

Combinator Calculus

CS242

Lecture 2

Combinator:

A function without free variables

Calculus:

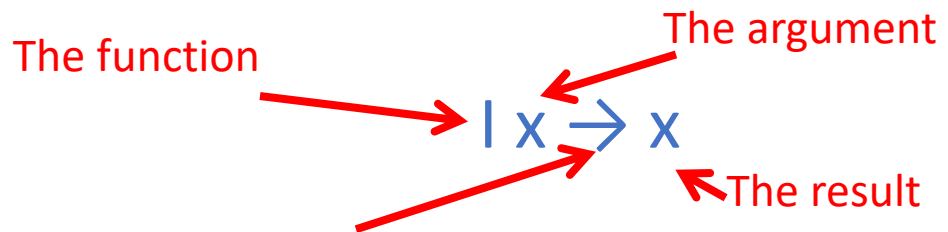
A method of computation or calculation in a special notation

Overview

- A variable-free programming language using only functions
- A simple Turing-complete computational formalism
- A starting point for more involved languages
- And something different!

SKI Calculus

A function call is written by juxtaposing two expressions



The arrow indicates a step of computation

$$K x y \rightarrow x$$

$$S x y z \rightarrow (x z) (y z)$$

Identity function

Constant functions

Generalized function application

Multiple Arguments

K

A function by itself is a well-formed program. No rules apply.

$K\ x$

No rules apply to K with one argument

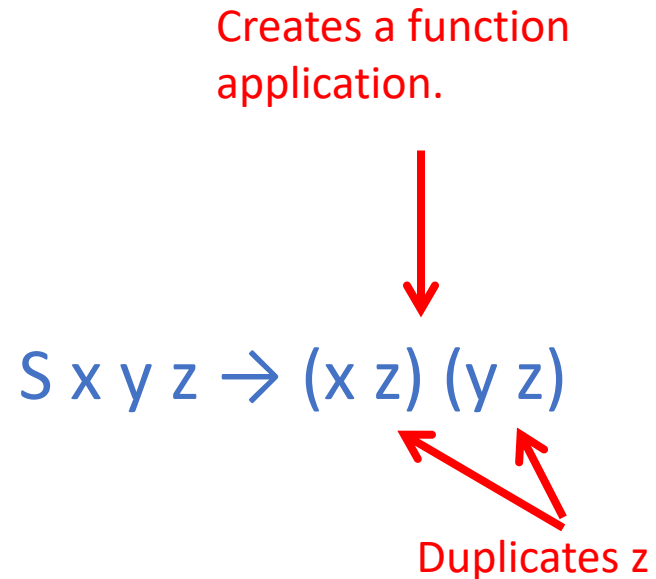
$K\ x\ y \rightarrow x$

K only “executes” when it has two arguments

$K\ x\ y\ z \rightarrow x\ z$

K only uses the first two arguments

What is S?



For a general functional language:

Need a way to program function calls (applications).

Need to reuse values (make copies).

S combines both.

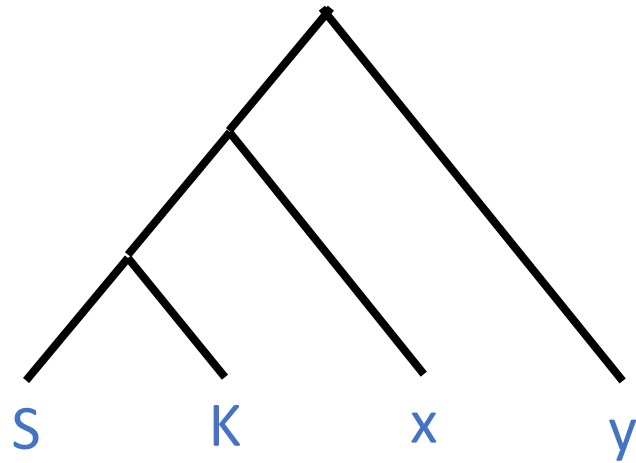
Definition

- The *terms* of the SKI calculus are the smallest set such that
 - S , K , and I are terms
 - If x and y are terms, then $x y$ is a term
- Terms are trees, not strings
 - Parentheses show association where necessary
 - In the absence of parentheses, association is to the left
 - i.e., $S x y z = (((S x) y) z)$

