

YEAH - Priority Queue

Anton Apostolatos



Source: XKCD

Queue: order items by when they were placed - first in, first out (*FIFO*)

Functions

```
void enqueue(string s) // Inserts an element into the queue
string dequeue() // Returns and removes the first element placed
string peek() // Returns the first element placed
int size() // Returns the number of elements
bool isEmpty() // Returns whether the queue is empty
```



PriorityQueue: order items by rank

Functions

```
void enqueue(string s) // Inserts an element into the priority queue
string dequeueMin() // Returns and removes the highest-ranked item
string peek() // Returns the highest-ranked item
int size() // Returns the number of elements
bool isEmpty() // Returns whether the queue is empty
```



Order is lexicographic/alphabetic!

“Albus” < “Ginny” < “Harry” < “Hermione” < “Ronald” < “Tom Marvolo”

```
PQueue pq;  
  
pq.enqueue("There");  
pq.enqueue("And");  
pq.enqueue("Back");  
pq.enqueue("Again");  
  
cout << pq.dequeue << endl;  
cout << pq.dequeue << endl;
```

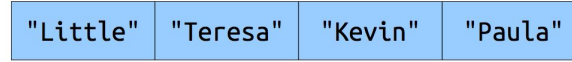


Console

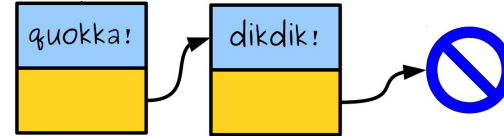
```
Again  
And
```

A5: PQueue

Unsorted Vector



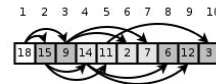
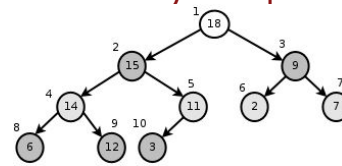
Sorted Singly-Linked List



Unsorted Doubly-Linked List



Binary Heap

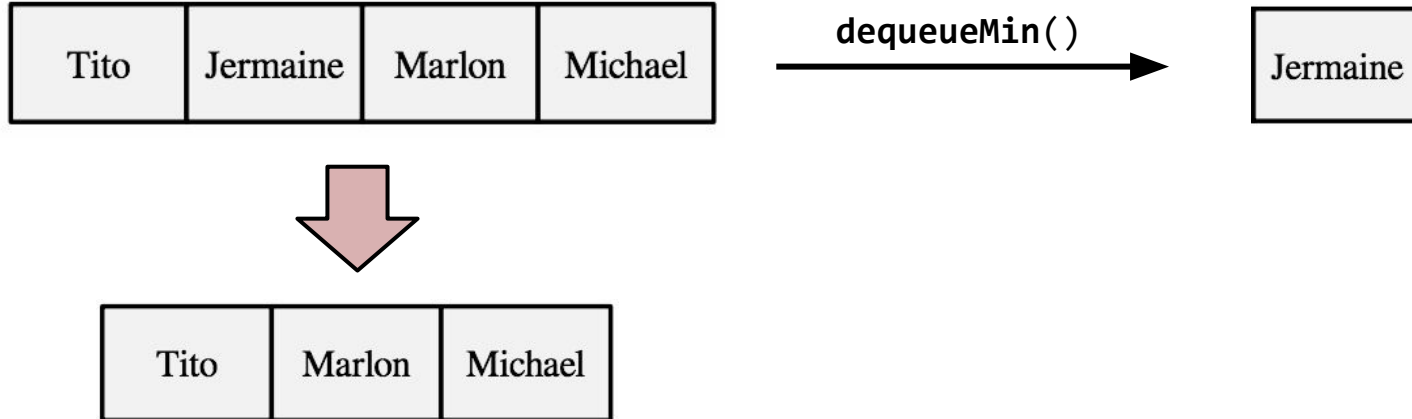


Unsorted Vector

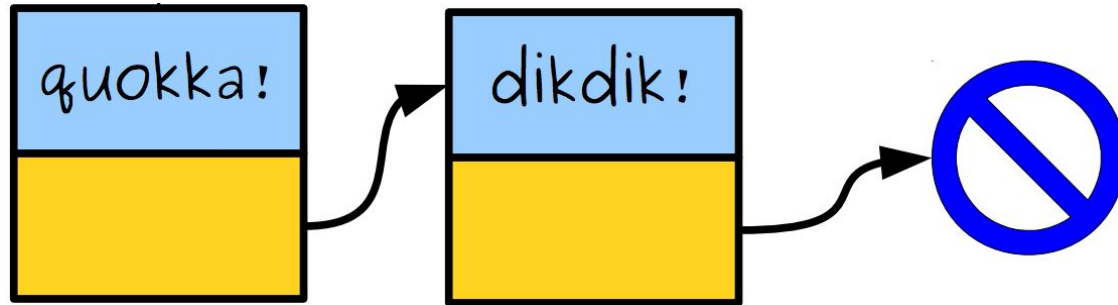
| | | | |
|----------|----------|---------|---------|
| "Little" | "Teresa" | "Kevin" | "Paula" |
|----------|----------|---------|---------|

Unsorted and Vector wrapper - Simplest to implement and think about!

- > **Enqueue**: append to a vector!
- > **Dequeue/peek**: scan the vector and find the smallest element



Sorted Singly-Linked List



free memory :-



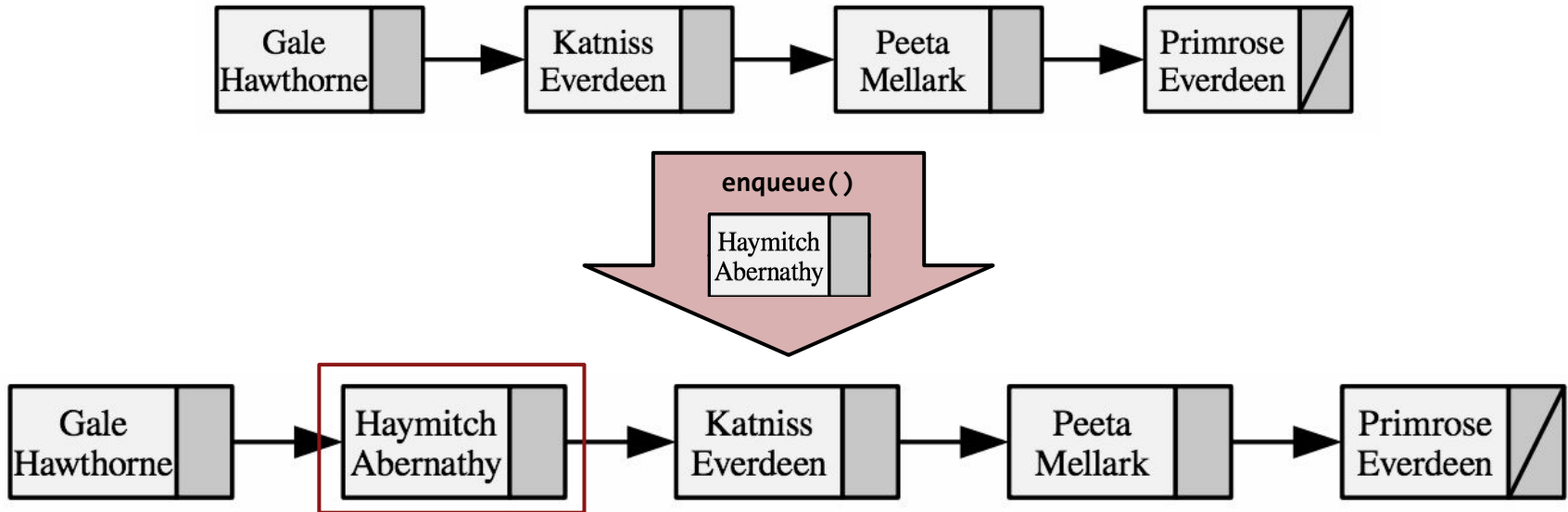
```
1 p = s1;  
2 s1 = s1 -> next;  
3 (s1 -> data == x)  
4 p -> next = s1 -> next;  
5 free(s1);  
6 s1 = null;
```

```
p = s1;  
s1 = s1 -> next
```

