Binary Search Trees

# Friday Four Square! 4:15PM, Outside Gates

### Implementing Set

- On Monday and Wednesday, we saw how to implement the Map and Lexicon, respectively.
- Let's now turn our attention to the **Set**.
- Major operations:
  - Insert
  - Remove
  - Contains

### An Inefficient Implementation

- We could implement the **Set** as a list of all the values it contains.
- To add an element:
  - Check if the element already exists.
  - If not, append it.
- To remove an element:
  - Find and remove it from the list.
- To see if an element exists:
  - Search the list for the element.

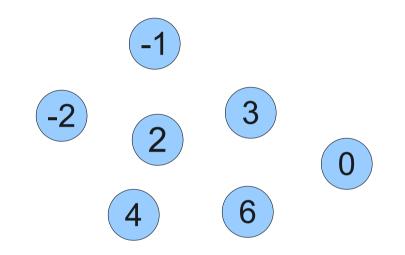
## Using Hashing

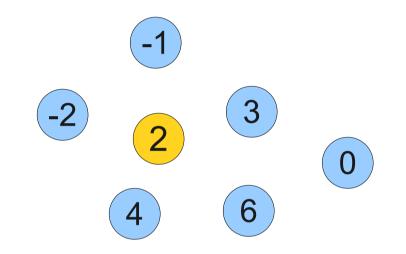
- If we have a hash function for the elements being stored, we can implement a **Set** using a hash table.
- What is the expected time to insert a value?
- Answer: **O(1)**.
- What is the expected time to remove a value?
- Answer: **O(1)**.
- What is the expected time to check if a value exists?
- Answer: **O(1)**.
- However, writing a good hash function for a set of elements can be very tricky!

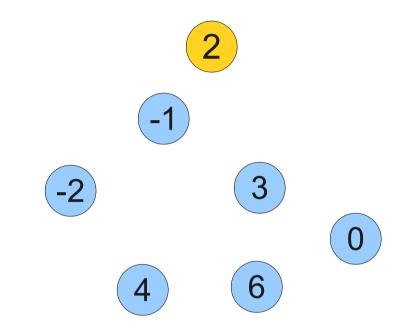
## Using Tries

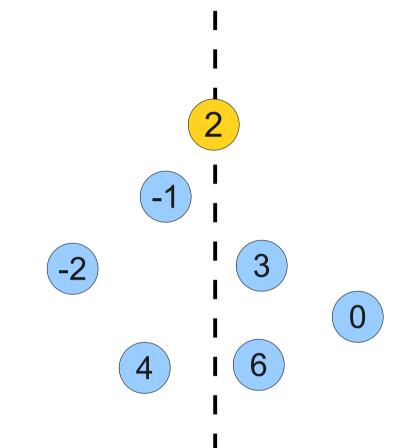
- If our keys are strings, we can store the set using a trie.
- Looking up or inserting a string with L letters takes time O(L).
- Doesn't work for arbitrary values.