Example

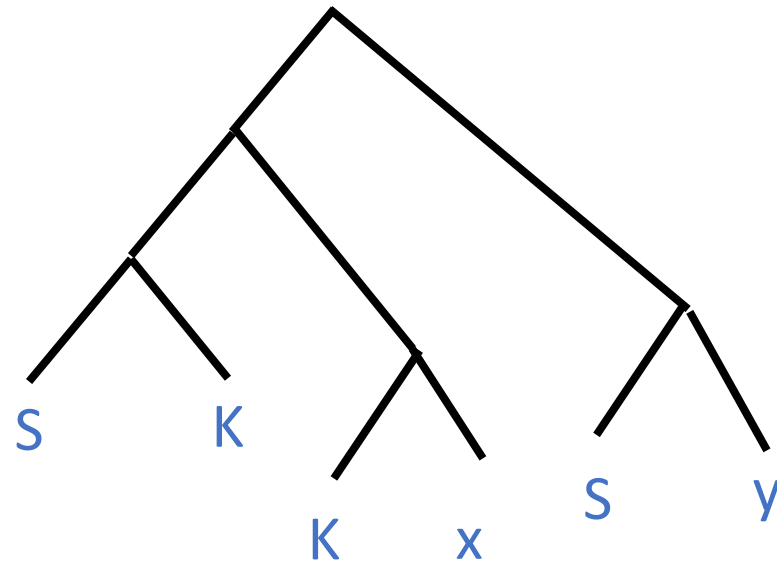
S K x y

$((S K) x) y$



Example

$((S K) (K x)) (S y)$



Context Free Grammar

$\text{Expr} \rightarrow S$

$\text{Expr} \rightarrow K$

$\text{Expr} \rightarrow I$

$\text{Expr} \rightarrow \text{Expr Expr}$

$\text{Expr} \rightarrow (\text{Expr})$

$\text{Expr} \rightarrow S \mid K \mid I \mid \text{Expr Expr} \mid (\text{Expr})$

Rewrite Rules

- The three rules of the SKI calculus are an example of a *rewrite system*
 - Any expression (or subexpression) that matches the left-hand side of a rule can be replaced by the right-hand side
- The symbol \rightarrow stands for a single rewrite
- The symbol \rightarrow^* stands for the reflexive, transitive closure of \rightarrow
 - i.e., zero or more rewrites

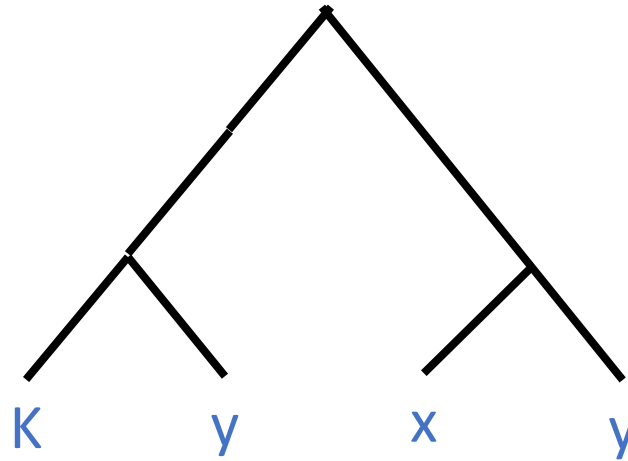
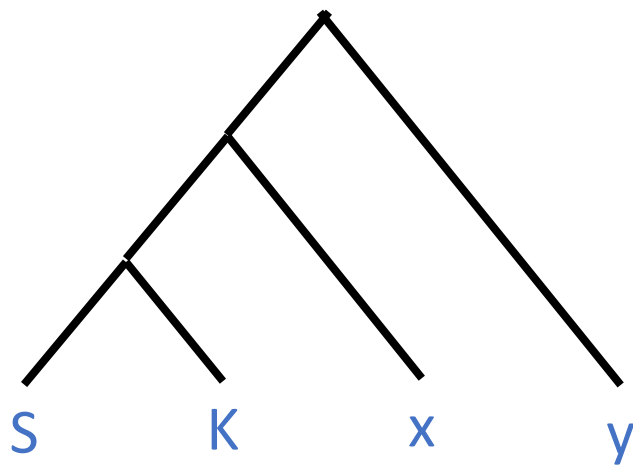
$$\begin{aligned} I x &\rightarrow x \\ K x y &\rightarrow x \\ S x y z &\rightarrow (x z) (y z) \end{aligned}$$

