 'forc[391:systemp'
    [FRED 'system'
      ADJUNCT {
        [FRED 'full'
          391ADGREE positive, ADJUNCT-TYPE nominal, ATTYPE attributive]
        [FRED 'year'
          NTTYPE [BRAIN count]
        ]
      ]
      SPEC {DET [FRED 'a'
        [DET-FORM the, DET-TYPE inde]]}
      391CASE acc, NUM sg, PERS 3, PFORM for
    }
    355PSEM unspecified, PTTYPE sem
  ]
}
XCOMP {
  [FRED 'byc[469:endp'
    [FRED 'end'
      [FRED 'ofc[519:yearp'
        [FRED 'year'
          NTTYPE [BRAIN count]
        ]
      ]
      ADJUNCT {
        OBJ SPEC {DET [FRED 'the'
          [DET-FORM the, DET-TYPE det]]}
          519CASE acc, NUM sg, PERS 3, PFORM of
        }
        612ADJUNCT-TYPE nominal, PSEM unspecified, PTTYPE sem
      ]
      NTTYPE [BRAIN count]
    }
    SPEC {
      [FRED 'the'
        [DET-FORM the, DET-TYPE det]]
      469CASE acc, NUM sg, PERS 3, PFORM by
    }
    451ADV-TYPE vpadv, PSEM unspecified, PTTYPE sem
  ]
  TNS-ASP [PERF --, PROG --]
  280[NO-FORM to, PASSIVE -, VTTYPE main]
  TNS-ASP MOOD indicative, PERF --, PROG --, TENSE pres]
PASSIVE -, STRE-TYPE decl, VTTYPE main
252[PASSIVE -, STRE-TYPE decl, VTTYPE main]
197COORD --, COORD-FORM and, COORD-LEVEL ROOT

```

facts, and rules may add, delete, or change individual facts. Rules may be optional or obligatory. In the case of optional rules, transfer of a single input structure may lead to multiple alternate output structures. The transfer component is designed to operate on packed input from the parser and can also produce packed representations of the condensation alternatives, using methods adapted from parse packing.¹

```
+adjunct(X,Y), in-set(Z,Y)  ?=>
delete-node(Z,r1), rule-trace(r1,del(Z,X)).
```

¹The packing feature of the transfer component could not be employed in these experiments since the current interface to the generator and stochastic disambiguation component still requires unpacked representations.

Rules used in the sentence condensation transfer system include the optional deletion of all adjuncts except negatives (e.g., *He slept in the bed.* can become *He slept.*, but *He did not sleep.* cannot become *He did sleep.* or *He slept.*), the optional deletion of parts of coordinate structures (e.g., *They laughed and giggled.* can become *They giggled.*), and simplifications (e.g. *It is clear that the earth is round.* can become *The earth is round.*). For example, one possible post-transfer output of the sentence in Fig. 1 is shown in Fig. 2.

```

    PRED      'be<[93:ready>[30:prototype]'
```

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2.2 Stochastic Selection and Generation

The transfer rules are independent of the grammar and are not constrained to preserve the grammaticality or well-formedness of the reduced f -structures. Some of the reduced structures therefore may not correspond to any English sentence, and these are eliminated from future consideration by using the generator as a filter. The filtering is done by running each transferred structure through the generator to see whether it produces an output string. If it does not, the structure is rejected. For example, for the f -structure in Fig. 1, the transfer system proposed 32 possible reductions. After filtering these structures by generation, 16 reduced f -structures com-

prising possible condensations of the input sentence survive. The 16 well-formed structures correspond to the following strings that were outputted by the generator (note that a single structure may correspond to more than one string and a given string may correspond to more than one structure):

A prototype is ready.
A prototype is ready for testing.
Leary hopes to set requirements for a full system.
A prototype is ready and Leary hopes to set requirements for a full system.
A prototype is ready for testing and Leary hopes to set requirements for a full system.
Leary hopes to set requirements for a full system by the end of the year.
