## Beyond Data Structures

## Huffman Encoding




## It's All Bits and Bytes

- Data stored on disk consists of 0 s and 1 s .
- Usually encoded by magnetic orientation on small (10nm!) metal particles or by trapping electrons in small gates.
- A single 0 or 1 is called a bit.
- A group of eight bits is called a byte. 00000000, 00000001, 00000010, 00000011, 00000100, 00000101, 00000110, ...
- There are $2^{8}=256$ different bytes.
- Great recursion practice: Write a function to list all of them!


## Representing Text

- Text uses all sorts of different characters.
- Computers require everything to be written as zeros and ones.
- To represent text inside the computer, we can choose some way of mapping characters to series of zeros and ones and vice-versa.
- There are many ways to do this.


## Baudot Codes

- The Baudot code was one of the first codes for representing text as 0s and 1 s .
- It was used by early teleprinters and, while mostly obsoleted, is still around.
- Coldplay's album $\boldsymbol{X \& Y}$ used it for their Hip and Stylish album cover.


## ASCII

- Early (American) computers needed some standard way to send output to their (physical!) printers.
- Since there were fewer than 256 different characters to print (1960's America!), each character was assigned a one-byte value.
- This initial code was called ASCII. Surprisingly, it's still around, though in a modified form (more on that later).
- For example, the letter A is represented by the byte 01000001 (65). You can still see this in C++:

$$
\text { cout << int('A') << endl; // Prints } 65
$$

## ASCII

- In ASCII:
- Each character is exactly one byte (8 bits).
- All computers agree in advance which characters have which values.
- This makes it possible to transmit text data from one computer to another by just writing out a series of bits.
- Here's what this might look like:


## Transmitting the Dikdik

| K | 01001011 |
| :---: | :---: |
| I | 01001001 |
| R | 01010010 |
| K | 01001011 |
| ' | 00100111 |
| S | 01010011 |
|  | 00100000 |
| D | 01000100 |
| I | 01001001 |
| K | 01001011 |
| D | 01000100 |
| I | 01001001 |
| K | 01001011 |



## Transmitting the Dikdik

| K | 01001011 |  |
| :--- | :--- | :--- |
| I | 01001001 |  |
| R | 01010010 |  |
| K | 01001011 |  |
| ' | 00100111 |  |
| S | 01010011 | 01001011010010010101001001001011 <br> 00100111010100110010000001000100 |
| D | 00100000 | 01001001010010110100010001001001 <br> I <br> 01001001 <br> K |
| D | 01001011 |  |
| I | 010001011 |  |
| K | 01001001 |  |

## An Observation

- In ASCII, every character has exactly the same number of bits in it.
- Any message with $n$ characters will use up exactly $8 n$ bits.
- Space for Kirk's dikdik: 104 bits.
- Space for COPYRIGHTABLE: 104 bits.
- We say that ASCII is a fixed-length encoding.


## A Different Encoding

- The phrase KIRK'S DIKDIK has exactly 7 different characters in it.
- We can use a different encoding to represent this string using many fewer bits:

| 000 | 001 | 010 | 000 | 011 | 100 | 101 | 110 | 001 | 000 | 110 | 001 | 000 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| K | I | R | K | ' | S |  | D | I | K | D | I | K |

- Down from 104 bits to 39 bits: using $37.5 \%$ as much space as before!

| K | 000 | S | 100 |
| :---: | :---: | :---: | :---: |
| I | 001 |  | 101 |
| R | 010 | D | 110 |
| ' | 011 |  |  |

## The Key Idea

- If we can find a way to
give all characters a bit pattern,
that both the sender and receiver know about, and
that can be decoded uniquely,
then we can represent the same piece of text in multiple different ways.
- Goal: Find a way to do this that uses less space than the standard ASCII representation.


## Exploiting Redundancy

- Not all letters have the same frequency in "KIRK'S DIKDIK."
- Frequency table:

| K | 4 |
| :---: | :---: |
| I | 3 |
| D | 2 |
| R | 1 |
| ' | 1 |
| S | 1 |
|  | 1 |

- What if we gave shorter encodings to more common characters?


## A First Attempt

| K | 0 |
| :---: | :---: |
| I | 1 |
| D | 00 |
| R | 01 |
| ' | 10 |
| S | 11 |
|  | 100 |

0101010111000000100010

| $\mathbf{O}$ | 1 | 01 | 0 | 10 | 11 | 100 | 00 | 001 | 0 | 00 | 1 | 0 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| K | I | R | K | I | S |  | D | I | K | D | I | K |

## A First Attempt

| K | 0 |
| :---: | :---: |
| I | 1 |
| D | 00 |
| R | 01 |
| ' | 10 |
| S | 11 |
|  | 100 |

0101010111000000100010

| 01 | 01 | 01 | 11 | 00 | 00 | 00 | 100 | 01 | 0 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| R | R | R | S | D | D | D |  | R | K |

## The Problem

- If we use a different number of bits for each letter, we can't necessarily uniquely determine the boundaries between letters.
- We need an encoding that makes it possible to determine where one character stops and the next starts.
- Is this possible? If so, how?