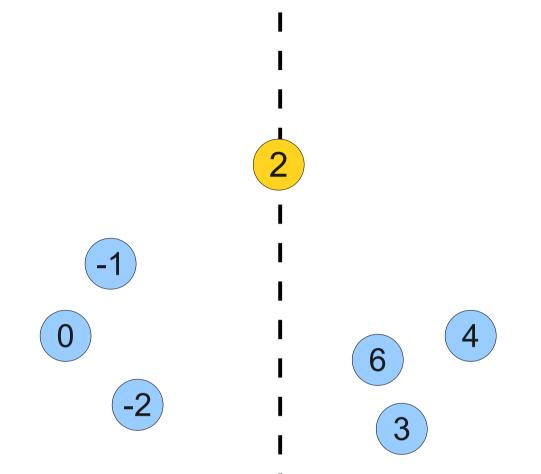
#### An Entirely Different Approach

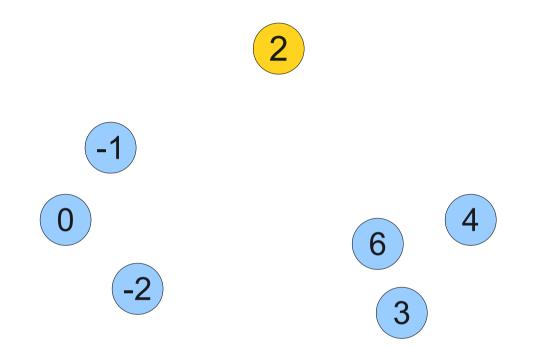


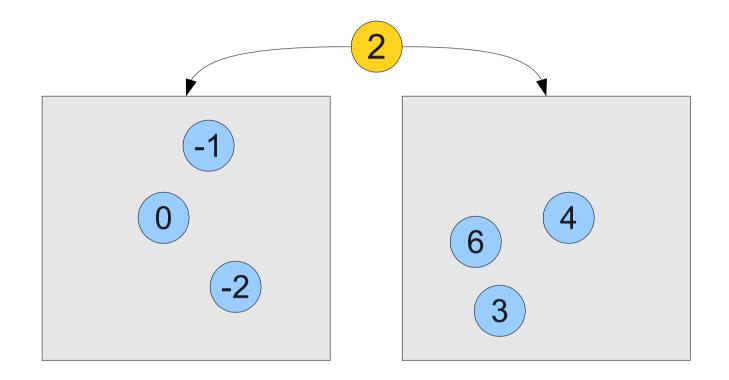


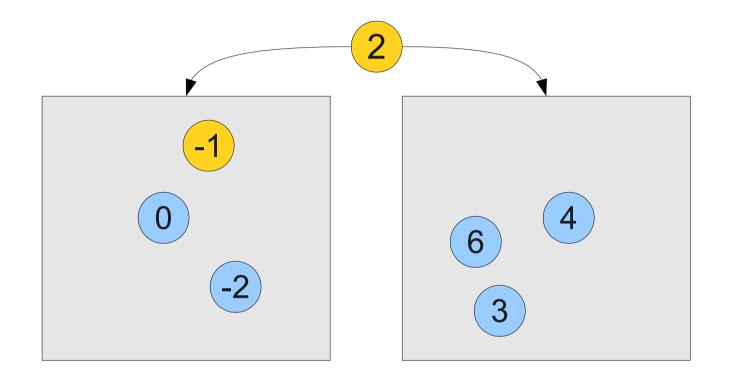


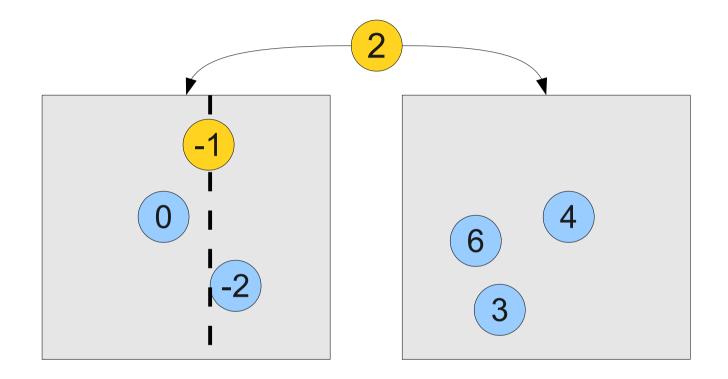


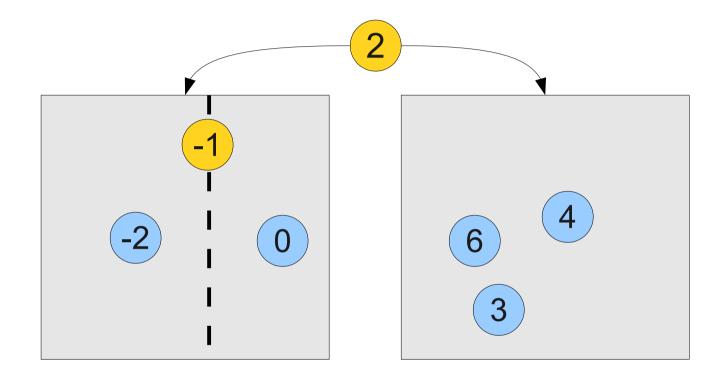


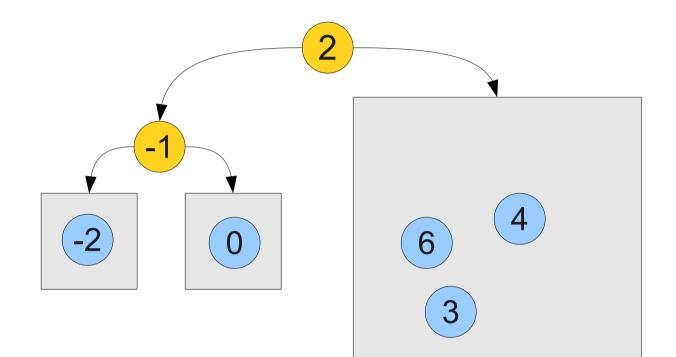


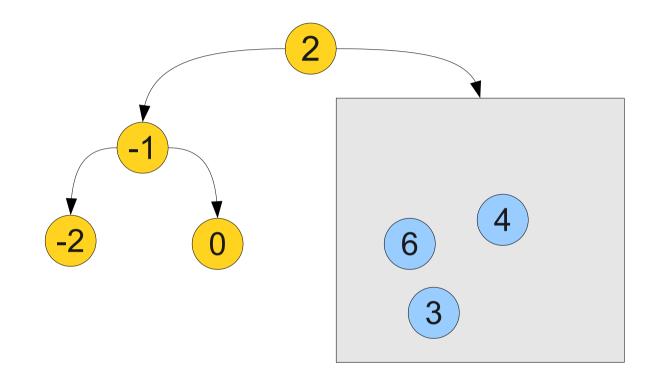


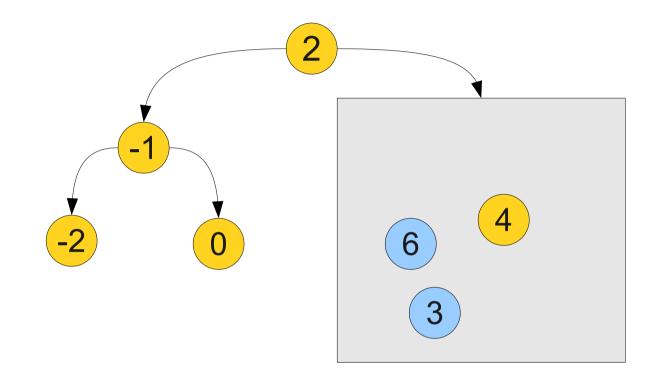


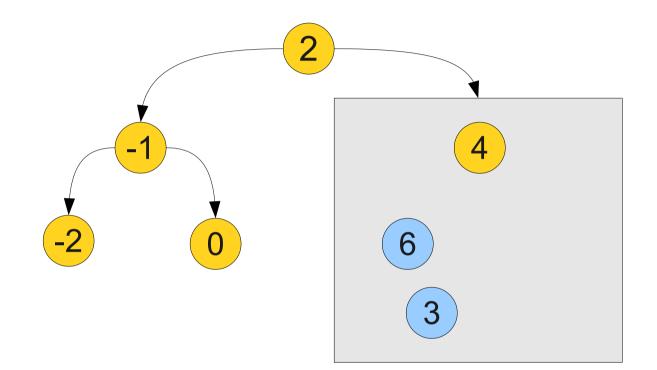


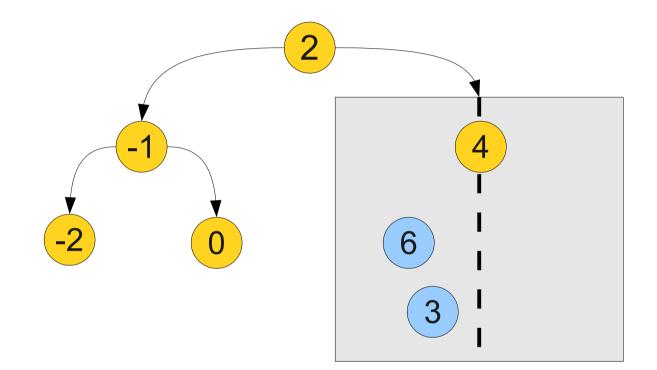


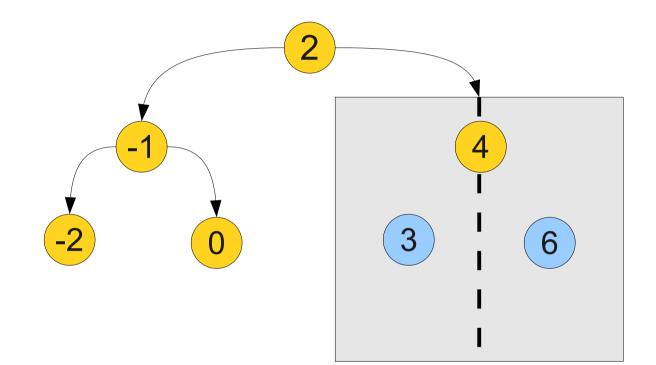


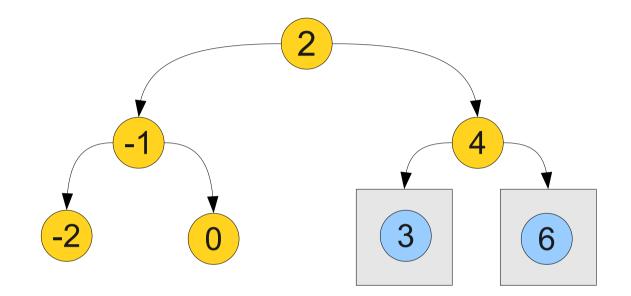


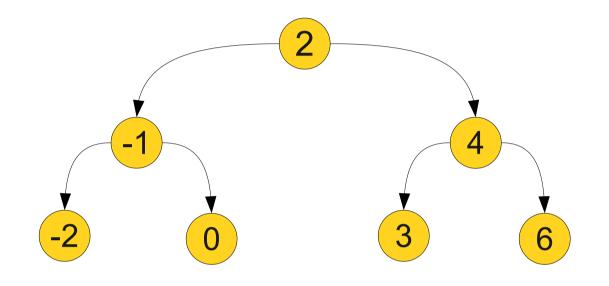


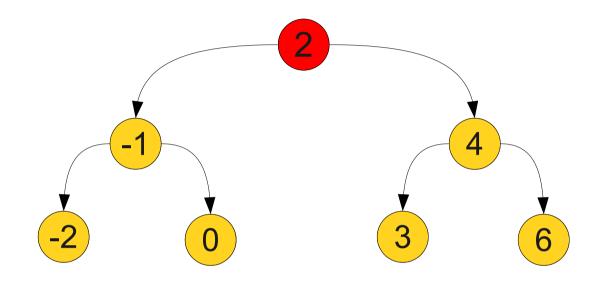


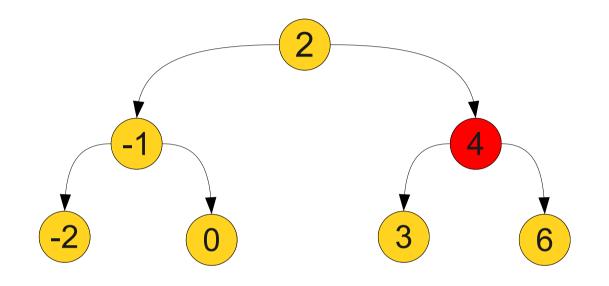


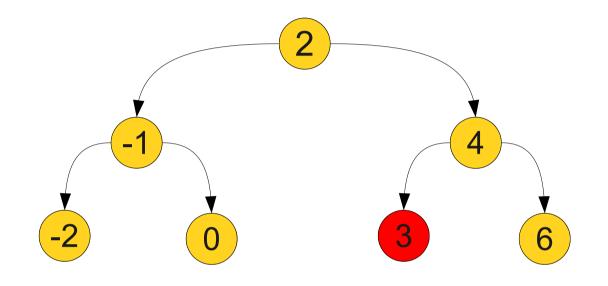


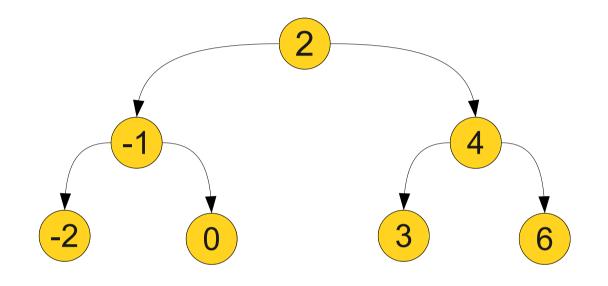