A prototype is ready and Leary hopes to set requirements for a full system by the end of the year.
A prototype is ready for testing and Leary hopes to set requirements for a full system by the end of the year.

After filtering by the generator, the remaining f -structures were weighted by the stochastic disambiguation component. Similar to stochastic disambiguation for constraint-based parsing (Johnson et al., 1999; Riezler et al., 2002), an exponential (a.k.a. log-linear or maximum-entropy) probability model on transferred structures is estimated from a set of training data. The data for estimation consists of pairs of original sentences y and gold-standard summarized f -structures s which were manually selected from the transfer output for each sentence. For training data $\{(s_j, y_j)\}_{j=1}^m$ and a set of possible summarized structures $S(y)$ for each sentence y , the objective was to maximize a discriminative criterion, namely the conditional likelihood $L(\lambda)$ of a summarized f -structure given the sentence. Optimization of the function shown below was performed using a conjugate gradient optimization routine:

$$L(\lambda) = \log \prod_{j=1}^m \frac{e^{\lambda \cdot f(s_j)}}{\sum_{s \in S(y_j)} e^{\lambda \cdot f(s)}}.$$

At the core of the exponential probability model is a vector of property-functions f to be weighted by parameters λ . For the application of sentence condensation, 13,000 property-functions of roughly three different categories were used:

- Property-functions indicating attributes, attribute-combinations, or attribute-value pairs for f -structure attributes ($\approx 1,000$ properties)

- Property-functions indicating cooccurrences of verb stems and subcategorization frames ($\approx 12,000$ properties)
- Property-functions indicating transfer rules used to arrive at the reduced f -structures (≈ 60 properties).

A trained probability model is applied to unseen data by selecting the most probable transferred f -structure, yielding the string generated from the selected structure as the target condensation. The transferred f -structure chosen for our current example is shown in Fig. 3.

"A prototype is ready."

PRED	'be<[93:ready]>[30:prototype]'
	PRED 'prototype'
	NTYPE [GRAIN count]
SUBJ	SPEC [DET [PRED 'a' DET-FORM a, DET-TYPE indef]]]
	30[CASE nom, NUM sg, PERS 3]
XCOMP	PRED 'ready<[30:prototype]'
	SUBJ [30:prototype]
	93[ADEGREE positive, ATYPE predicative]
TNS-ASP	[MOOD indicative, PERF -, PROG -, TENSE pres]
73	PASSIVE -, STMT-TYPE decl, VTYPE copular

Figure 3: Transferred f -structure chosen by system.

This structure was produced by the following set of transfer rules, where `var` refers to the indices in the representation of the f -structure:

```
rtrace(r13,keep(var(98),of)),
rtrace(r161,keep(system,var(85))),
rtrace(r1,del(var(91),set,by)),
rtrace(r1,del(var(53),be,for)),
rtrace(r20,equal(var(1),and)),
rtrace(r20,equal(var(2),and)),
rtrace(r2,del(var(1),hope,and)),
rtrace(r22,delb(var(0),and)).
```

These rules delete the adjunct of the first conjunct (*for testing*), the adjunct of the second conjunct (*by the end of the year*), the rest of the second conjunct (*Leary hopes to set requirements for a full system*), and the conjunction itself (*and*).

