

# EE359 – Lecture 8 Outline

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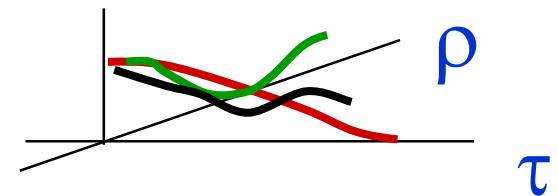
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- Announcements:
  - Last makeup lecture; no class next week
  - HW posted, due next Th 5pm, extensions possible
  - Scheduling our midterm 11/2, 8:45-10:45, 4-6, 5-7, 6-8?
- Shannon Capacity
- Capacity of Flat-Fading Channels
  - Fading Statistics Known
  - Fading Known at RX
  - Fading Known at TX and RX
  - Optimal Rate and Power Adaptation
  - Channel Inversion with Fixed Rate
- Capacity of Freq.-Selective Fading Channels

# Review of Last Lecture

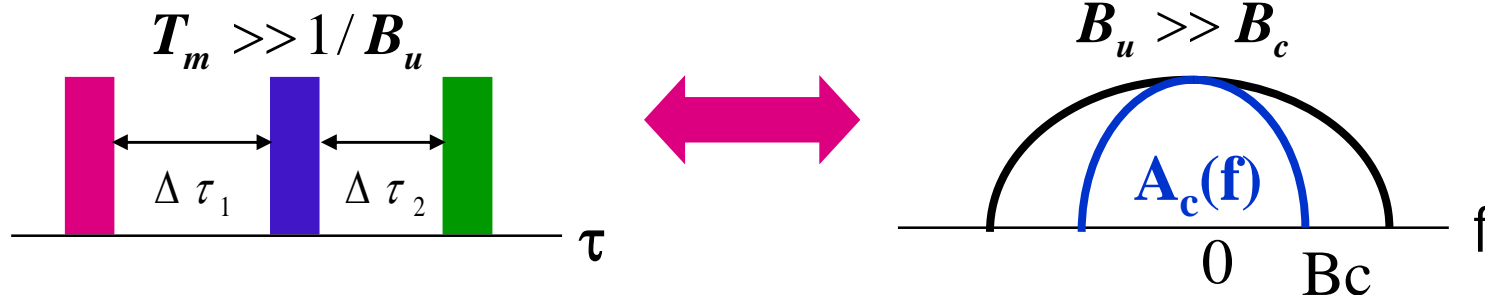
- Scattering Function:  $s(\tau, \rho) = F_{\Delta t}[A_c(\tau, \Delta t)]$

- Used to characterize  $c(\tau, t)$  statistically



- Multipath Intensity Profile

- Determines average ( $T_M$ ) and rms ( $\sigma_\tau$ ) delay spread
- Coherence bandwidth  $B_c = 1/T_M$



- Doppler Power Spectrum:  $S_c(\rho) = F[A_c(\Delta t)]$

- Power of multipath at given Doppler

# Shannon Capacity

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- Defined as the maximum MI of channel
- Maximum error-free data rate a channel can support.
- Theoretical limit (not achievable)
- Channel characteristic
  - Not dependent on design techniques

# Capacity of Flat-Fading Channels

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- Capacity defines theoretical rate limit
  - Maximum error free rate a channel can support
- Depends on what is known about channel
- Fading Statistics Known
  - Hard to find capacity
- Fading Known at Receiver Only

$$C = \int_0^{\infty} B \log_2(1 + \gamma) p(\gamma) d\gamma \leq B \log_2(1 + \bar{\gamma})$$

# Fading Known at Transmitter and Receiver

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- For fixed transmit power, same as with only receiver knowledge of fading
- Transmit power  $S(\gamma)$  can also be adapted
- Leads to optimization problem

$$C = \max_{S(\gamma) : E[S(\gamma)] = \bar{S}} \int_0^{\infty} B \log_2 \left( 1 + \frac{\gamma S(\gamma)}{\bar{S}} \right) p(\gamma) d\gamma$$