Draw as you code!

You need to create a Linked List and enforce that all elements are stored in lexicographic order

- > **Enqueue:** look for its place in the list and place it there
- > **Dequeue/peek:** first element!



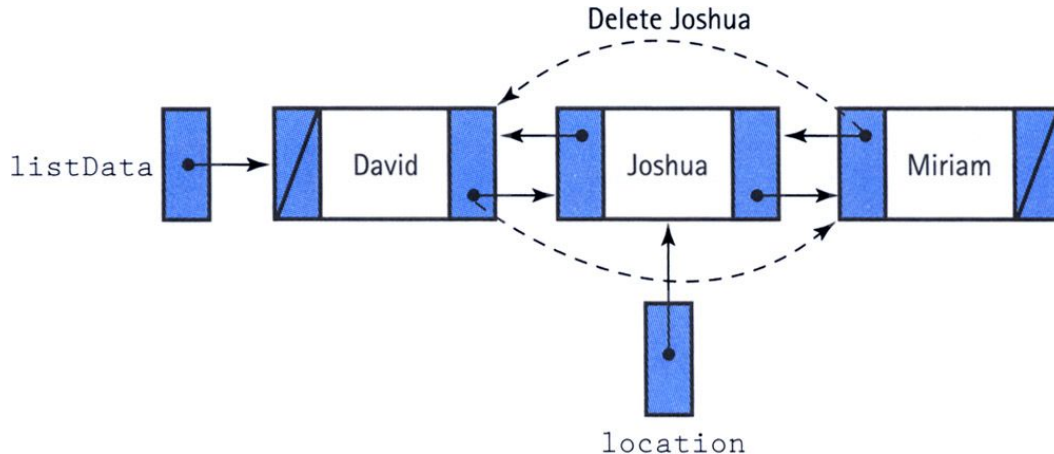
Unsorted Doubly-Linked List



Unsorted, but every cell now has a **next** and **prev** pointer

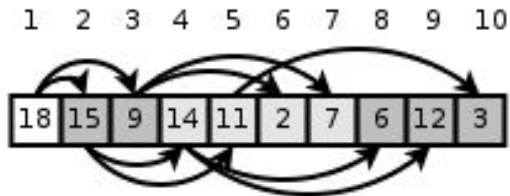
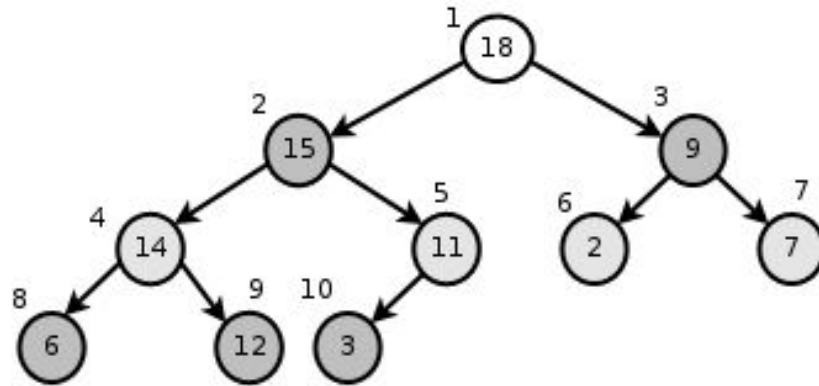
New functionality: You can splice (remove) a cell without needing to keep a second pointer!

- > **Enqueue:** prepend new item to the list
- > **Dequeue/peek:** loop through list to find smallest element



Binary Heap

Slides by Chris Gregg!



Binary Heaps

A heap is a *tree-based* structure that satisfies the heap property:

Parents have a higher priority than any of their children.

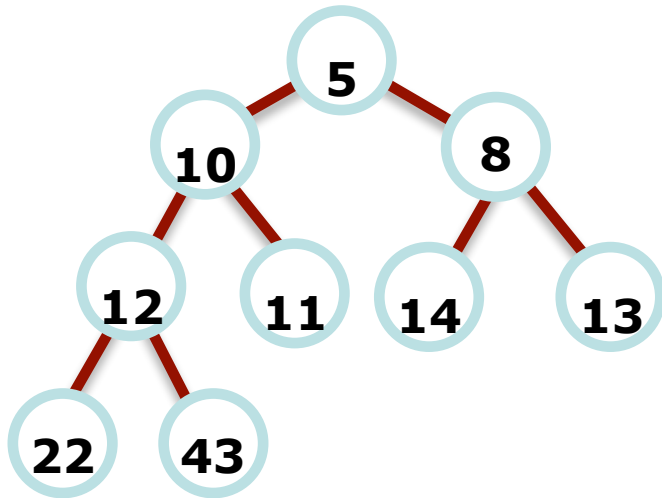


Binary Heaps

- There are two types of heaps:

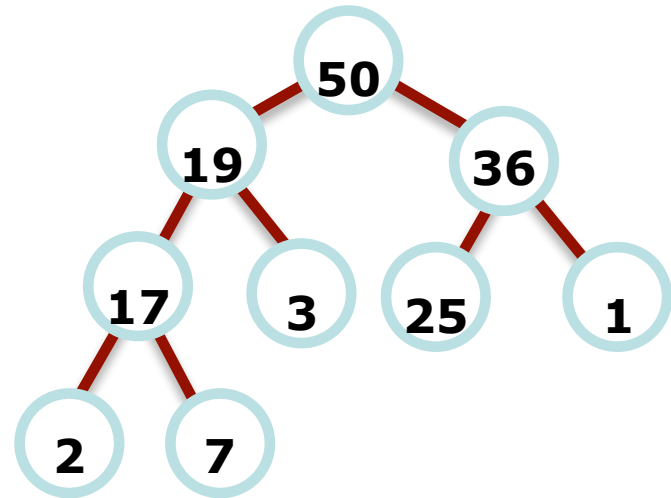
Min Heap

(root is the smallest element)