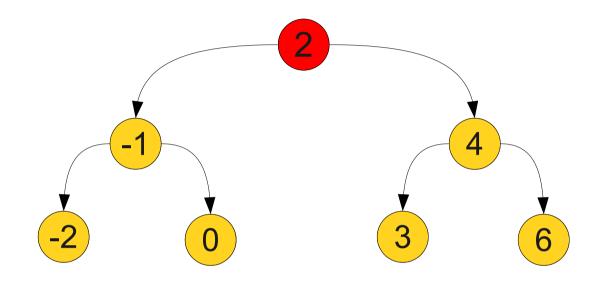


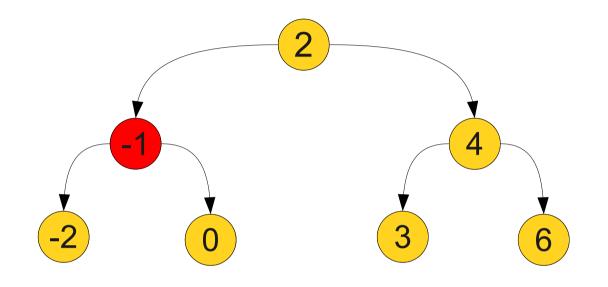


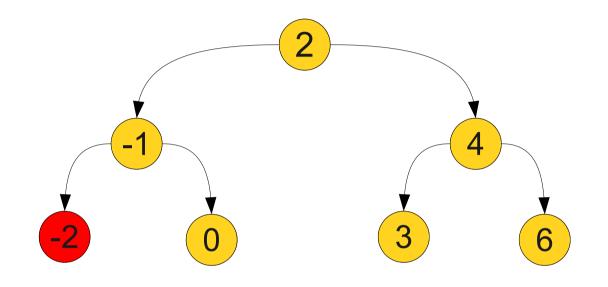


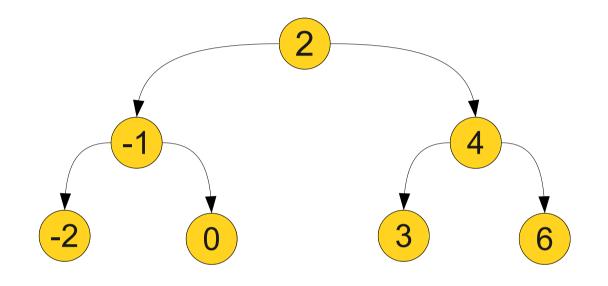










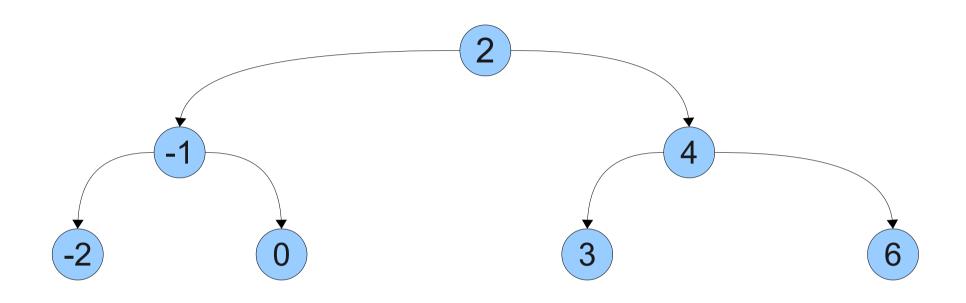


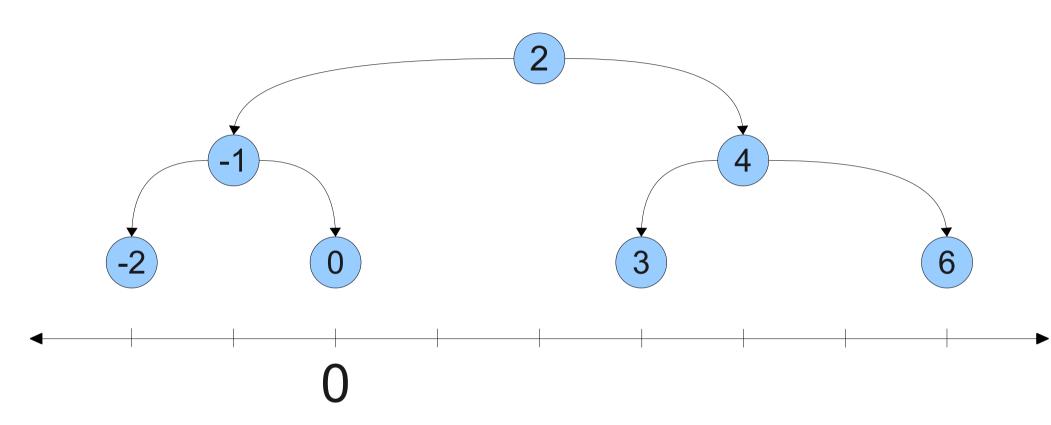
### **Binary Search Trees**

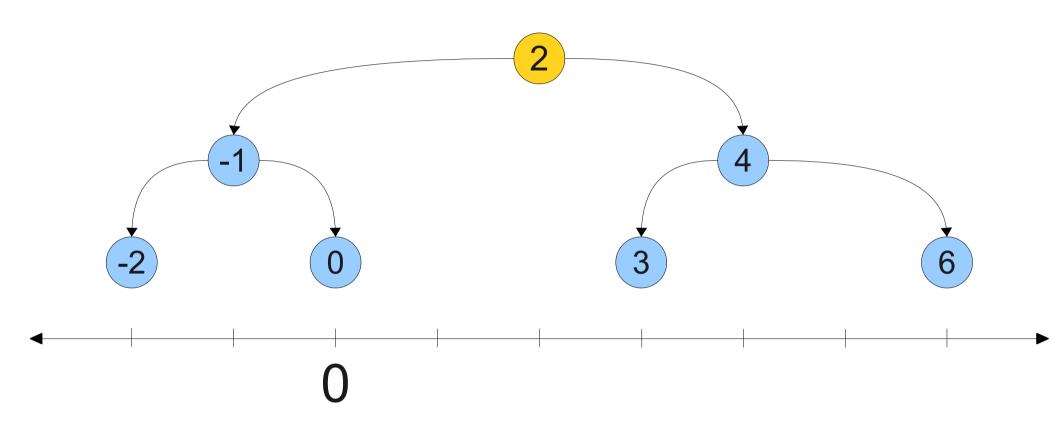
- The data structure we have just seen is called a **binary search tree** (or **BST**).
- Uses comparisons between elements to store elements efficiently.
- No need for a complex hash function, or the ability to work one symbol at a time.

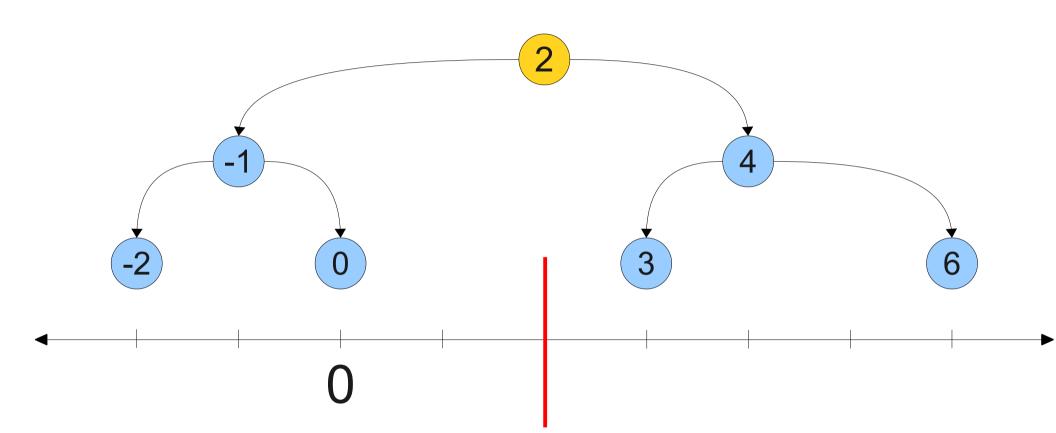
#### The Intuition

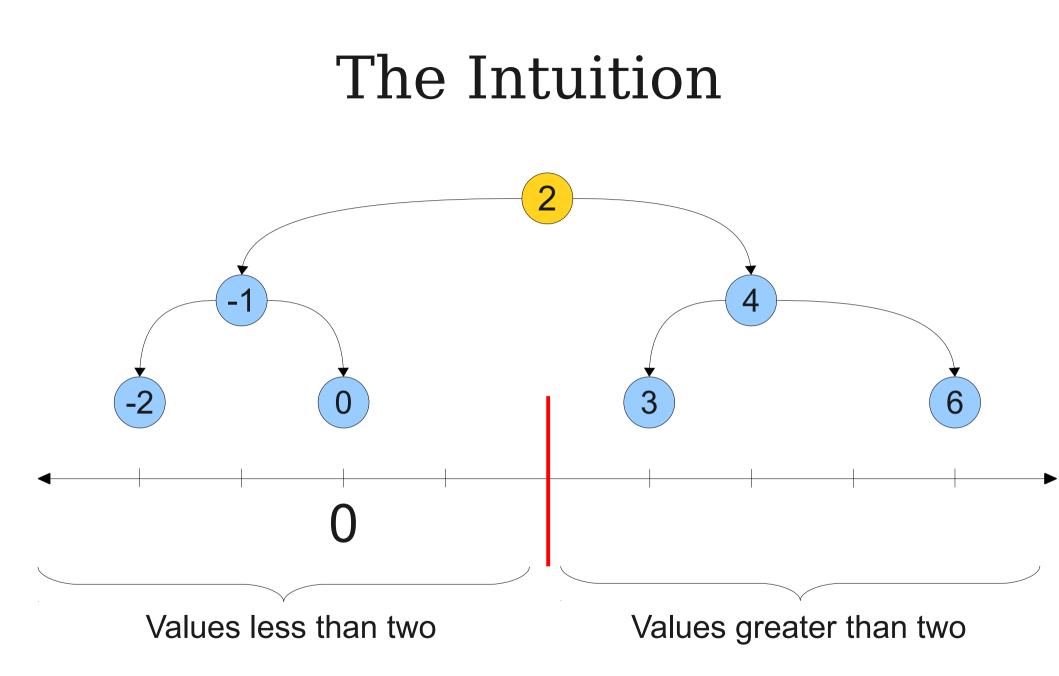
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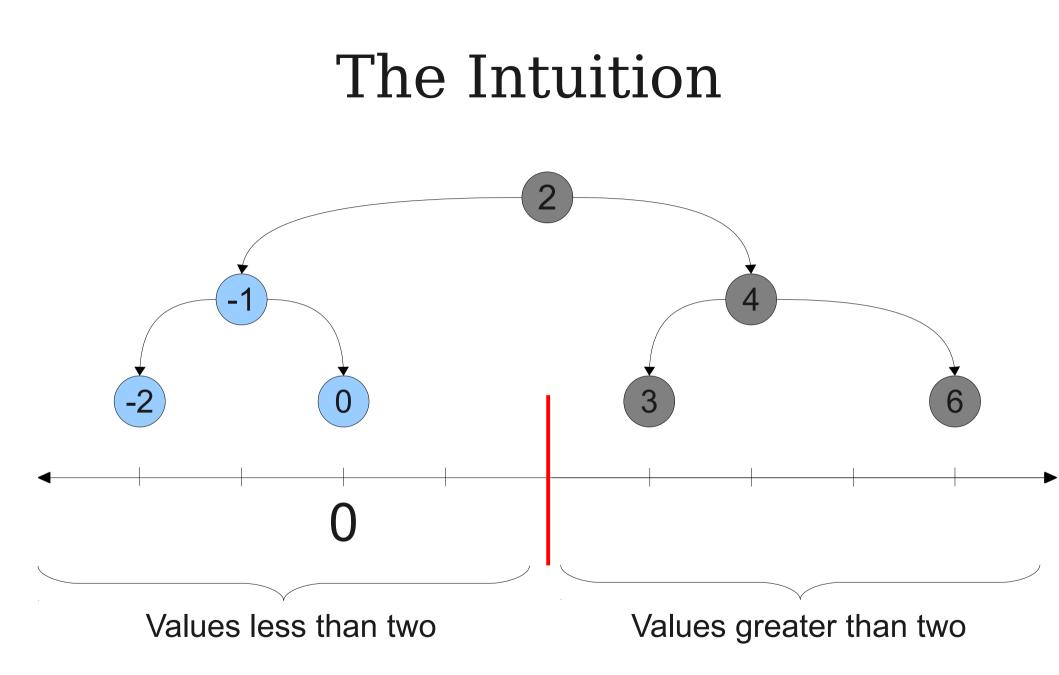