Example

$$S \ K \ x \ y \rightarrow (K \ y) \ (x \ y) \rightarrow y$$

Example

$$S K x y \rightarrow (K y) (x y) \rightarrow y$$



y

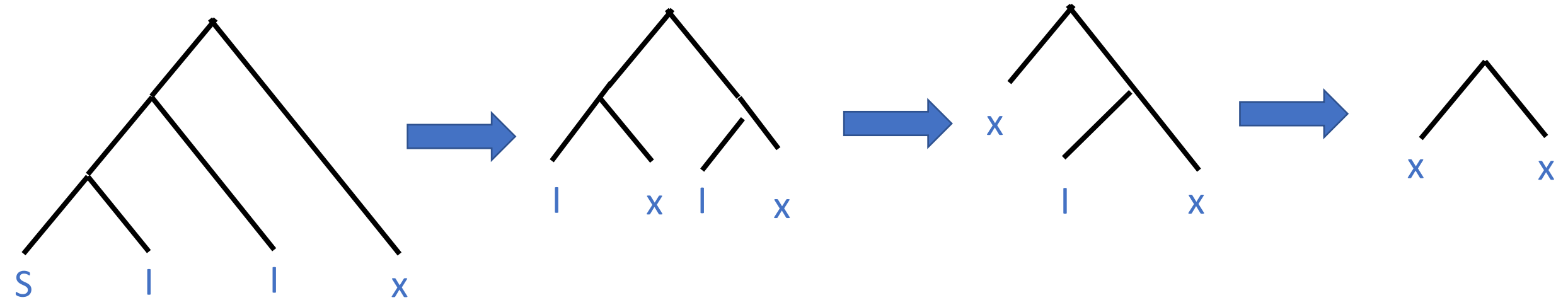
What Do These Do?

- $K x \rightarrow ?$
- $S x y \rightarrow ?$

- Answer: Nothing!
 - No rewrite rules apply until the combinator has all its arguments
 - $K x$ is a *partially applied* function
 - A partially applied function is a function, and can be passed around, copied, etc.

Another Example

$$S \mid \mid x \rightarrow (I \ x) \ (I \ x) \rightarrow x \ (I \ x) \rightarrow x \ x$$

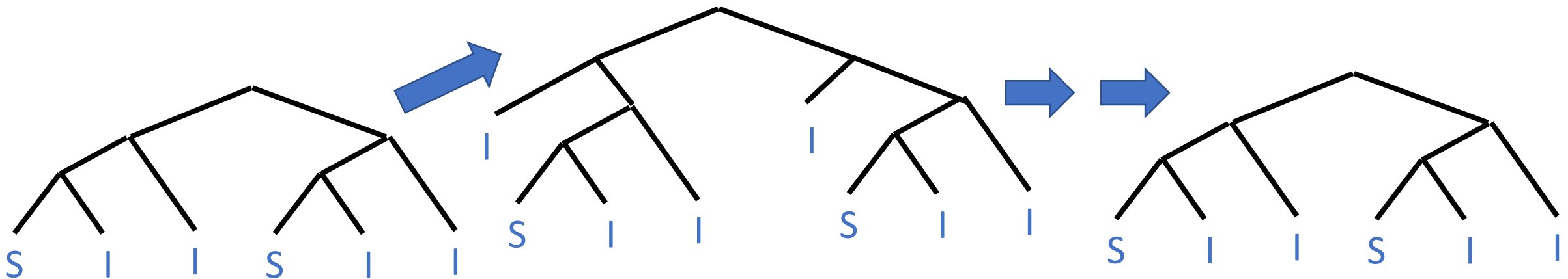


And Another Example

$S I I x \rightarrow (I x) (I x) \rightarrow x (I x) \rightarrow x x$

So ...