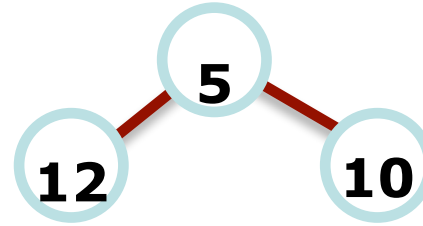
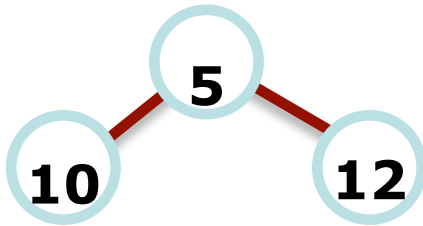
Max Heap

(root is the largest element)



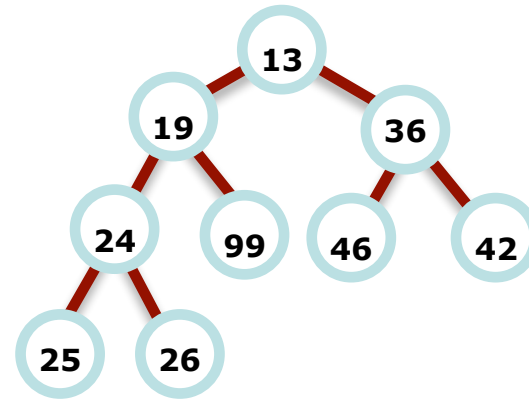
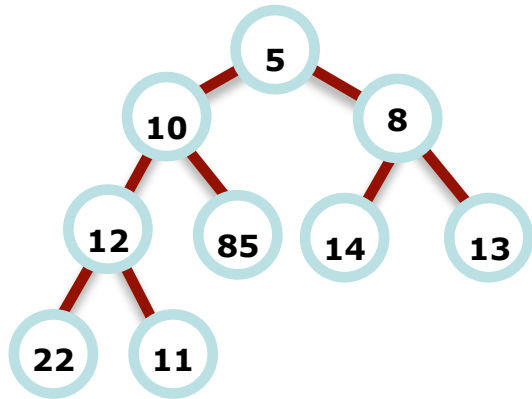
Binary Heaps

- There are no implied orderings between siblings, so both of the trees below are min-heaps:



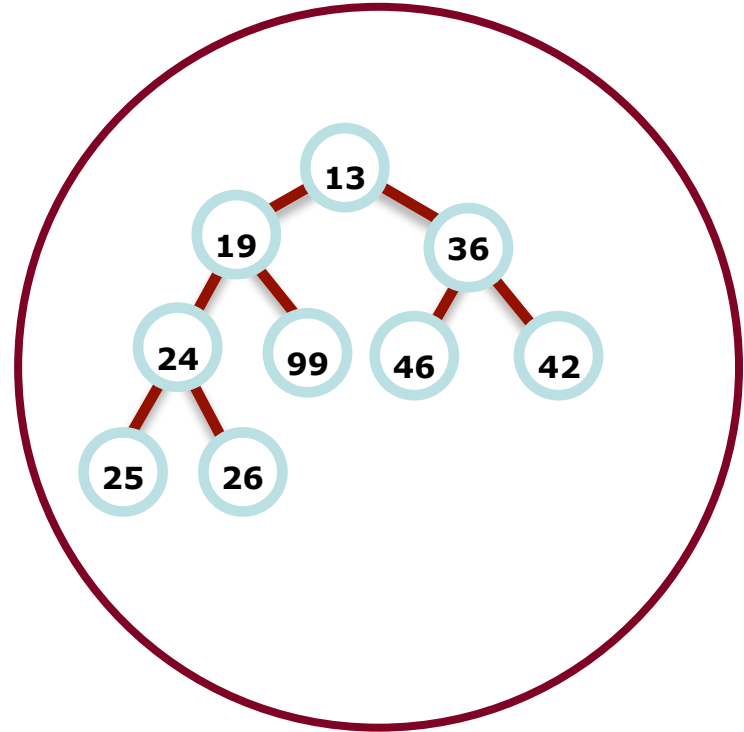
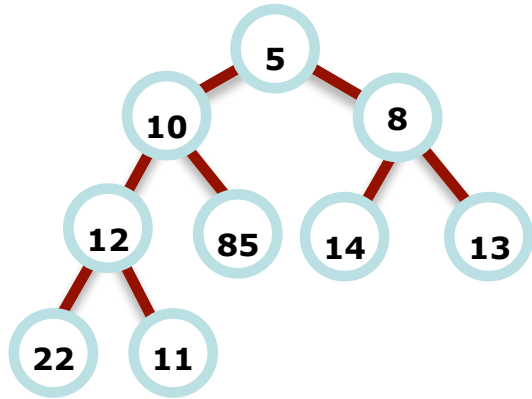
Binary Heaps

- Circle the min-heap(s):



Binary Heaps

- Circle the min-heap(s):



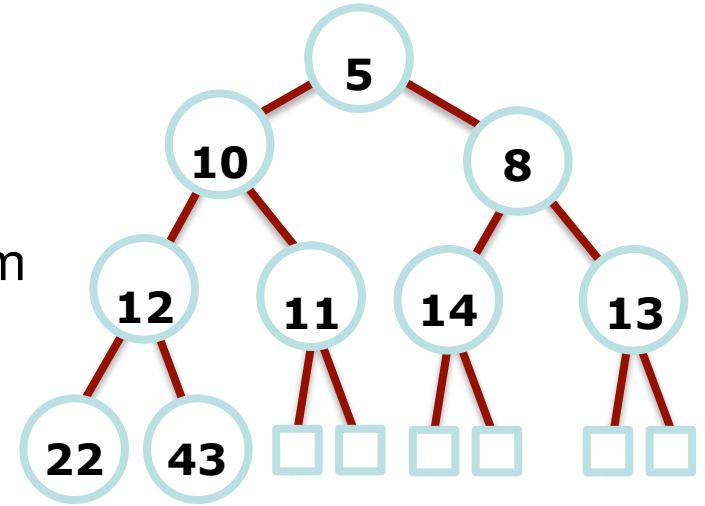
Binary Heaps

Heaps are completely filled, with the exception of the bottom level. They are, therefore, "**complete binary trees**":

