

Problem Set 5 Due: November 10, 2008

**Homework:** (Total 100 points) Do the following exercises.

**Problem 1.** [20 points] Prove that the following problem, called 4TA-SAT, is NP-complete. The problem is defined as follows:

**INPUT:** A boolean formula  $F(X_1, X_2, \dots, X_n)$ .

**PROBLEM:** Does  $F$  have at least 4 satisfying truth assignments?

You should reduce the 3-SAT problem to 4TA-SAT. The answers to the following questions constitute the proof of NP-completeness.

(a). Prove that 4TA-SAT is in NP.

(b). Describe a polynomial-time reduction from 3-SAT to 4TA-SAT. Your reduction should take a 3-SAT formula  $F$  and construct an instance of the 4TA-SAT problem, say the formula  $G$ .

(*Hint:* Suppose you add a variable  $Y$  to the boolean formula  $F$ , but don't actually use it in any of the clauses. If  $F$  had  $k$  satisfying truth assignments originally, how many satisfying truth assignments will it have now?)

(c). Show that  $F$  is satisfiable if and only if  $G$  has at least four satisfying truth assignments.

**Problem 2.** [20 points] Prove that the following problem called DS (Dominating Set) is NP-complete. A dominating set in a graph  $G = (V, E)$  is a set of vertices  $S \subseteq V$  such that each vertex in  $V$  is either in  $S$  or has an edge to some vertex in  $S$ . The definition of the problem is the following:

**INPUT:** Graph  $G = (V, E)$  and integer  $k$ .

**PROBLEM:** Does  $G$  have a dominating set of size at most  $k$ ?

We suggest you use the following reduction from 3-SAT to DS. The reduction function takes as input a 3-SAT formula  $F(X_1, X_2, \dots, X_n)$  with clauses  $C_1, \dots, C_m$  such that  $C_i = Z_{i1} \vee Z_{i2} \vee Z_{i3}$ , where  $Z_{ij}$  denotes a literal. The output of the reduction is a graph  $G = (V, E)$  and  $k = n$ . The graph is constructed as follows. For each clause  $C_i$  there is a vertex  $c_i$ . For each variable  $X_j$  there are three vertices  $x_j, \bar{x}_j$  and  $y_j$ . The three vertices for each variable are connected to each other to form a triangle. The vertex for a clause is connected by an edge to each of the three vertices that correspond to its literals.

**Problem 3.** [20 points] Given a graph  $G = (V, E)$ , a *clique* in  $G$  is a set of vertices  $S \subseteq V$  such that for any pair of distinct vertices  $u, v \in S$ , the edge  $(u, v)$  is present in  $G$ . The *size* of the clique is the number of vertices in it. We define CLIQUE to be the following decision problem:

**INPUT:** A graph  $G = (V, E)$ , and an integer  $k$ .

**PROBLEM:** Does  $G$  have a clique of size at least  $k$ ?

Let 50-CLIQUE be the following variant on the CLIQUE problem:

**INPUT:** A graph  $G = (V, E)$ .

**PROBLEM:** Does  $G$  have a clique of size at least 50?

For two graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$ , we say that  $G_1$  contains a copy of  $G_2$  as a subgraph if there exists a subset  $S \subseteq V_1$  of the vertices in  $G_1$  with  $|S| = |V_2|$  and a bijection  $f : S \rightarrow V_2$  such that, for all pairs of vertices  $u, v \in S$ ,  $u \neq v$ ,  $(u, v) \in E_1$  if and only if  $(f(u), f(v)) \in E_2$ . The SUBGRAPH ISOMORPHISM problem is defined as follows:

**INPUT:** Two graphs  $G_1$  and  $G_2$ .

**PROBLEM:** Does  $G_1$  contain a copy of  $G_2$  as a subgraph?

The CLIQUE problem is NP-complete (see Exercise 10.4.1 in the textbook).

- (a). Prove one of the following two statements: (i) 50-CLIQUE is in P; (ii) 50-CLIQUE is NP-hard.  
(b). Prove that SUBGRAPH ISOMORPHISM is NP-complete.

**Problem 4.** [20 points] Prove that the following problem, called TRUE-SAT, is NP-complete. The definition of the problem is the following:

**INPUT:** A boolean formula  $F(X_1, X_2, \dots, X_n)$  such that  $F(T, T, \dots, T) = T$ . In other words,  $F$  can be satisfied by setting each variable  $X_j$  to TRUE.

**PROBLEM:** Does  $F$  have a satisfying truth assignment in which at least one of the variables is set to FALSE?

We propose the following reduction from 3-SAT to TRUE-SAT. Given a 3-SAT formula  $F$  with clauses  $C_1, \dots, C_m$  and the variables  $X_1, \dots, X_n$ , we construct a CNF formula  $G$  which is an instance of the TRUE-SAT problem. The new formula  $G$  has one new variable called  $Y$ . For each clause  $C_i$  in  $F$ , we add the clause  $C_i \vee Y$  to  $G$ . We also add to  $G$  clauses of the form  $\overline{Y} \vee X_j$ , for each variable  $X_j$ . For example, if  $F = (X_1 \vee \overline{X}_2 \vee X_3) \wedge (\overline{X}_1 \vee X_2 \vee X_3)$  then  $G = (Y \vee X_1 \vee \overline{X}_2 \vee X_3) \wedge (Y \vee \overline{X}_1 \vee X_2 \vee X_3) \wedge (\overline{Y} \vee X_1) \wedge (\overline{Y} \vee X_2) \wedge (\overline{Y} \vee X_3)$ .

**Problem 5.** [20 points] Recall that, in the 3-SAT problem, the input formula must have exactly *three* literals per clause. The 2-SAT problem, which is defined as follows, is the analogue of 3-SAT in which the formula has two literals per clause.

**INPUT:** A boolean formula  $F(X_1, \dots, X_n)$  in conjunctive normal form with exactly *two* literals per clause.

**PROBLEM:** Does there exist a satisfying truth assignment for  $F$ ?

You are required to show that there is a polynomial-time reduction from the 2-SAT problem to the 3-SAT problem.

- (a). Provide a polynomial-time reduction which will convert a 2-SAT formula  $F$  into a 3-SAT formula  $G$ . Briefly explain why your reduction works in polynomial time.  
(b). For your reduction, show that the input  $F$  is satisfiable if and only if the output  $G$  is satisfiable.  
(c). Does all this prove that 2-SAT is NP-hard? Explain.