



Stanford University Openness in Research CHECKLIST

The principle of openness in research is one of overriding importance to this University. Accordingly, no program of research that requires secrecy may be conducted at Stanford, other than the exceptions specifically allowed in the University's Openness in Research policy (Research Policy Handbook 2.6).

Use this checklist when reviewing:

- requests for proposals or project solicitations,
- program award notices,
- Non-Disclosure Agreements (NDAs),
- any other documents related to research proposals, contracts, cooperative agreements, and other arrangements for sponsored research projects

to assure that they do not require secrecy or impose unacceptable restrictions.

In any proposals for research funding, Stanford will include language indicating its commitment to openness in research, and its intention to adhere to policy in this regard.

Does this project or agreement:	YES	NO
Contain language referring to or mandating compliance with export laws or regulations?		
Restrict researcher participation (faculty, student, others) based on country of origin or citizenship?		
Require researcher participation in US-citizen-only meetings?		
Prohibit the hiring of non-US citizens to be involved in the proposed research?		
Grant the sponsor a right of prepublication review for matters other than the inclusion of patent and/or proprietary sponsor information?		
Provide that any part of the sponsoring, granting, or establishing documents may not be disclosed?		
Limit access to confidential data so centrally related to the research that a member of the research group who was not privy to the confidential data would be unable to participate fully in all of the intellectually significant portions of the project?		

If the answer to any of these question is "Yes," or if you have other questions related to openness in research, please contact the Dean of Research office.

(Ann George, 723-9721, anngeo@stanford.edu).

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If accepting proprietary information as part of a project:	YES	NO
Is the information clearly defined?		
Can the information be appropriately protected?		
Can proprietary information be removed from research results, so that results may be freely published?		

If the answer to any of these question is "No," please contact
the Dean of Research office.
(Ann George, 723-9721, anngео@stanford.edu).

If accepting information identified as export-controlled:	YES	NO
Has a Non-Disclosure Agreement been reviewed with Stanford's Export Control Officer?		
Is there any need to share this information with others, including international students or other non-citizens?		

In either case, when dealing with export controls, please contact
the university's Export Control Officer.
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