complete: all levels filled except the bottom

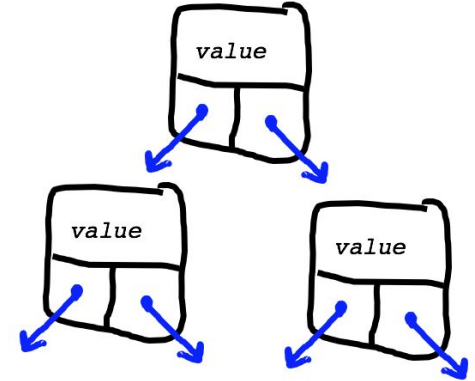
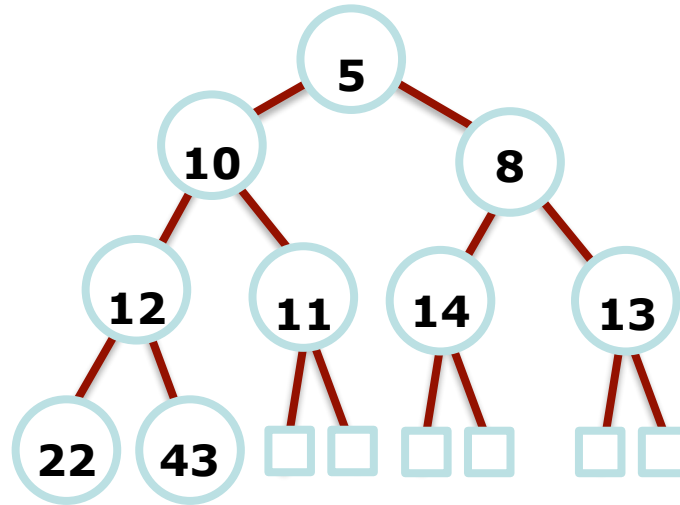
binary: two children per node (parent)

height? $\log(n)$



Binary Heaps

What is the best way to store a heap?



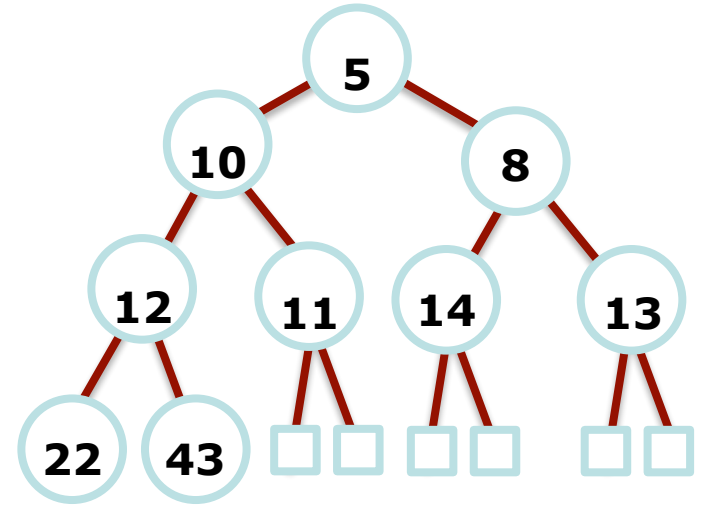
We could use a node-based solution, but...



Binary Heaps

It turns out that an array works **great** for storing a binary heap!

We will put the root at index 1 instead of index 0 (this makes the math work out just a bit nicer).



| | | | | | | | | | | | |
|-----|----------|-----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

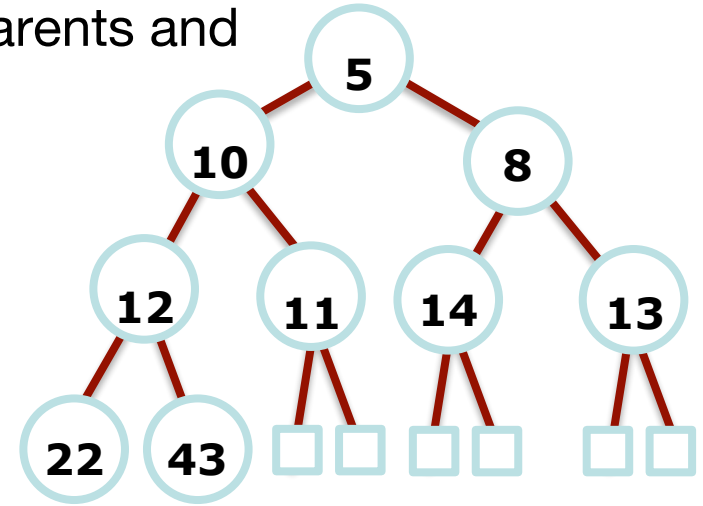


Binary Heaps

The array representation makes determining parents and children a matter of simple arithmetic:

For an element at position i :

- left child is at $2i$
- right child is at $2i+1$
- parent is at $\lfloor i/2 \rfloor$



| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

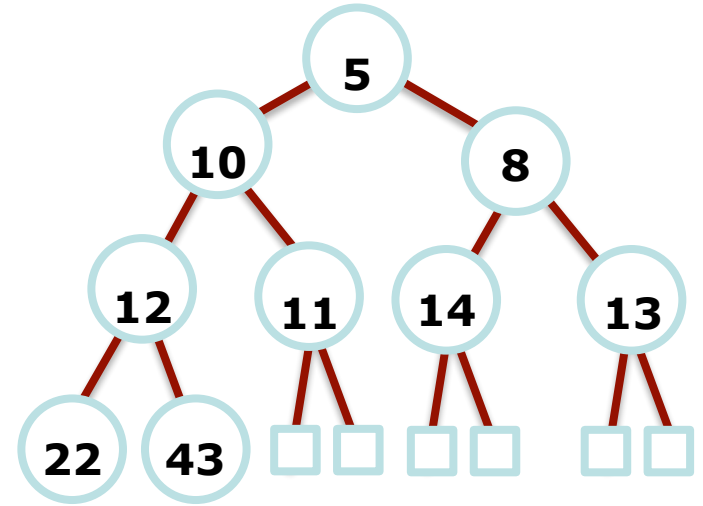


Heap Operations

Remember that there are three important priority queue operations:

- **peek()**: return an element of h with the smallest key.
- **enqueue(e)**: insert element e into the heap.
- **dequeueMin()**: removes the smallest element from h .

We can accomplish this with a heap!