3 A Method for Automatic Evaluation of Sentence Summarization

Evaluation of quality of sentence condensation systems, and of text summarization and simplification systems in general, has mostly been conducted as intrinsic evaluation by human experts. Recently, Papineni et al.'s (2001) proposal for an automatic eval-

uation of translation systems by measuring n -gram matches of the system output against reference examples has become popular for evaluation of summarization systems. In addition, an automatic evaluation method based on context-free deletion decisions has been proposed by Jing (2000). However, for summarization systems that employ a linguistic parser as an integral system component, it is possible to employ the standard evaluation techniques for parsing directly to an evaluation of summarization quality. A parsing-based evaluation allows us to measure the semantic aspects of summarization quality in terms of grammatical-functional information provided by deep parsers. Furthermore, human expertise was necessary only for the creation of condensed versions of sentences, and for the manual disambiguation of parses assigned to those sentences. Given such a gold standard, summarization quality of a system can be evaluated automatically and repeatedly by matching the structures of the system output against the gold standard structures. The standard metrics of *precision*, *recall*, and *F-score* from statistical parsing can be used as evaluation metrics for measuring matching quality: *Precision* measures the number of matching structural items in the parses of the system output and the gold standard, out of all structural items in the system output's parse; *recall* measures the number of matches, out of all items in the gold standard's parse. *F-score* balances precision and recall as $(2 \times \textit{precision} \times \textit{recall}) / (\textit{precision} + \textit{recall})$.

For the sentence condensation system presented above, the structural items to be matched consist of *relation*(*predicate*, *argument*) triples. For example, the gold-standard f -structure of Fig. 2 corresponds to 23 dependency relations, the first 14 of which are shared with the reduced f -structure chosen by the stochastic disambiguation system:

```
tense(be:0, pres),
mood(be:0, indicative),
subj(be:0, prototype:2),
xcomp(be:0, ready:1),
stmt_type(be:0, declarative),
vtype(be:0, copular),
subj(ready:1, prototype:2),
adegree(ready:1, positive),
atype(ready:1, predicative),
det(prototype:2, a:7),
num(prototype:2, sg),
pers(prototype:2, 3),
det_form(a:7, a),
```

```
det_type(a:7, indef),
adjunct(be:0, for:12),
obj(for:12, test:14),
adv_type(for:12, vpadv),
psem(for:12, unspecified),
ptype(for:12, semantic),
num(test:14, sg),
pers(test:14, 3),
pform(test:14, for),
vtype(test:14, main).
```

Matching these f -structures against each other corresponds to a precision of 1, recall of .61, and F-score of .76.

The fact that our method does not rely on a comparison of the characteristics of surface strings is a clear advantage. Such comparisons are bad at handling examples which are similar in meaning but differ in word order or vary structurally, such as in passivization or nominalization. Our method handles such examples straightforwardly. Fig. 4 shows two serialization variants of the condensed sentence of Fig. 2. The f -structures for these examples are similar to the f -structure assigned to the gold standard condensation shown in Fig. 2 (except for the relations **ADJUNT-TYPE:parenthetical** versus **ADV-TYPE:vpadv** versus **ADV-TYPE:sadv**). An evaluation of summarization quality that is based on matching f -structures will treat these examples equally, whereas an evaluation based on string matching will yield different quality scores for different serializations.

In the next section, we report experimental results of an automatic evaluation of the sentence condensation system described above, and show a close correspondence between the automatically produced evaluation results and human judgments on the quality of generated condensed strings.

4 Experimental Evaluation

The sentences and condensations we used are taken from data for the experiments of Knight and Marcu (2000), which were provided to us by Daniel Marcu. These data consist of pairs of sentences and their condensed versions that have been extracted from computer-news articles and abstracts of the Ziff-Davis corpus. Out of these data, we parsed and manually disambiguated 500 sentence pairs. These included a set of 32 sentence pairs that were used for testing purposes in Knight and Marcu (2000). In order to control for

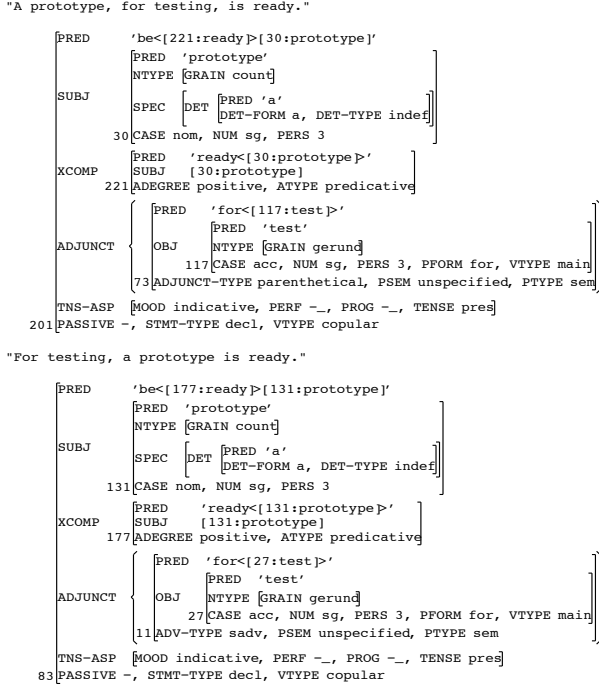


Figure 4: f -structure for word-order variants of gold standard condensation.