$(S I I) (S I I) \rightarrow (I (S I I)) (I (S I I)) \rightarrow (S I I) (I (S I I)) \rightarrow (S I I) (S I I)$



What a Strange Language!

- A language of functions
 - Functions are all there is to work with
- Minimalist
 - Typical of languages designed for study
 - Clears away the complexity of “real” languages
 - Allows for very direct illustration of key ideas

Programming

- Recursion
- Conditionals
- Data structures

General Recursion

- $(S \ I \ I) (S \ I \ I)$ is a non-terminating expression
 - Can always be rewritten, since it rewrites to itself
 - A form of looping

- Recursive function calls are just a little more involved

$$x = S (K f) (S \ I \ I)$$

$$\text{So } S \ I \ I \ x \rightarrow^* x \ x = S (K f) (S \ I \ I) \ x \rightarrow ((K f) \ x) ((S \ I \ I) \ x) \rightarrow^* f (x \ x) \rightarrow^* f (f (x \ x))$$

- We will focus on a different form of looping later in the lecture

Conditionals

- To have branching behavior, we need Booleans.
- We use an *encoding*.
 - We choose combinators to represent **true**, **false**
 - And combinators **not**, **or**, **and** that have the correct behavior on those values
- An abstract data type
 - Except there is no type system to enforce the abstractions

Booleans

- Represent true by a function that picks the first of two arguments
- Represent false by a function that picks the second of two arguments

- True $T\ x\ y \rightarrow x$
- False $F\ x\ y \rightarrow y$

- $T = K$
- $F = S\ K$

Boolean Operations

- Let B be a Boolean (T or F)
- $\text{not } B = B \text{ F } T$

Boolean Operations

- Let B be a Boolean (T or F)
- $B_1 \text{ or } B_2 = B_1 \vee B_2$

Boolean Operations

- Let B be a Boolean (T or F)
- $B_1 \text{ and } B_2 = B_1 B_2$ $F = B_1 B_2$ (S K)

Example

(not F) and T = (F F T) T F

If-Then-Else

- Let B be a Boolean
- If B then X else Y = $B X Y$

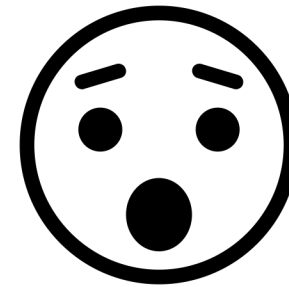
Writing Combinators

- Let's say we want a combinator

$\text{swap } x \ y = y \ x$

- How do we write swap using S , K , and I ?

$\text{swap} = S \ (K \ (S \ I)) \ (S \ (K \ K) \ I)$



Writing Combinators: A Systematic Approach

- Finding a combinator that implements a given function is not trivial
 - Some have nice intuitive definitions (e.g., Booleans)
 - Others are completely non-obvious (e.g., `swap`)
- There is a systematic way to write combinators
 - Start with a function equation using variables that specifies what we want
`swap x y = y x`
 - An *abstraction algorithm* `A(...)` maps the right-hand side to a combinator
 - The key is to eliminate the variables by replacing them with uses of the combinators `S`, `K`, and `I`

Writing Combinators: A Systematic Approach

- Consider a function equation of one variable: $f\ x = E$
 - If we apply function f to argument x , the result is E
- We want a combinator $A(E,x)$ that implements f
 - Therefore $A(E,x)\ x = E$
 - And $A(E,x)$ doesn't use x
 - We say we *abstract* E with respect to x
- $A(x,x) = I$
- $A(E,x) = K\ E$ if x does not appear in E
- $A(E1\ E2,x) = S\ A(E1,x)\ A(E2,x)$
- Note $A(\dots)$ is not a combinator
 - it is a (recursively defined) mapping from expressions with variables to combinators

Working Through Each Case ...