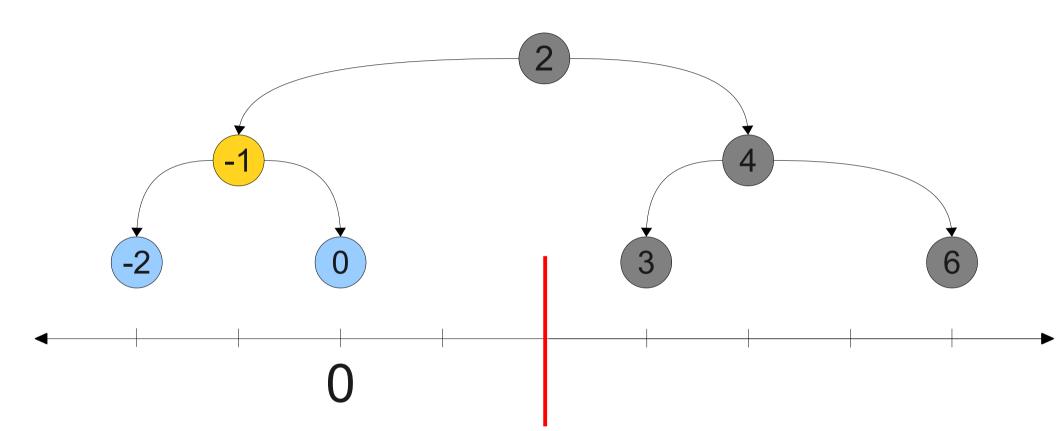


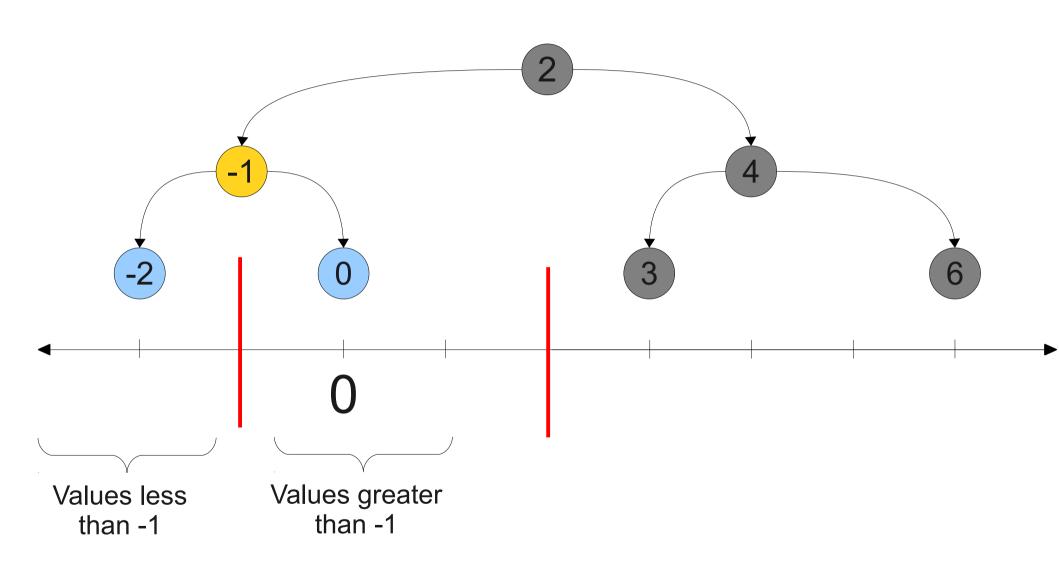


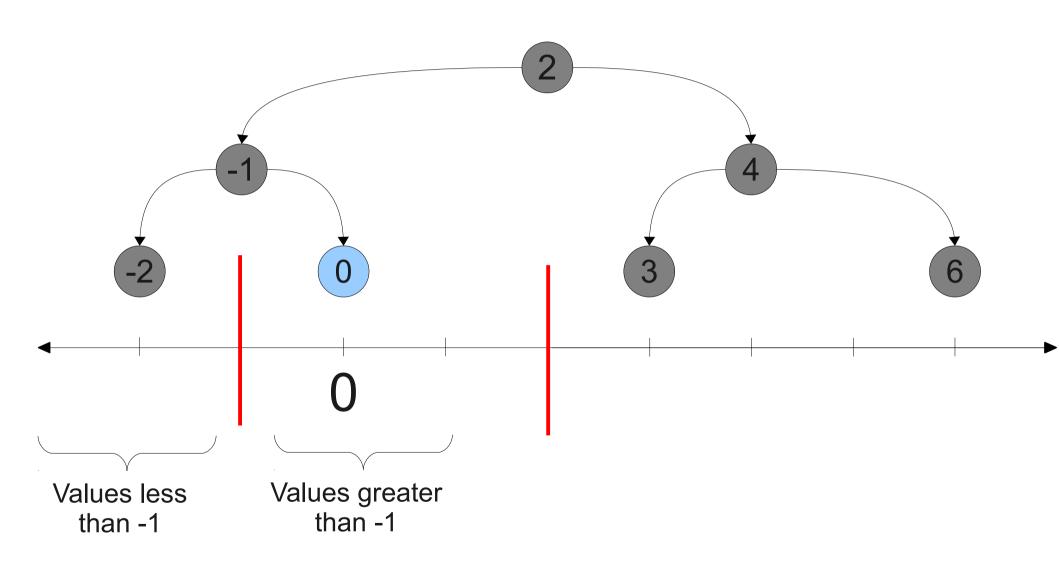


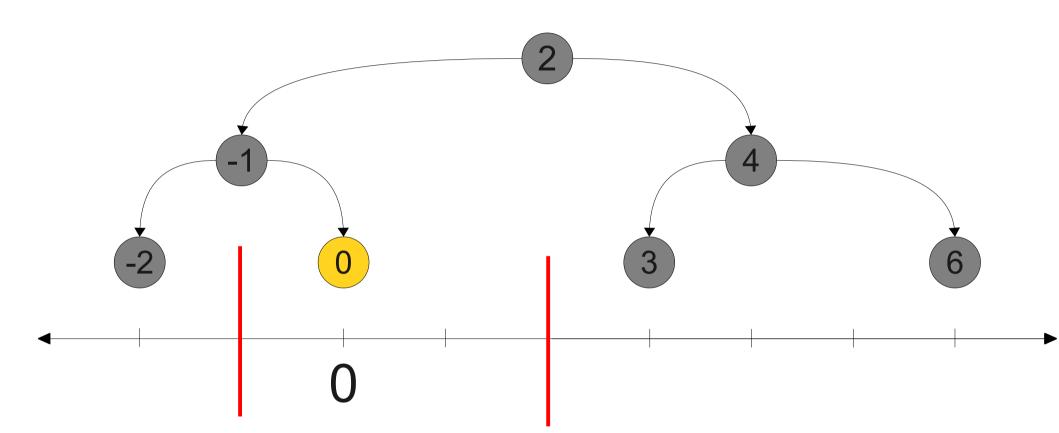






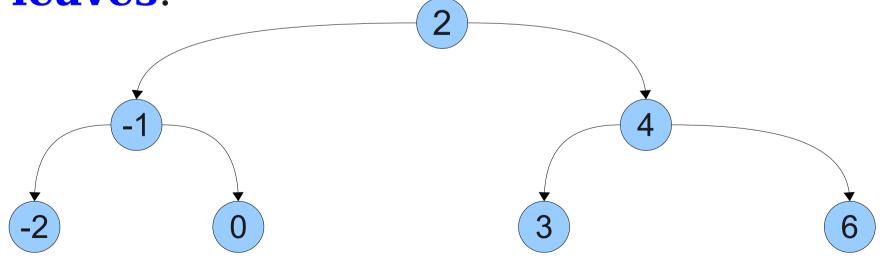




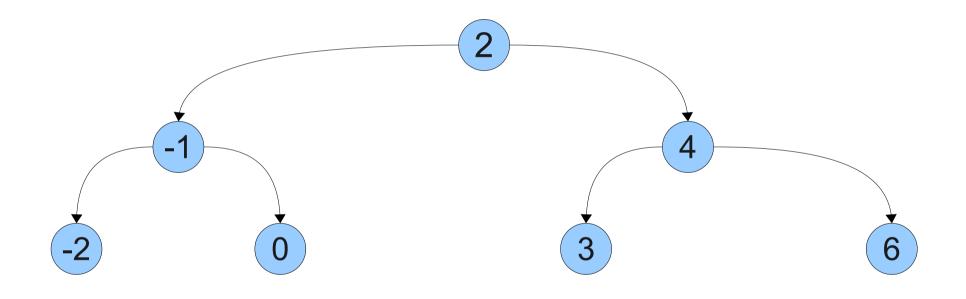


## Tree Terminology

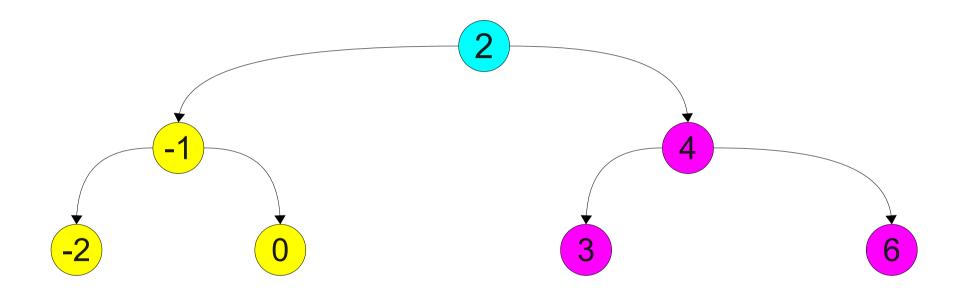
- As with a trie, a BST is a collection of nodes.
- The top node is called the **root node**.
- Nodes with no children are called leaves.



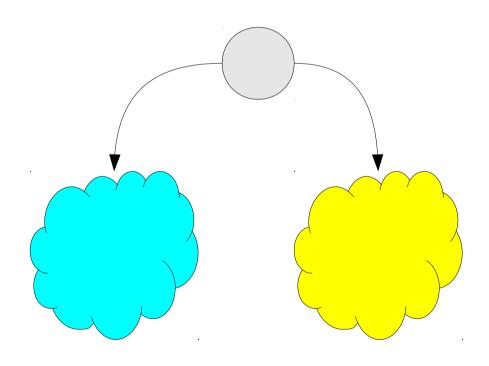
### A Recursive View of BSTs



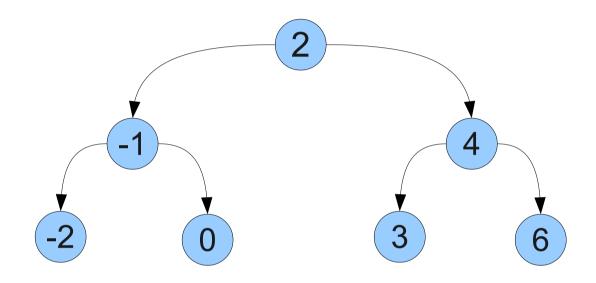
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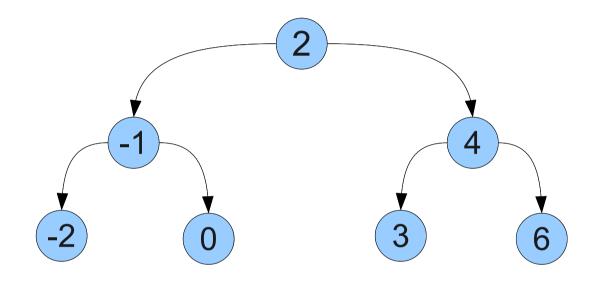


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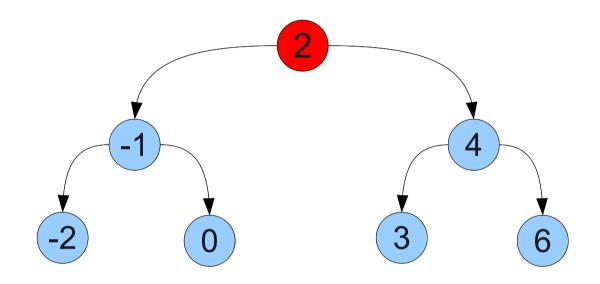