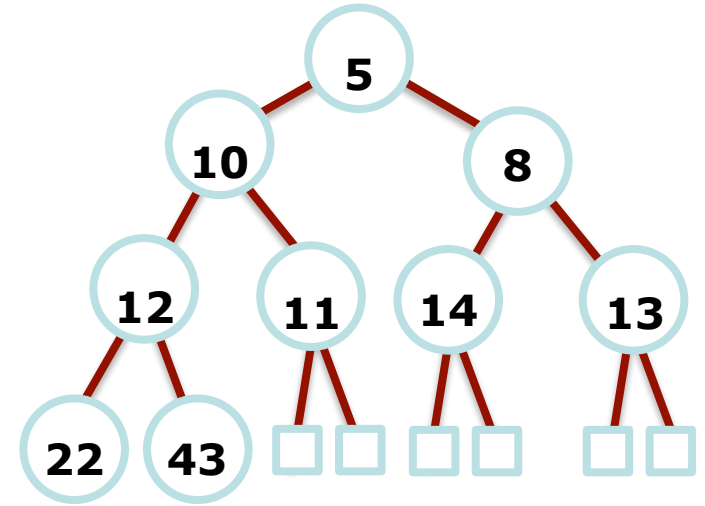


Heap Operations: peek()

`peek()`

Just return the root!

```
return heap[1]
```



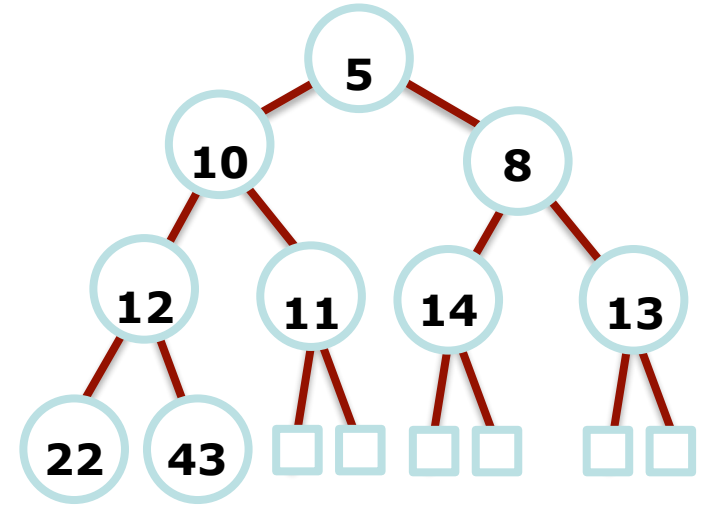
| | | | | | | | | | | | |
|-----|----------|-----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |



Heap Operations: enqueue(k)

enqueue (k)

How might we go about inserting into a binary heap?



| | | | | | | | | | | | |
|-----|----------|-----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |



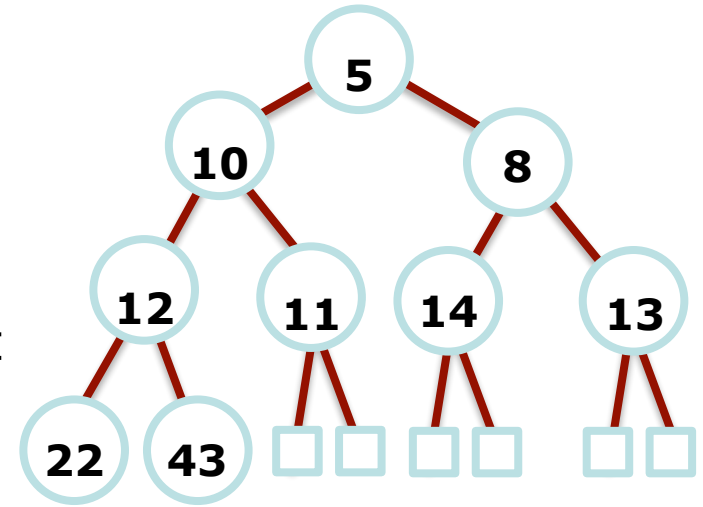
Heap Operations: enqueue(k)

Heap Operations: `enqueue(k)`

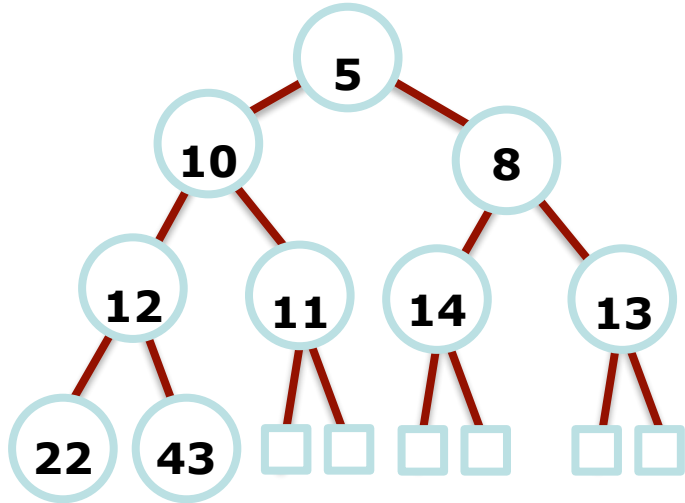
Insert item at element `array[heap.size()+1]`
(this probably destroys the heap property)

Perform a “**bubble up**” operation:

- Compare the added element with its parent
 - if in correct order, stop
 - If not, swap and repeat



Heap Operations: enqueue(9)

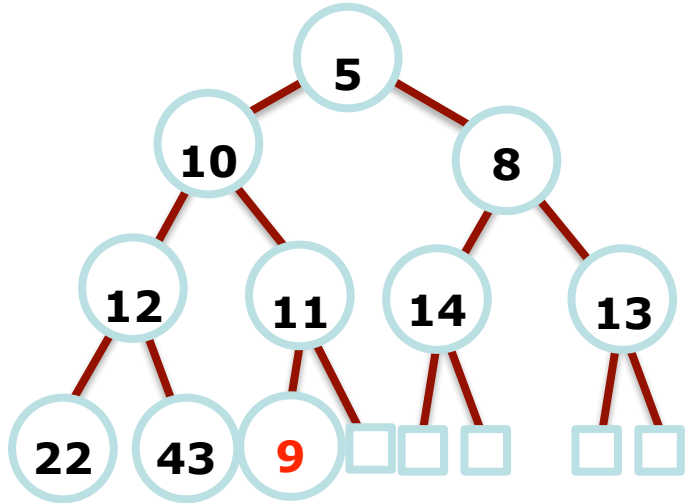


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|-----|----------|-----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

Start by inserting the key at the first empty position. This is always at index `heap.size() + 1`.



Heap Operations: enqueue(9)

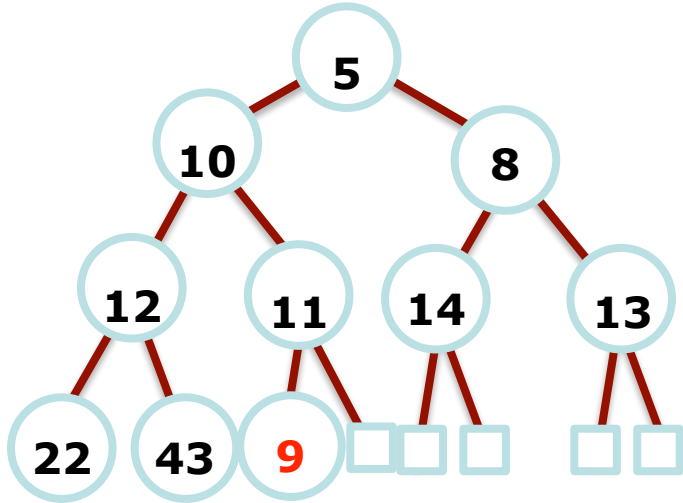


| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | 9 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

Start by inserting the key at the first empty position. This is always at index `heap.size() + 1`.