- $A(x, x) = I$
- Consider the equation $f x = x$
 - Requires $A(x, x) x = x$
 - And $A(x, x)$ does not use x
- What combinator satisfies these two conditions? **I!**

Working Through Each Case ...

- $A(E, x) = K E$
- Consider the equation $f x = E$
 - Where E does not use x
 - Again requires $A(E, x) x = E$
 - And $A(E, x)$ does not use x
- Note that $K E$ does not use x
- Calculate: $K E x \rightarrow E$

Working Through Each Case ...

- $A(E1 \ E2, x) = S \ A(E1, x) \ A(E2, x)$
- Consider the equation $f \ x = (E1 \ E2) \ x$
 - Requires $A(E1 \ E2, x) \ x = E1 \ E2$
 - And $A(x, x)$ does not use x
- Notice that $S \ A(E1, x) \ A(E2, x)$ does not use x
- Calculate:
$$S \ A(E1, x) \ A(E2, x) \ x \rightarrow (A(E1, x) \ x) \ (A(E2, x) \ x) \rightarrow E1 \ (A(E2, x) \ x) \rightarrow E1 \ E2$$

Back To Swap

- Recall $\text{swap } x \ y = y \ x$
- Arguments are abstracted starting with the last argument and progressing to the first argument
 - Because $(\text{swap } x) \ y = y \ x$
 - First abstract y in the definition of $\text{swap } x$, then abstract x from the definition of swap
 - We drop the red color for A , just remember it is not a combinator but mapping that produces a combinator from an expression with variables!
- First eliminate y in $y \ x$:
 $\text{swap } x = A(y \ x, y) = S \ A(y, y) \ A(x, y) = S \ I \ A(x, y) = S \ I \ (K \ x)$
- Now eliminate x from the result of the previous step:
 $\text{swap} =$
 $A(S \ I \ (K \ x), x) =$
 $S \ A(S \ I, x) \ A(K \ x, x) =$
 $S \ (K \ (S \ I)) \ A(K \ x, x) =$
 $S \ (K \ (S \ I)) \ (S \ A(K, x) \ A(x, x)) =$
 $S \ (K \ (S \ I)) \ (S \ (K \ K) \ A(x, x)) =$
 $S \ (K \ (S \ I)) \ (S \ (K \ K) \ I)$

Discussion

- Abstraction is a very simple, systematic algorithm
- But tedious
 - The resulting expressions can be huge and hard to read
 - Especially if the combinator takes multiple arguments

Improvements

- We can introduce helper combinators to reduce the size of abstracted expressions
- In $S\ x\ y\ z$, often z is only used in one of x or y
 - We can avoid copying z and just pass it to the one combinator that uses it
- Define
 - $c1\ x\ y\ z = x\ (y\ z)$ – a version of S where the first argument is constant (doesn't use z)
 - $c2\ x\ y\ z = (x\ z)\ y$ – a version of S where the second argument is constant (doesn't use z)
- Add new cases for to the abstraction algorithm for applications that use $c1$ or $c2$ if possible
$$A(E1\ E2, x) = c1\ E1\ A(E2, x) \text{ if } x \text{ does not appear in } E1$$
$$A(E1\ E2, x) = c2\ A(E1, x)\ E2 \text{ if } x \text{ does not appear in } E2$$
$$A(E1\ E2, x) = S\ A(E1, x)\ A(E2, x) \quad \text{otherwise}$$

Back To Swap, Again ...