# Implementing Lookups

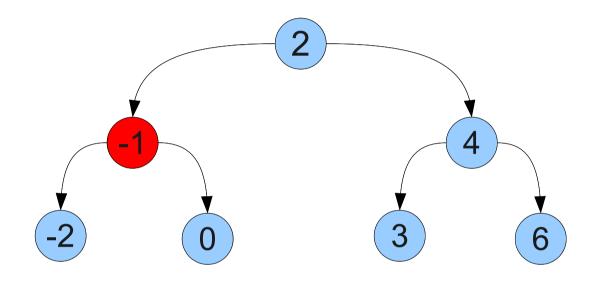




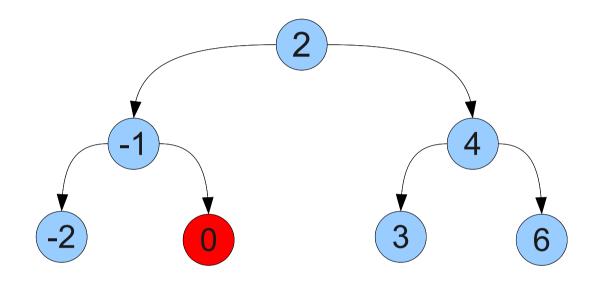




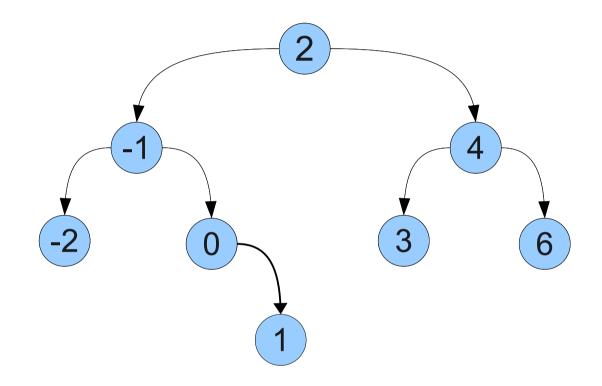










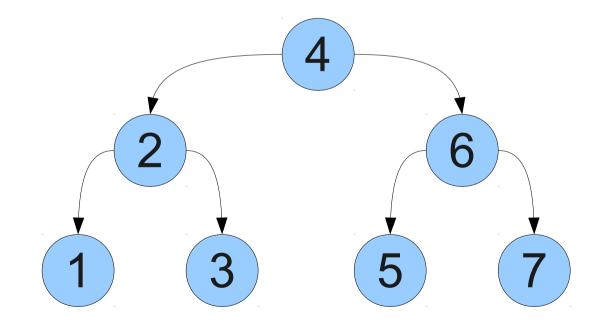


#### Let's Code it Up!

## Insertion Order Matters

• Suppose we create a BST of numbers in this order:

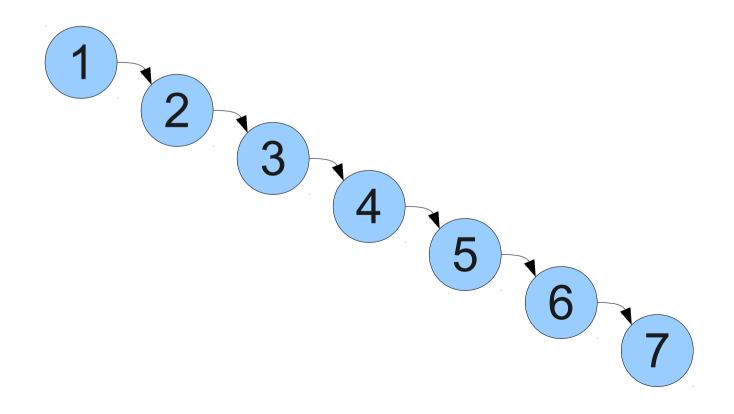
4, 2, 1, 3, 6, 5, 7



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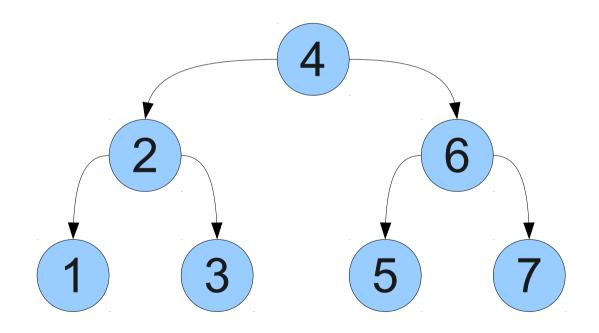
• Suppose we create a BST of numbers in this order:

1, 2, 3, 4, 5, 6, 7



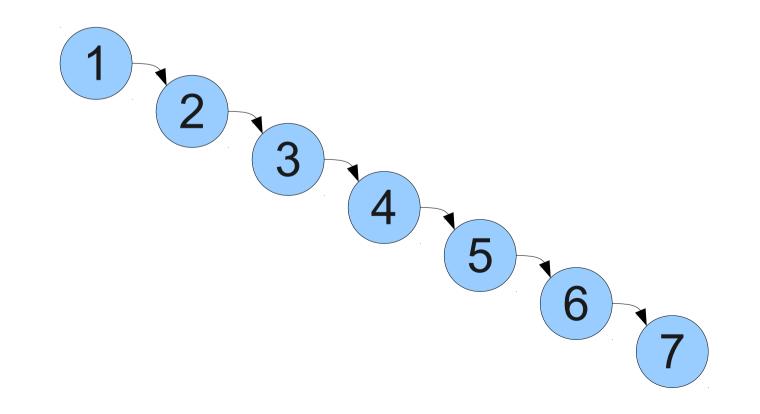
## Tree Terminology

• The **height** of a tree is the number of nodes in the longest path from the root to a leaf.