Heap Operations: enqueue(9)

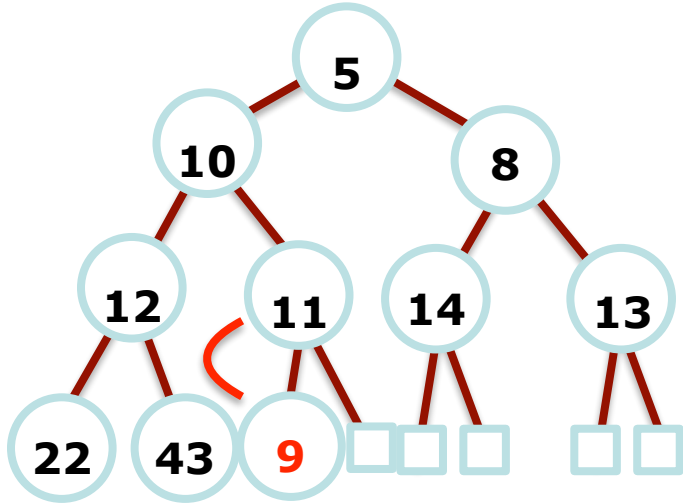


| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | 9 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

Look at parent of index 10, and compare:
do we meet the heap property
requirement?



Heap Operations: enqueue(9)



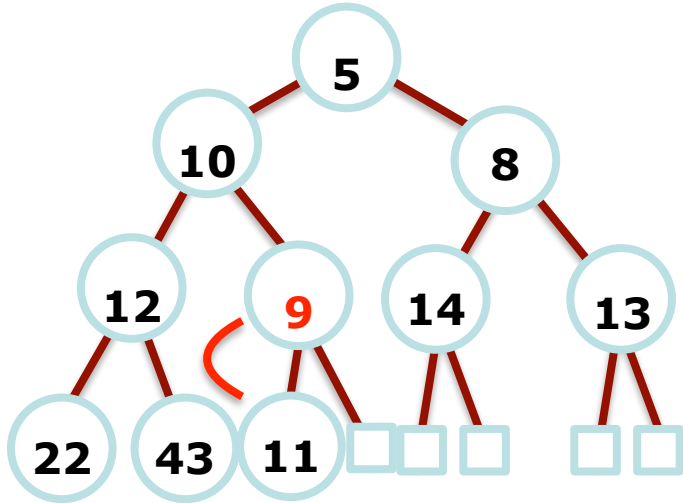
| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 11 | 14 | 13 | 22 | 43 | 9 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

Look at parent of index 10, and compare:
do we meet the heap property
requirement?

No -- we must swap.



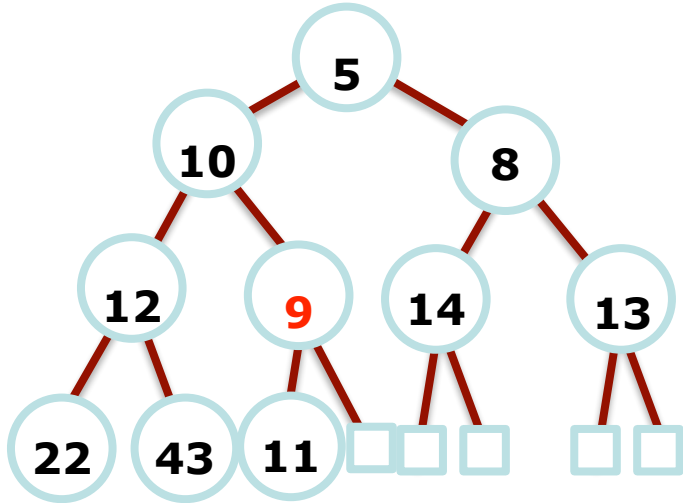
Heap Operations: enqueue(9)



| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 9 | 14 | 13 | 22 | 43 | 11 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |



Heap Operations: enqueue(9)



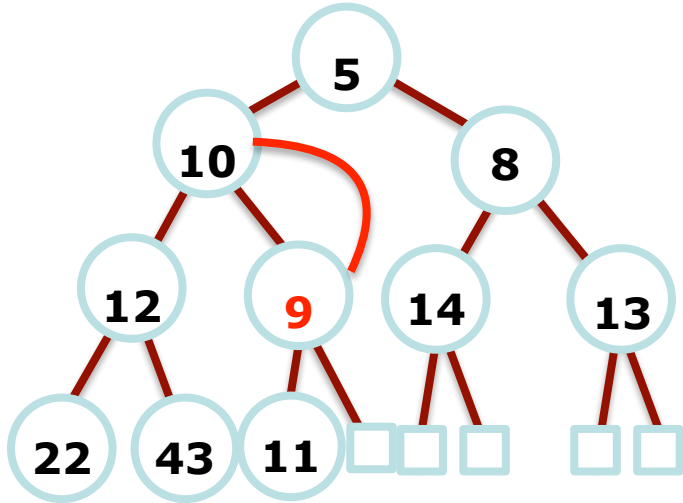
| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 9 | 14 | 13 | 22 | 43 | 11 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

Look at parent of index 5, and compare: do we meet the heap property requirement?

No -- we must swap.



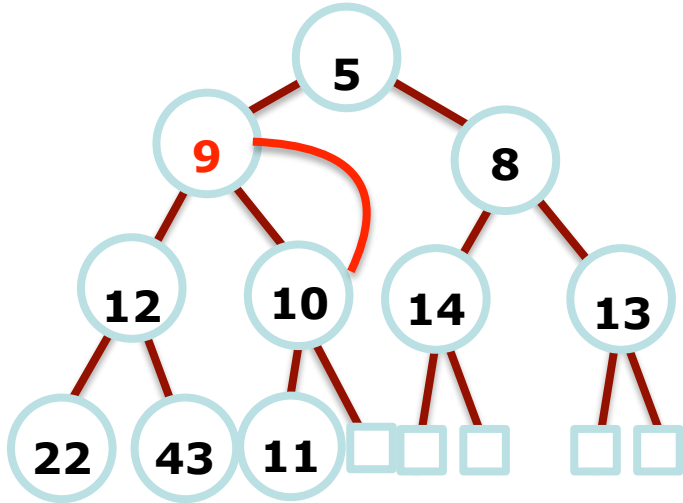
Heap Operations: enqueue(9)



| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 10 | 8 | 12 | 9 | 14 | 13 | 22 | 43 | 11 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |



Heap Operations: enqueue(9)