## Prefix Codes

- A prefix code is an encoding system in which no code is a prefix of another code.
- For example:

| K | 10 |
| :---: | :---: |
| I | 01 |
| D | 110 |
| R | 1111 |
| ' | 001 |
| S | 000 |
|  | 1110 |

## Prefix Codes

| K | 10 |
| :---: | :---: |
| I | 01 |
| D | 110 |
| R | 1111 |
| ' | 001 |
| S | 000 |
|  | 1110 |

1001111110001000111011001101100110

# Prefix Codes 

| K | 10 |  |
| :---: | :---: | :---: |
| I | 01 |  |
| D | 110 |  |
| R | 1111 | 1001111110001000111011001101100110 |
| ' | 001 |  |
| S | 000 |  |
|  | 1110 |  |

10
K

## Prefix Codes

| K | 10 |
| :---: | :---: |
| I | 01 |
| D | 110 |
| R | 1111 |
| ' | 001 |
| S | 000 |
|  | 1110 |

1001111110001000111011001101100110

| 10 | 01 |
| :---: | :---: |
| K | I |

## Prefix Codes

| K | 10 |
| :---: | :---: |
| I | 01 |
| D | 110 |
| R | 1111 |
| ' | 001 |
| S | 000 |
|  | 1110 |

1001111110001000111011001101100110

| 10 | 01 | 1111 |
| :---: | :---: | :---: |
| K | I | R |

## Prefix Codes

- Using this prefix code, we can represent KIRK'S DIKDIK as the sequence 1001111110001000111011001101100110
- This uses just 34 bits, compared to our initial 39.
- Remaining questions:
- How do you generate a prefix code?
- And what does any of this have to do with binary trees?


## Time-Out for Announcements!

## Assignment 5

- Assignment 5 is due on Friday.
- Want to use a late day? Turn it in on Monday of next week.
- Have questions?
- Stop by the LaIR!
- Stop by our office hours!
- Ask your section leader!
- Ask a partner!
- Ask on Piazza!

Ceçi n'est pas une annonce.

| K | 10 |
| :---: | :---: |
| I | 01 |
| R | 1111 |
| ' | 001 |
| S | 000 |
|  | 1110 |
| D | 110 |

$$
1001111110001000111011001101100110
$$

| K | 1111110 |
| :---: | :---: |
| I | 111110 |
| R | 11110 |
| ' | 1110 |
| S | 110 |
|  | 10 |
| D | 0 |

How do you find a "good" prefix code?

## The Key Idea



Finding a good prefix code is equivalent to finding a good binary tree with all values stored at the leaves.

## How do we find the best binary tree with this property?

## Huffman Coding

K
4

$+$

| K | 4 |
| :---: | :---: |
| I | 3 |
| D | 2 |
| R | 1 |
| ' | 1 |
| $S$ | 1 |
|  | 1 |

## Huffman Coding



## Two Important Details

## Transmitting the Tree

- In order to decompress the text, we have to remember what encoding we used!
- Idea: Prefix the compressed data with a header containing enough information to rebuild the table.


## Encoding information 1101110010111011110001001101010111100

- This might increase the total file size!
- Theorem: There is no compression algorithm that can always compress all inputs.
- Proof: Take CS103!


## One Last Thing...

## Bitten by Bytes

| 10011111 | 10001000 | 11101100 | 11011001 | 10 |
| :--- | :--- | :--- | :--- | :--- |
| 10011111 | 10001000 | 11101100 | 11011001 | $10 ? ? ? ? ? ?$ |

## Spare Bits

- The encoded message might not actually use all 8 bits in its final byte.
- All files are stored as bytes, so those last bits will be filled in with garbage.
- If we don't know when to stop, we might decode extra garbage data when decompressing.



## Once More, With Stops

| 10 | 00 | 1100 | 10 | 1111 | 1101 | 1110 | 011 | 00 | 10 | 011 | 00 | 10 | 010 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| K | I | R | K | ' | S |  | D | I | K | D | I | K | $\square$ |

$100011001011111101111001100100110010010 ?$

| K | 10 | ' | 1111 |
| :---: | :---: | :---: | :---: |
| I | 00 | S | 1101 |
| D | 011 |  |  |
| R | 1100 |  |  |
|  |  | 1110 |  |
|  |  | 010 |  |

## Pseudo-EOFs

- The marker $\square$ we inserted is called a pseudo-end-of-file marker (or pseudoEOF).
- Indicates where the encoding stops.
- Similar to how RNA and DNA encode proteins - certain codons are reserved for "stop here."


## Summary of Huffman Encoding

- Prefix-free encodings can be modeled as binary trees.
- Huffman encoding uses a greedy algorithm to construct encodings.
- We need to send the encoding table with the compressed message.
- We use a pseudo-EOF as a marker that the end of the bits has been reached.


## Beyond ASCII

- If you just want to store ASCII text (English characters, digits, etc.), then one byte per character suffices.
- What if you want to store non-English characters or more general symbols?
- For example:
- ¿Cómo estás?
- السلام عليكم



## Unicode

- Unicode is a system for representing glyphs and symbols from all languages and disciplines.
- Uses a two-level encoding system:
- Each glyph has a code point (a number) associated with it.
- The code points are then represented using one of several variable-length encodings.


## UTF-8

## Option 1

## 0ddddddd

Option 2
110ddddd 10dddddd
Option 3
1110dddd 10dddddd 10dddddd
Option 4
11110ddd 10dddddd 10dddddd 10dddddd

## UTF-8

## 11100000100111111001010110001100 11100000100111111001010110001100

## 0000011111010101001100

Further Topics

## More to Explore

- Kolmogorov Complexity
- What's the theoretical limit to compression techniques?
- Adaptive Coding Techniques
- Can you change your encoding system as you go?
- Shannon Entropy
- A mathematical bound on Huffman coding.
- Binary Tries
- Other applications of trees like these!