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## Efficiency of Insertion

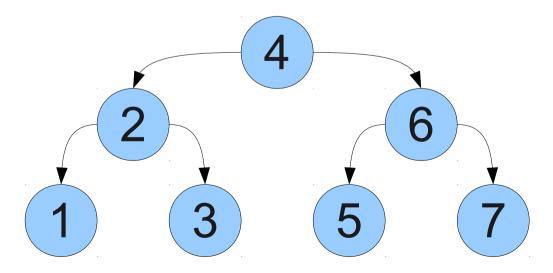
- What is the big-O complexity of adding a node to a tree?
- Depends on the height of a tree!
- Worst-case: have to take the longest path down to find where the node goes.
- Time is O(*h*), where *h* is the height of the tree.

## Tree Heights

- What are the maximum and minimum heights of a tree with *n* nodes?
- Maximum height: all nodes in a chain. Height is O(n).

## Tree Heights

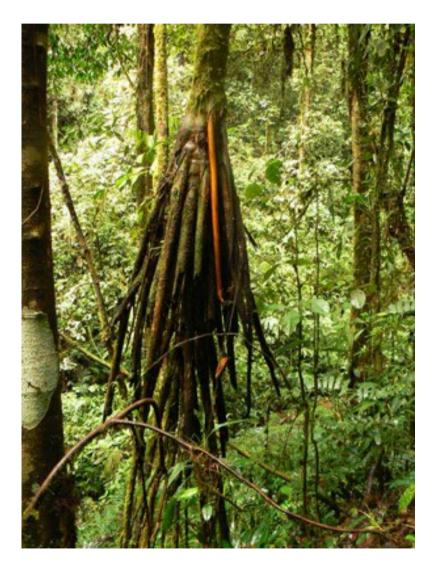
- What are the maximum and minimum heights of a tree with *n* nodes?
- Maximum height: all nodes in a chain. Height is O(n).
- Minimum height: Tree is as complete as possible. Height is O(log *n*).



# Keeping the Height Low

- There are many modifications of the binary search tree designed to keep the height of the tree low (usually O(log *n*)).
- A **self-balancing binary search tree** is a binary search tree that automatically adjusts itself to keep the height low.
- Details next time.

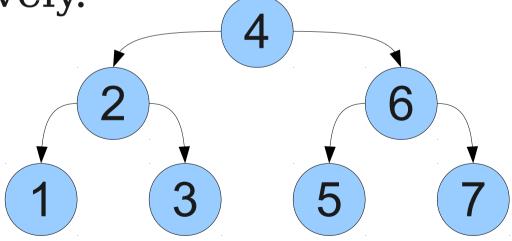
## Walking Trees



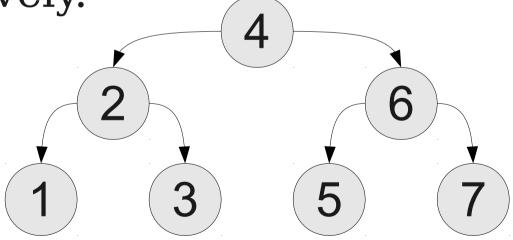


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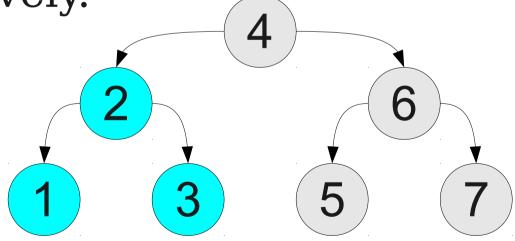
- One advantage of a BST is that elements are stored in sorted order.
- We can iterate over the elements of a BST in sorted order by walking the tree recursively.



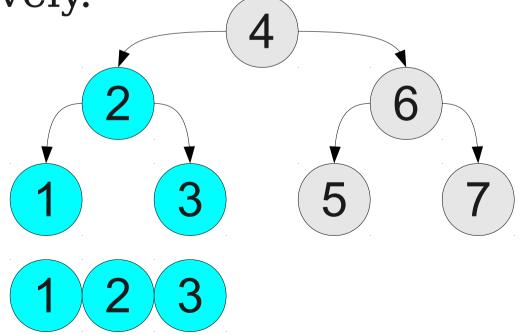
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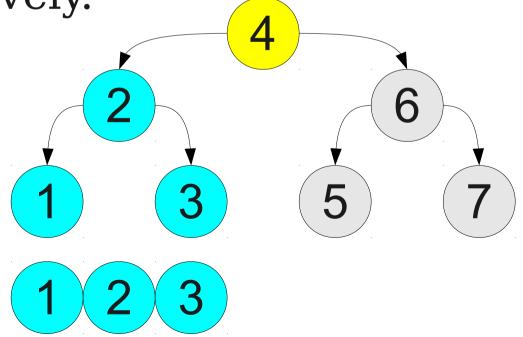
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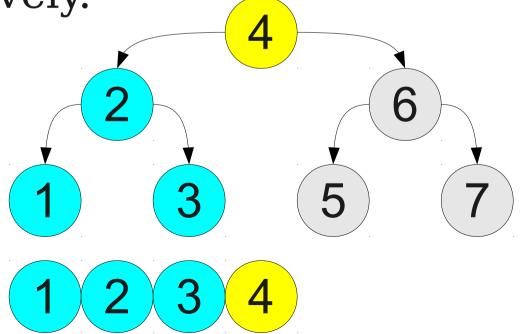
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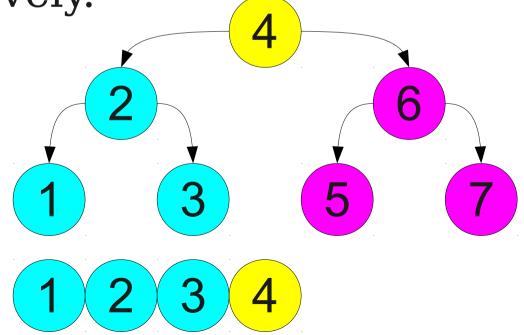


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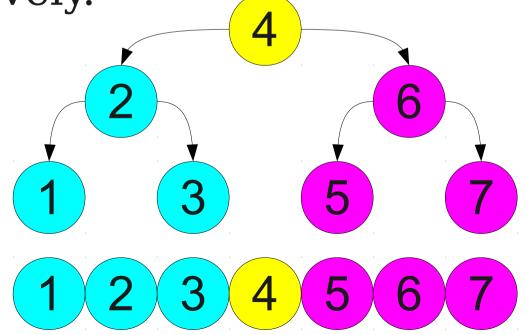
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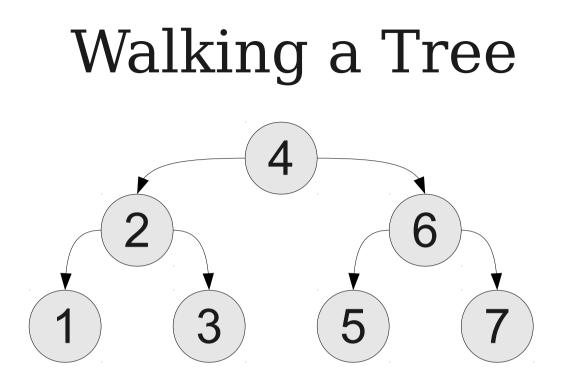
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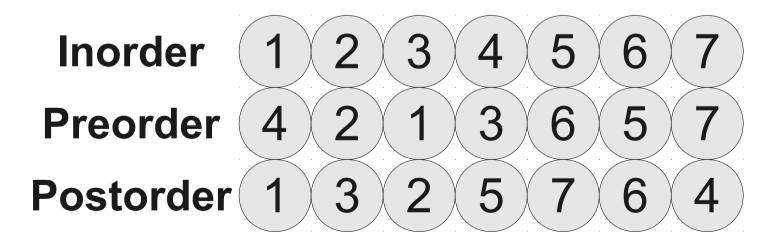
- One advantage of a BST is that elements are stored in sorted order.
- We can iterate over the elements of a BST in sorted order by walking the tree recursively.



#### Tree Traversals

- There are three general types of tree traversals:
- **Preorder**: Visit the node, then visit the children.
- **Inorder**: Visit the left child, then the node, then the right child.
- **Postorder**: Visit the children, then visit the node.