- Recall $\text{swap } x \ y = y \ x$

- First eliminate y in $y \ x$:

$$A(y \ x, y) = c_2 \ A(y, y) \ x = c_2 \ I \ x$$

- Now eliminate x from the result of the previous step:

$$A(c_2 \ I \ x, x) =$$

$$A((c_2 \ I) \ x, x) =$$

$$c_1 \ (c_2 \ I) \ A(x, x) =$$

$$c_1 \ (c_2 \ I) \ I$$

Defining c1

- $c1\ x\ y\ z = x\ (y\ z)$
- Shortcut
 - Observe that $c1\ x\ y = S\ (K\ x)\ y$
 - Then $c1\ x = S\ (K\ x)$
 - Then $c1 = A(S\ (K\ x), x) = S\ (K\ S)\ (S\ (K\ K)\ I)$
 - Note $S\ (K\ K)\ I = K$
 - So $c1 = S\ (K\ S)\ K$
- Running the abstraction algorithm directly gives
 - $c1\ x\ y\ z = x\ (y\ z)$
 - $c1\ x\ y = S\ (K\ x)\ (S\ (K\ y)\ I)$
 - $c1\ x = S\ (K\ (S\ (K\ x)))\ (S\ (S\ (K\ S)\ (S\ (K\ K)\ I))\ (K\ I))$
 - $c1 = S\ (S\ (K\ K)\ (S\ (K\ S)\ (S\ (K\ K)\ I)))\ (K\ (S\ (S\ (K\ S)\ (S\ (K\ K)\ I))\ (K\ I)))$
- The abstraction algorithm is not guaranteed to produce the smallest combinator!
 - But it is guaranteed to give one that is correct

Defining c2

- $c2\ x\ y\ z = (x\ z)\ y$
- $A((x\ z)\ y, z) = S\ (c1\ x\ I)\ (K\ y)$
- $A(S\ (c1\ x\ I)\ (K\ y), y) = S\ (K\ (S\ (c1\ x\ I)))\ (c1\ K\ I)$
- $A(S\ (K\ (S\ (c1\ x\ I)))\ (c1\ K\ I), x) =$
 $S\ ((c1\ S\ (c1\ K\ (c1\ S\ (S\ (c1\ c1\ I)\ (K\ I))))))\ (K\ (c1\ K\ I))$

Another Abstract Type: Pairs

Pairing must satisfy

$\text{pair } x \ y \ \text{first} = x$

$\text{pair } x \ y \ \text{second} = y$

Choose

$\text{first} = T$

$\text{second} = F$

Then

$\text{pair } x \ y \ z = z \ x \ y$

$\text{pair } x \ y = c2 \ (c2 \ I \ x) \ y$

$\text{pair } x = c1 \ (c2 \ (c2 \ I \ x)) \ I$

$\text{pair} = c2 \ (c1 \ c1 \ (c1 \ c2 \ (c1 \ (c2 \ I) \ I))) \ I$

A Brief Interlude

- SKI is an example of a language with *higher-order functions*
 - Functions can take functions as arguments and return functions as results
- Examples
 - `swap x`
 - `and B`
 - `pair (and B)`
 - `S`
- Many languages are *first order*
 - Functions can only work on data types that are not themselves functions

Natural Numbers

n applies its first argument n times to its second argument

$$n \ f \ x = f^n(x)$$

$$0 \ f \ x = x \quad \text{so } 0 = S \ K$$

$$\text{succ } n \ f \ x = f \ (n \ f \ x) \quad \text{succ} = S \ (S \ (K \ S) \ K)$$

$$\begin{aligned} \text{succ } n \ f \ x &\rightarrow S \ (S \ (K \ S) \ K) \ n \ f \ x \rightarrow (S \ (K \ S) \ K \ f) \ (n \ f) \ x \rightarrow ((K \ S) \ f) \ (K \ f) \ (n \ f) \ x \rightarrow \\ S \ (K \ f) \ (n \ f) \ x &\rightarrow ((K \ f) \ x) \ ((n \ f) \ x) \rightarrow f \ ((n \ f) \ x) = f \ (n \ f \ x) \end{aligned}$$