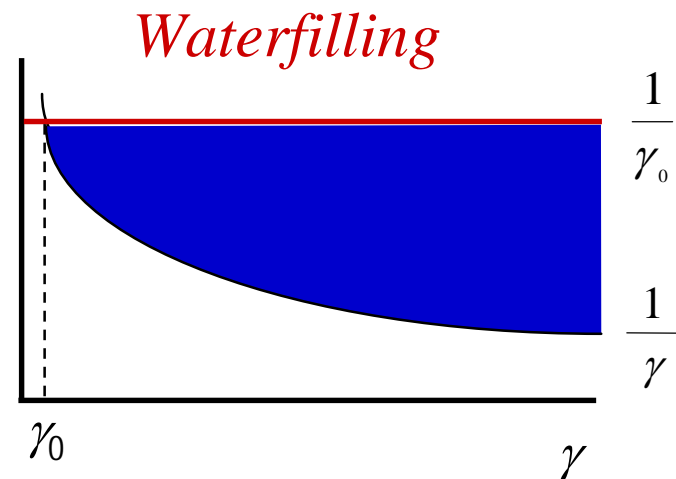
# Optimal Adaptive Scheme

- Power Adaptation

$$\frac{S(\gamma)}{S} = \begin{cases} \frac{1}{\gamma_0} - \frac{1}{\gamma} & \gamma \geq \gamma_0 \\ 0 & \text{els} \end{cases}$$

- Capacity

$$\frac{R}{B} = \int_{\gamma_0}^{\infty} \log \left( \frac{\gamma}{\gamma_0} \right) p(\gamma) d\gamma.$$



# Channel Inversion

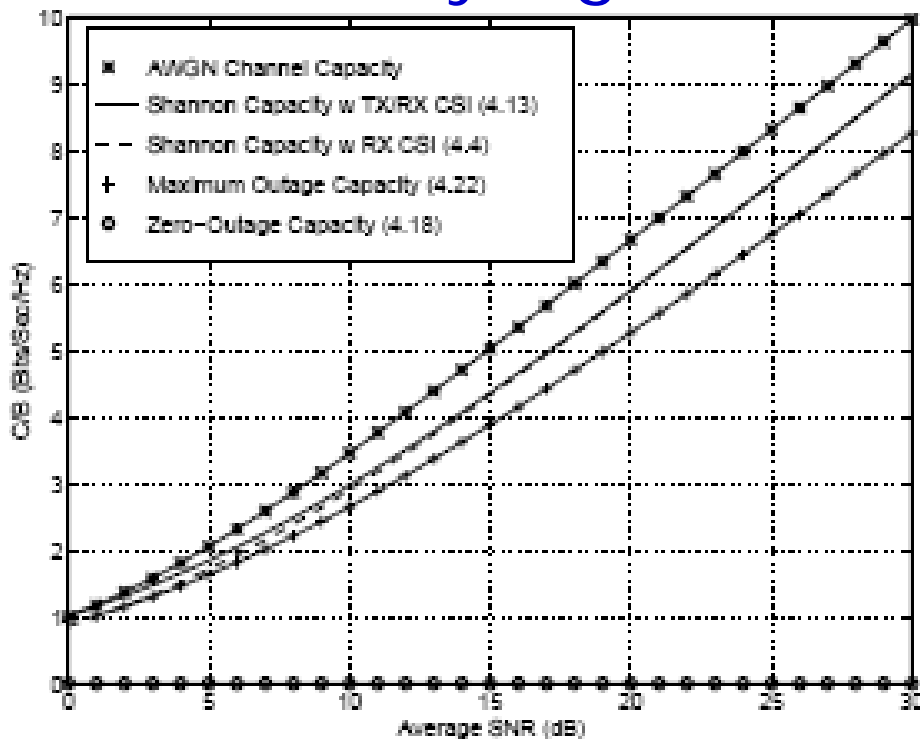
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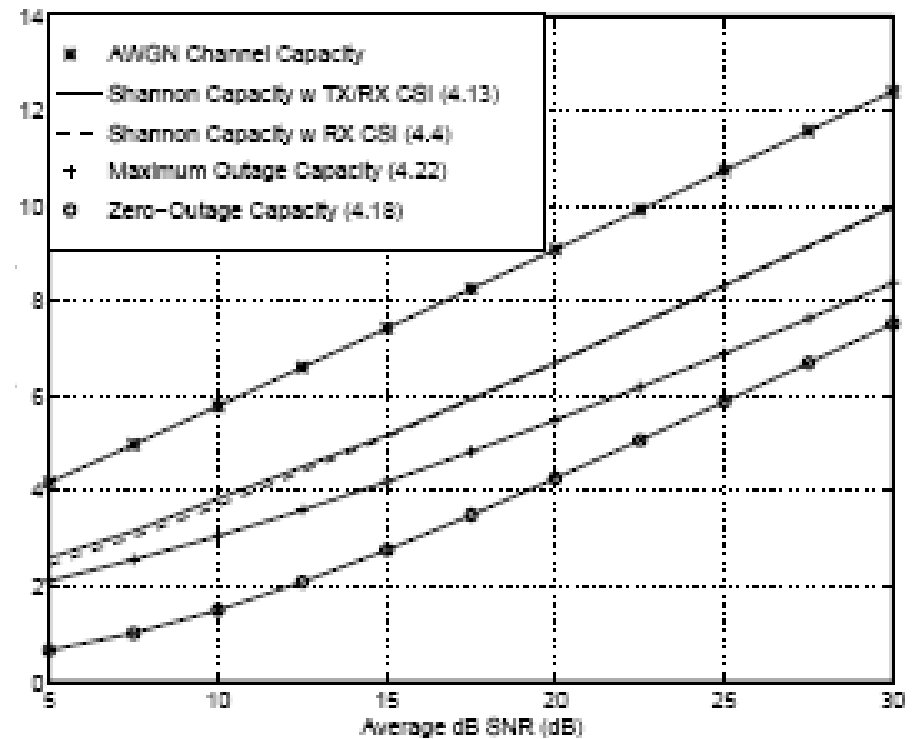
- Fading inverted to maintain constant SNR
- Simplifies design (fixed rate)
- Greatly reduces capacity
  - Capacity is zero in Rayleigh fading
- Truncated inversion
  - Invert channel above cutoff fade depth
  - Constant SNR (fixed rate) above cutoff
  - Cutoff greatly increases capacity
    - Close to optimal