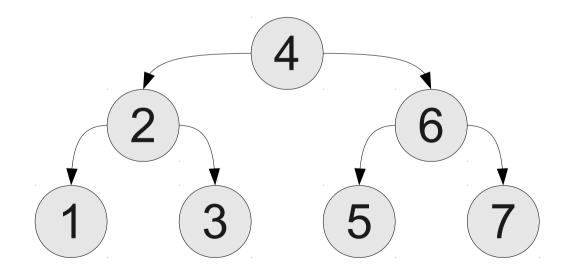


#### Getting Rid of Trees

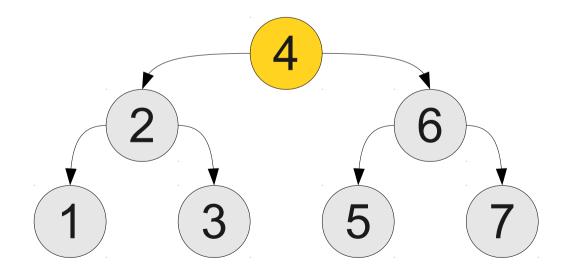


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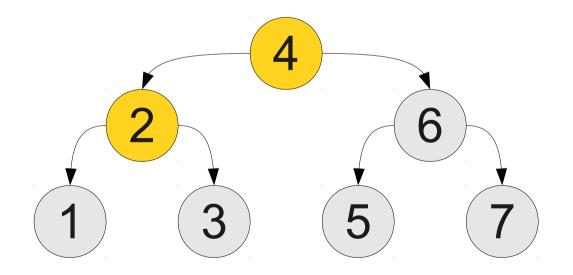
- Once we're done with a tree, we need to free all of its nodes.
- As with a linked list, we have to be careful not to use any nodes after freeing them.



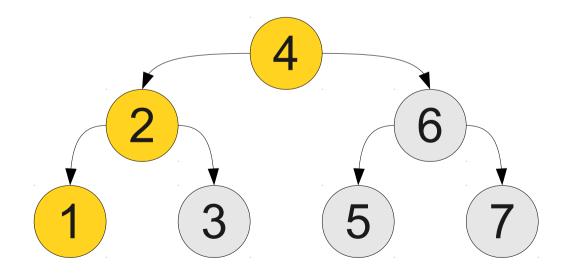
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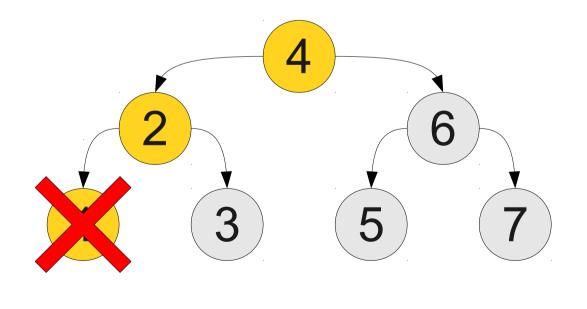
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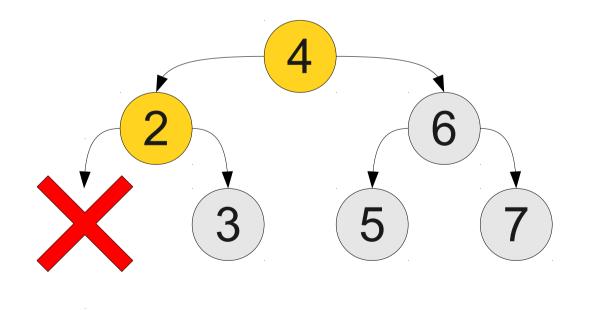
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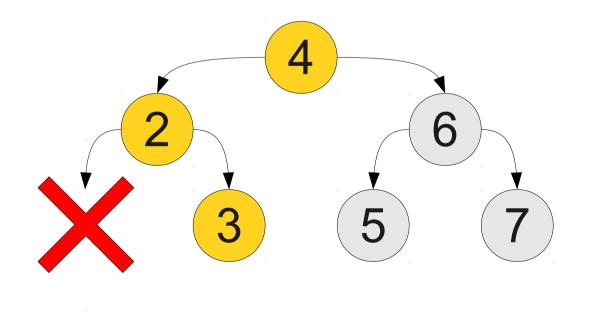
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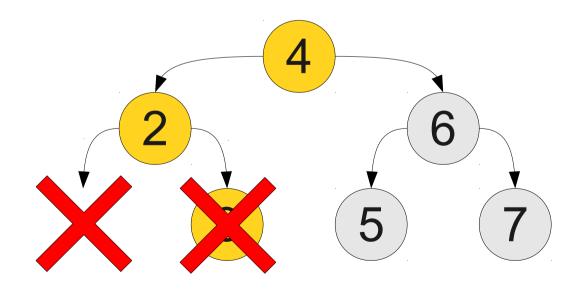
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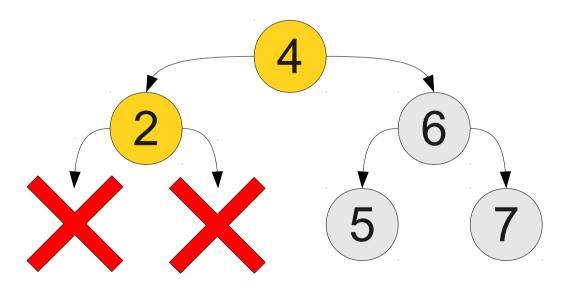
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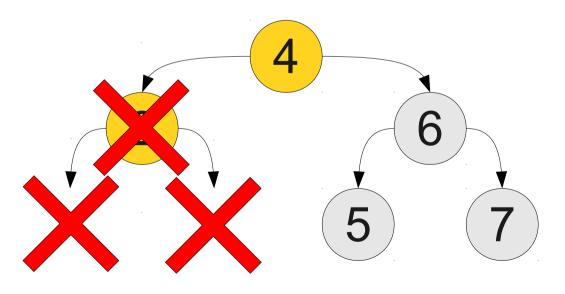
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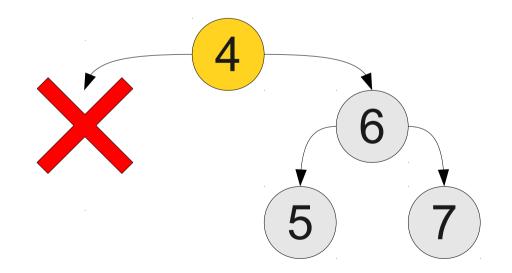
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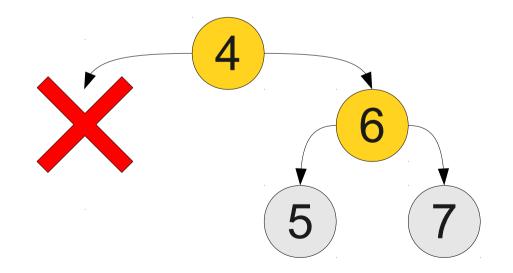
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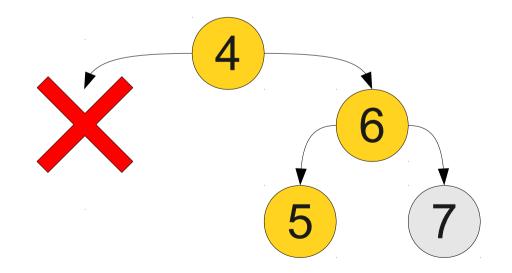
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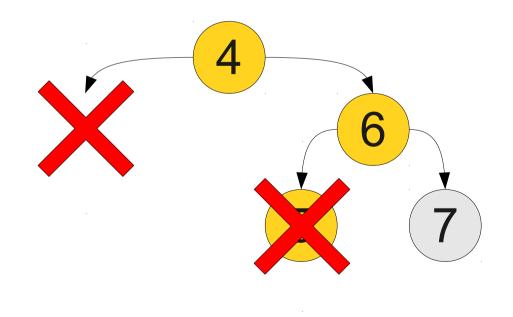
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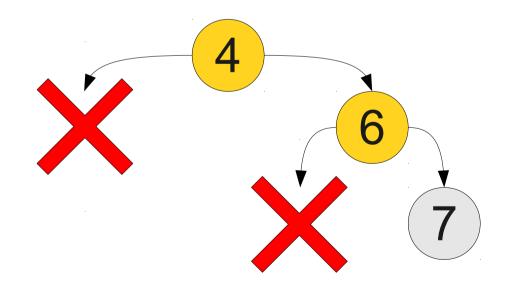
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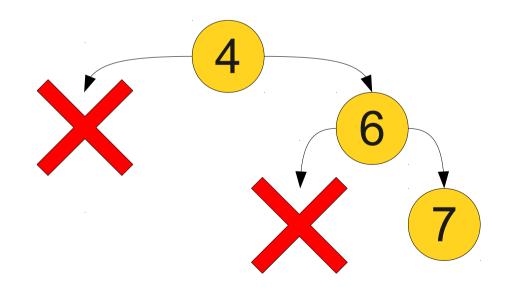
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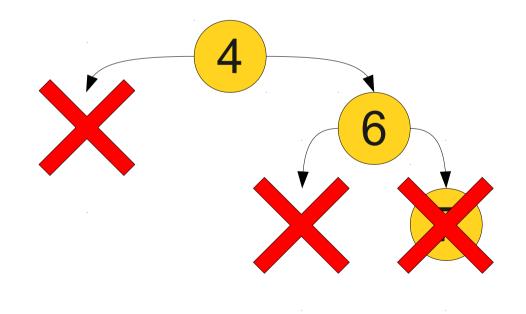
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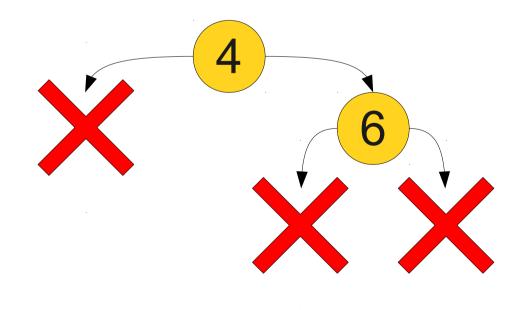
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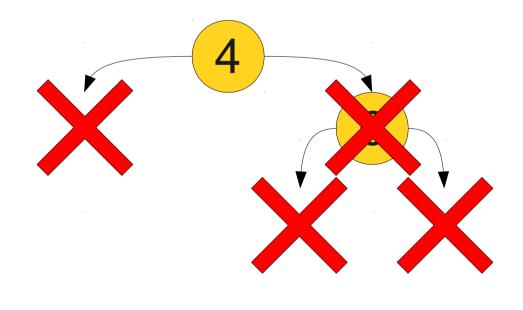
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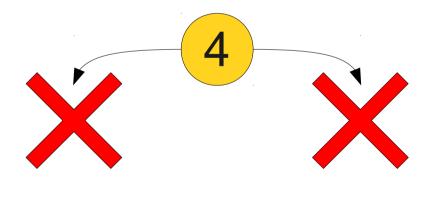
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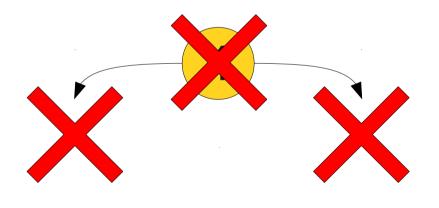
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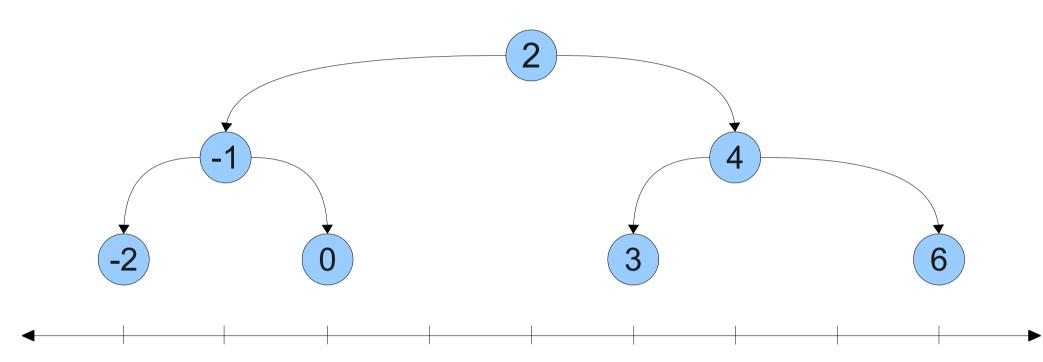