Some Useful Functions

$\text{one} = \text{succ } 0$

$\text{add } x \ y = x \ \text{succ } y$

$\text{mul } x \ y = x \ (\text{add } y) \ 0$

Abstracting add and mul :

$\text{add} = \text{c2 } (\text{c1 } \text{c1 } (\text{c2 } \mid \text{succ})) \ \mid$

$\text{mul} = \text{c2 } (\text{c1 } \text{c2 } (\text{c2 } (\text{c1 } \text{c1 } \mid) (\text{c1 } \text{add } \mid))) \ 0$

Examples

Shorthand: Write i for $\text{succ}^i(0)$

$10 (+ 2) 0 \rightarrow 20$

$2 (* 2) 1 \rightarrow 4$

Notice how iteration/looping is built-in to the definition of the type.

An example of *primitive recursion*: The number of times we iterate is fixed by the element of the type itself.

Factorial

Standard recursive implementation:

$\text{fac } n = \text{fac}' \ 1 \ 1 \ n$

$\text{fac}' \ a \ i \ n = \text{if } i > n \text{ then } a \ \text{else } \text{fac}' \ (a*i) \ i+1$

Replace arguments a and i by a pair:

$\text{fac } n = \text{fac}' \ (\text{pair } 1 \ 1) \ n$

$\text{fac}' \ p \ n = \text{if } p.2 > n \text{ then } p.1 \ \text{else } \text{fac}' \ (\text{pair } (p.2 * p.1) \ (p.2 + 1))$

Now define functions:

$m \ p = * \ (p \ \text{second}) \ (p \ \text{first}) = \text{mul} \ (p \ \text{second}) \ (p \ \text{first})$

$i2 \ p = + \ 1 \ (p \ \text{second}) = \text{succ} \ (p \ \text{second})$

Abstract the functions into combinators:

$m = S \ (c1 \ \text{mul} \ (c2 \ I \ \text{first})) \ (c2 \ I \ \text{second});$

$i2 = c1 \ \text{succ} \ (c2 \ I \ \text{second})$

Using the combinators:

$\text{fac } n = \text{fac}' \ (\text{pair } 1 \ 1) \ n$

$\text{fac}' \ p \ n = \text{if } p.2 > n \text{ then } p.1 \ \text{else } \text{fac}' \ (\text{pair} \ (m \ p) \ (i2 \ p))$

Now use the recursion built into the natural numbers:

$\text{fac } n = n \ \text{fac}' \ (\text{pair} \ \text{one} \ \text{one})$

$\text{fac}' \ p = \text{pair} \ (m \ p) \ (i2 \ p)$

Abstracting into combinators:

$\text{fac} = c2 \ (c2 \ I \ \text{fac}') \ (\text{pair} \ \text{one} \ \text{one})$

$\text{fac}' = S \ (c1 \ \text{pair} \ m) \ i2$

From The Ground Up!

- 14 combinator definitions

- Including

- Abstraction helpers
- Control structures
- Pairs
- Natural numbers
- Addition
- Multiplication

```
# abstraction operators
c1 = S (S (K K) (S (K S) (S (K K) I))) (K (S (S (K S) (S (K K) I)) (K I)))
c2 = S ((c1 S (c1 K (c1 S (S (c1 c1 I) (K I)))))) (K (c1 K I))

# pairs
first = K
second = S K
pair = c2 (c1 c1 (c1 c2 (c1 (c2 I) I))) I

# natural numbers
0 = S K
succ = S (S (K S) K)
one = succ 0
add = c2 (c1 c1 (c2 I succ)) I;
mul = c2 (c1 c2 (c2 (c1 c1 I) (c1 add I))) 0;

# factorial and auxiliary functions
m = S (c1 mul (c2 I first)) (c2 I second);
i2 = c1 succ (c2 I second)
fac' = S (c1 pair m) i2
fac = c2 (c2 I fac') (pair one one)
```

Next Time ...

- *Confluence*: A non-trivial property of the SKI calculus
- A brief survey of combinator languages