# Capacity in Flat-Fading

## Rayleigh

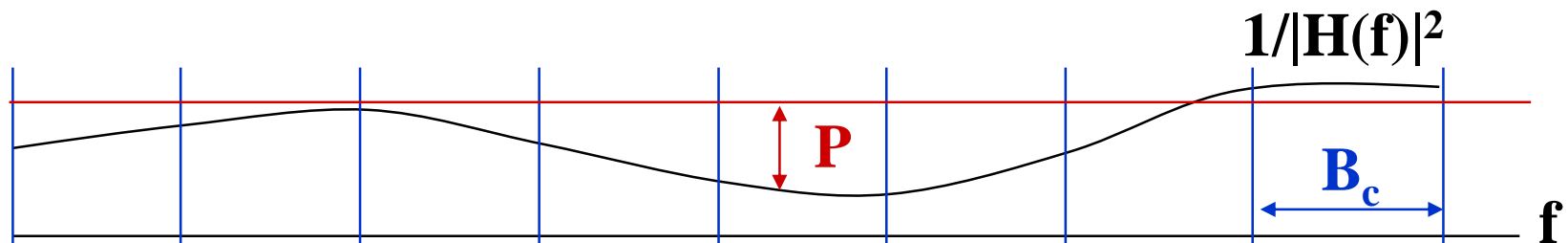


## Log-Normal



# Frequency Selective Fading Channels

- For TI channels, capacity achieved by water-filling in frequency
- Capacity of time-varying channel unknown
- Approximate by dividing into subbands
  - Each subband has width  $B_c$  (like MCM).
  - Independent fading in each subband
  - Capacity is the sum of subband capacities



# Main Points

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- Fundamental capacity of flat-fading channels depends on what is known at TX and RX.
  - Capacity when only RX knows fading same as when TX and RX know fading but power fixed.
  - Capacity with TX/RX knowledge: variable-rate variable-power transmission (water filling) optimal
  - Almost same capacity as with RX knowledge only
  - Channel inversion practical, but should truncate
- Capacity of wideband channel obtained by breaking up channel into subbands
  - Similar to multicarrier modulation