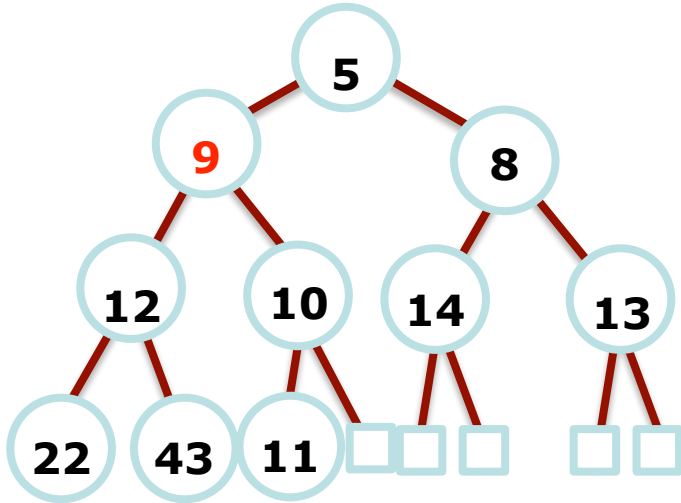


| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 9 | 8 | 12 | 10 | 14 | 13 | 22 | 43 | 11 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

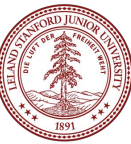


Heap Operations: enqueue(9)

No swap necessary between index 2 and its parent.
We're done bubbling up!



| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 9 | 8 | 12 | 10 | 14 | 13 | 22 | 43 | 11 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |



Demo!

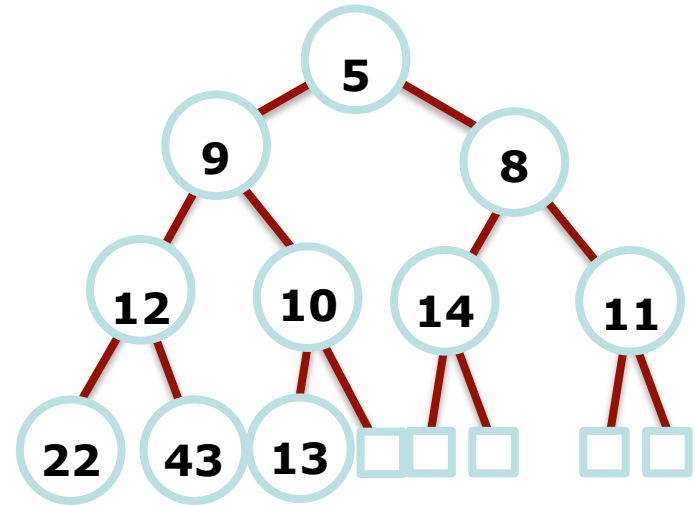
<http://www.cs.usfca.edu/~galles/visualization/Heap.html>

Heap Operations: dequeue()

- How might we go about removing the minimum?

`dequeue ()`

| | | | | | | | | | | | |
|-----|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------|
| | 5 | 9 | 8 | 12 | 10 | 14 | 11 | 22 | 43 | 13 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

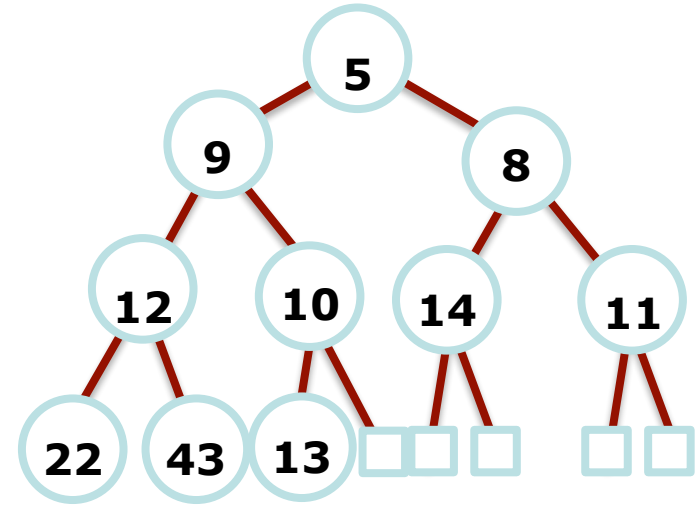


Heap Operations: dequeue()

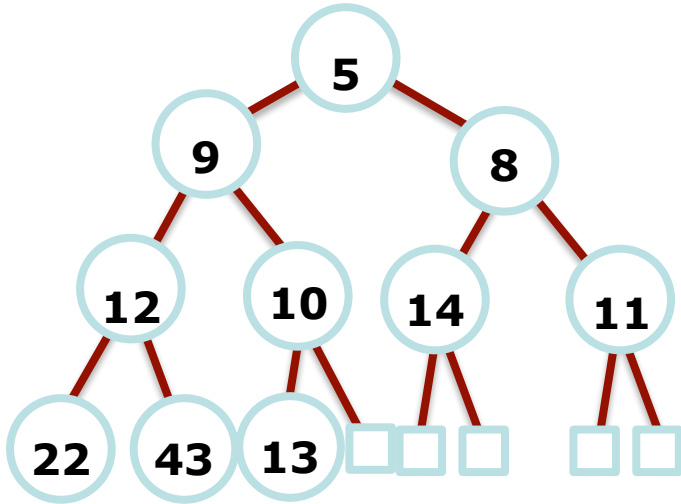
We are removing the root, and we need to retain a complete tree: replace root with last element.

“**bubble-down**” or “down-heap” the new root:

- Compare the root with its children:
 - if in correct order, stop.
 - if not, swap with smallest child, and repeat



Heap Operations: dequeue()

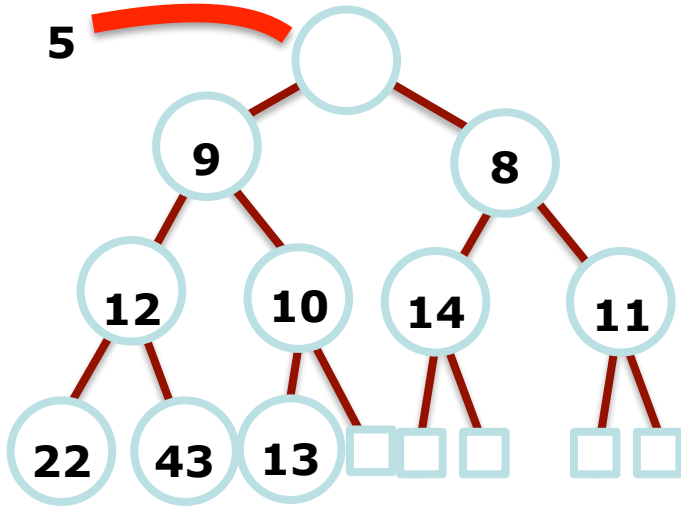


| | | | | | | | | | | | |
|-----|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------|
| | 5 | 9 | 8 | 12 | 10 | 14 | 11 | 22 | 43 | 13 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |



Heap Operations: dequeue()

Remove root (will return at the end)

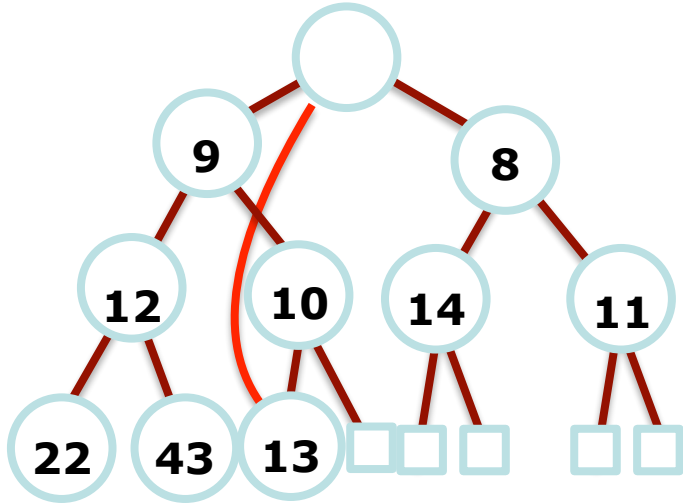


| | | | | | | | | | | | |
|-----|----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------|
| | 5 | 9 | 8 | 12 | 10 | 14 | 11 | 22 | 43 | 13 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |



Heap Operations: dequeue()

Move last element (at `heap[heap.size()]`) to the root (this may be unintuitive!) to begin bubble-down

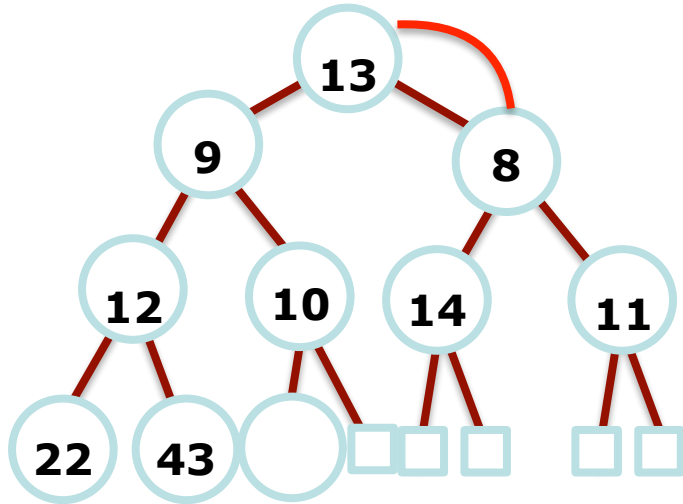


| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| | 5 | 9 | 8 | 12 | 10 | 14 | 11 | 22 | 43 | 13 | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |



Heap Operations: dequeue()

Compare children of root with root: swap root with the smaller one (why?)



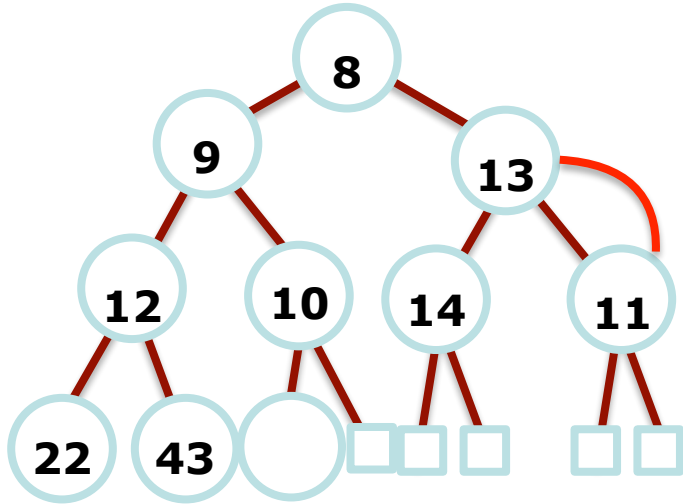
| | | | | | | | | | | | |
|-----|-----------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|------|------|
| | 13 | 9 | 8 | 12 | 10 | 14 | 11 | 22 | 43 | | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |

An array diagram showing the sequence of elements: 13, 9, 8, 12, 10, 14, 11, 22, 43. A red arrow points from index 1 to index 2, indicating a swap.



Heap Operations: dequeue()

Keep swapping new element if necessary. In this case: compare 13 to 11 and 14, and swap with smallest (11).

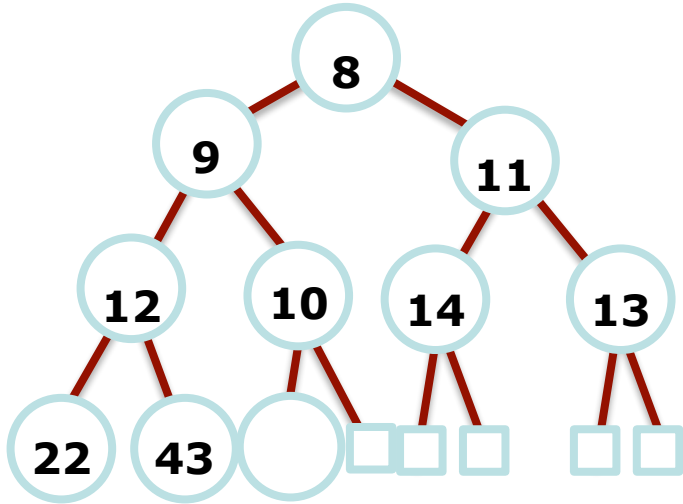


| | | | | | | | | | | | |
|-----|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------|------|
| | 8 | 9 | 13 | 12 | 10 | 14 | 11 | 22 | 43 | | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |



Heap Operations: dequeue()

13 has now bubbled down until it has no more children, so we are done!



| | | | | | | | | | | | |
|-----|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------|------|
| | 8 | 9 | 11 | 12 | 10 | 14 | 13 | 22 | 43 | | |
| [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] | [8] | [9] | [10] | [11] |



Questions?

Tips and Tricks

- Height of a binary heap is $O(\log(n))$
- Before writing any code, go through simple toy examples by hand to make sure your proposed solution's logic is sound
- Don't forget the semicolon after a struct or class definition!
- Bad idea to declare multiple pointers on the same line:



```
Node * head, tail;
```

Tips and Tricks: Continued

- Nested structs are weird. If we create a cell inside of PQueue then a helper function that returns a Cell* would be *declared* as:

```
Cell* helperFunction(Cell* ptr);
```

- And would be *implemented* as

```
PQueue::Cell* PQueue::helperFunction(Cell* ptr);
```

- Do your best to make your size functions not O(n)! → how?
- We'll ask you for Big-O of every function you write!

General questions?