the small corpus size of this test set, we randomly extracted an additional 32 sentence pairs from the 500 parsed and disambiguated examples as a second test set. The rest of the 436 randomly selected sentence pairs were used to create training data. For the purpose of discriminative training, a gold-standard of transferred f -structures was created from the transfer output and the manually selected f -structures for the condensed strings. This was done automatically by selecting for each example the transferred f -structure that best matched the f -structure annotated for the condensed string.

In the automatic evaluation of f -structure match, three different system variants were compared. Firstly, randomly chosen transferred f -structures were matched against the manually selected f -structures for the manually created condensations. This evaluation constitutes a lower bound on the F-score against the given gold standard. Secondly, matching results for transferred f -structures yielding the maximal F-score against the gold standard were recorded, giving an upper bound for the system. Thirdly, the performance of the stochastic model within the range of the lower bound and up-

per bound was measured by recording the F-score for the f -structure that received highest probability according to the learned distribution on transferred structures.

In order to make our results comparable to the results of Knight and Marcu (2000) and also to investigate the correspondence between the automatic evaluation and human judgments, a manual evaluation of the strings generated by these system variants was conducted. Two human judges were presented with the uncondensed surface string and five condensed strings that were displayed in random order for each test example. The five condensed strings presented to the human judges contained (1) strings generated from three randomly selected f -structures, (2) the strings generated from the f -structures which were selected by the stochastic model, and (3) the manually created gold-standard condensations extracted from the Ziff-Davis abstracts. The judges were asked to judge summarization quality on a scale of increasing quality from 1 to 5 by assessing how well the generated strings retained the most salient information of the original uncondensed sentences. Grammaticality of the system output is optimal and not reported separately. Results for both evaluations are reported for two test corpora of 32 examples each. *Testset I* contains the sentences and condensations used to evaluate the system described in Knight and Marcu (2000). *Testset II* consists of another randomly extracted 32 sentence pairs from the same domain, prepared in the same way.

Fig. 5 shows evaluation results for a sentence condensation run that uses manually selected f -structures for the original sentences as input to the transfer component. These results demonstrate how the condensation system performs under the optimal circumstances when the parse chosen as input is the best available. Fig. 6 applies the same evaluation data and metrics to a sentence condensation experiment that performs transfer from packed f -structures, i.e. transfer is performed on all parses for an ambiguous sentence instead of on a single manually selected parse. Alternatively, a single input parse could be selected by stochastic models such as the one described in Riezler et al. (2002). Such a separate phase of parse disambiguation, and perhaps the effects of any errors that this might introduce,

testset I	lower bound	system selection	upper bound
F-score	58%	67.3%	77.2 %
sum-quality	2.0	3.5	4.4
compr.	50.2%	60.4%	54.9%
testset II	lower bound	system selection	upper bound
F-score	59%	65.4%	83.3%
sum-quality	2.1	3.4	4.6
compr.	52.7%	65.9%	56.8%

Figure 5: Sentence condensation from manually selected f -structure for original uncondensed sentences.

can be avoided by transferring from all parses for an ambiguous sentence. This approach is computationally feasible, however, only if condensation can be carried all the way through without unpacking. Our technology is not yet able to do this (in particular, as mentioned earlier, we have not yet implemented a method for stochastic disambiguation on packed structures). However, we conducted a preliminary assessment of this possibility by unpacking and enumerating the transferred f -structures. For many sentences this resulted in more candidates than we could operate on in the available time and space, and in those cases we arbitrarily set a cut-off on the number of transferred f -structures we considered.² The result of this experiment, shown in Fig. 6, thus provides a conservative estimate on the quality of the condensations we might achieve with a full-packing implementation.

In Figs. 5 and 6, the first row shows F-scores for a random selection, the system selection, and the best possible selection from the transfer output against the gold standard. The second rows show summarization quality scores for generations from a random selection and the system selection, and for the human-written condensation. The third rows

²Since the output of the transfer system is set up to produce smaller f -structures first, i.e. transferred f -structures are produced according to the number of rules applied to transfer them, a cutoff on the transfer output will keep more condensed variants and discard less condensed ones. Furthermore, with our current implementation, in some cases the transfer component was also unable to operate on the packed representation, and in those cases we chose a parse at random, again in order to provide a conservative estimate of condensation quality.

testset I	lower bound	system selection	upper bound
F-score	55.2%	63.0%	72.0%
sum-quality	2.1	3.4	4.4
compr.	46.5%	61.6%	54.9%
testset II	lower bound	system selection	upper bound
F-score	54%	59.7%	76.0 %
sum-quality	1.9	3.3	4.6
compr.	50.9%	60.0%	56.8%

Figure 6: Sentence condensation from packed f -structures for original uncondensed sentences.

report compression ratios. As can be seen from these tables, the ranking of system variants produced by the automatic and manual evaluation confirm a close correlation between the automatic evaluation and human judgments. A comparison of evaluation results across columns, i.e. across selection variants, shows that a stochastic selection of transferred f -structures is indeed important. Even if all f -structures are transferred from the same linguistically rich source, and all generated strings are grammatical, a reduction in error rate of around 50% relative to the upper bound can be achieved by stochastic selection. In contrast, a comparison between transfer runs with and without perfect disambiguation of the original string shows a decrease of about 5% in F-score,³ and of only .1 points for summarization quality when transferring from packed parses instead of from the manually selected parse. This shows that it is more important to learn what a good transferred f -structure looks like than to have a perfect f -structure to transfer from. The compression rates associated with the systems that used stochastic selection is around 60%, which is acceptable, but not as aggressive as human-written condensations.

Overall, the summarization quality achieved by our system is similar to the results reported in Knight and Marcu (2000). However, the data used in the experiments of Knight and Marcu (2000) and

³The drop in F-score for the upper bound compared to transfer from a manually selected parse is due to a fall-back mechanism for transferring from a randomly selected parse that had to be applied occasionally in the current experiments. Despite these near-term system deficiencies, overall results on summarization quality are still state-of-the-art.

therefore in our experiments are somewhat artificial: The human-written condensations in the data set extracted from the Ziff-Davis corpus show the same word order as the original sentences and do not exhibit structural modifications such as nominalization, which are common in human-written summaries. Also, no additional condensations were created for the original sentences other than the condensed versions extracted from the human-written abstracts. This simplifies the condensation task strictly to the operation of deletion. Clearly, it would be desirable to match each system output against any number of independent human-written condensations of the same original sentence, without restrictions on word-order or structural modifications. The idea of computing matching scores to multiple reference examples was proposed by Alshawi et al. (1998), and later by Papineni et al. (2001) for evaluation of machine translation systems. Similar to these proposals, an evaluation of condensation quality could consider multiple reference condensations and record the matching score against the most similar example. Also, an evaluation of our system on a corpus of more diverse condensations would test our system in a more interesting way, and is thus a desideratum for future work. Furthermore, work on employing packing techniques not only for parsing and transfer, but also for generation and stochastic selection is currently underway (see Geman and Johnson (2002)). This will eventually lead to a system that completely avoids costly unpacking of representations.

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