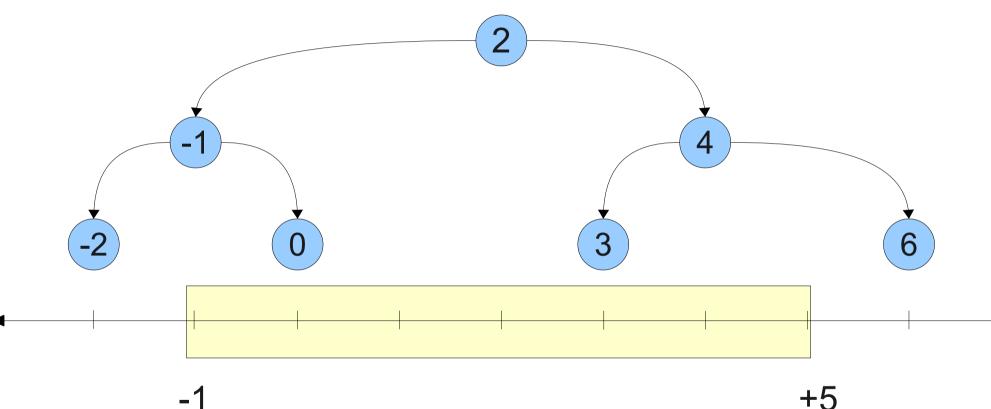
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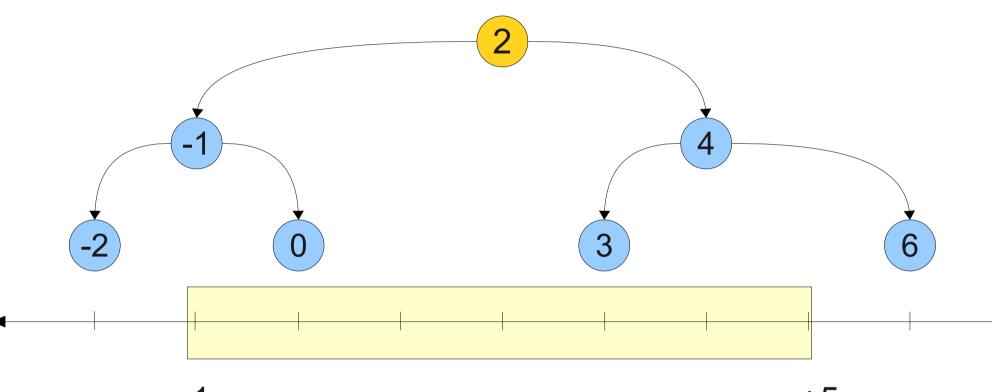
- Once we're done with a tree, we need to free all of its nodes.
- As with a linked list, we have to be careful not to use any nodes after freeing them.
- This is done as follows:
  - **Base case**: There is nothing to delete in an empty tree.
  - **Recursive step**: Delete both subtrees, then delete the current node.
- What kind of tree traversal is this?

#### Range Searches

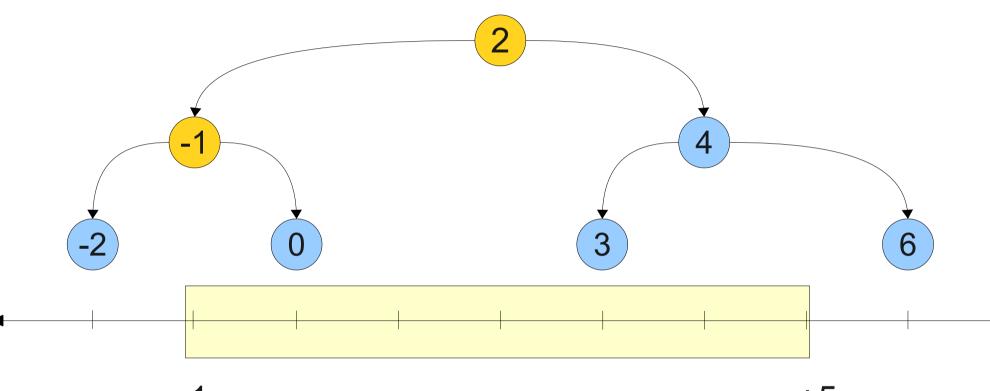
- We can use BSTs to do **range searches**, in which we find all values in the BST within some range.
- For example:
  - If values in a BST are dates, can find all events that occurred within some time window.
  - If values in a BST are samples of a random variable, can find everything within one and two standard deviations above the mean.



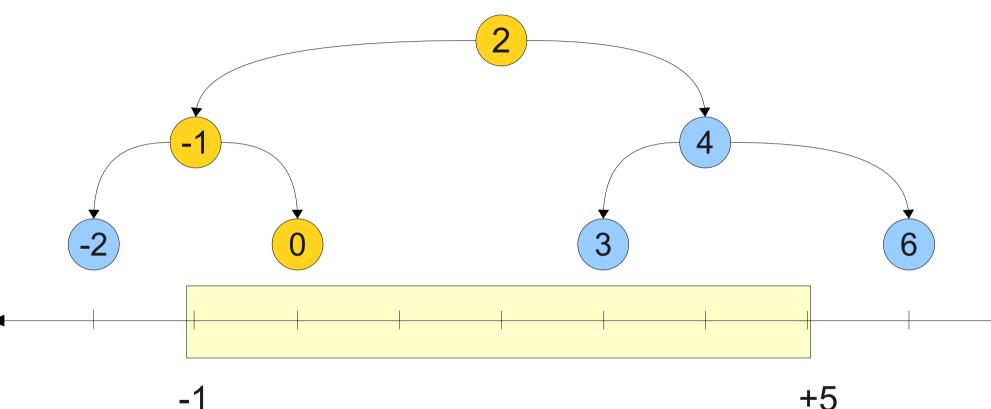


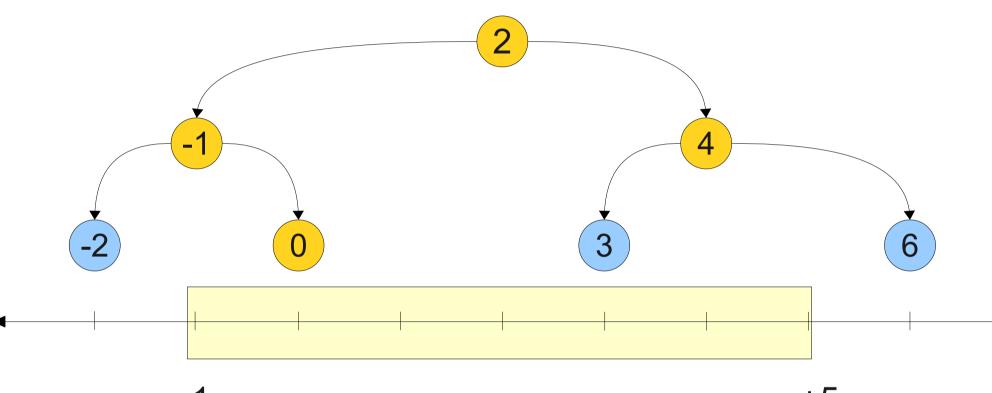


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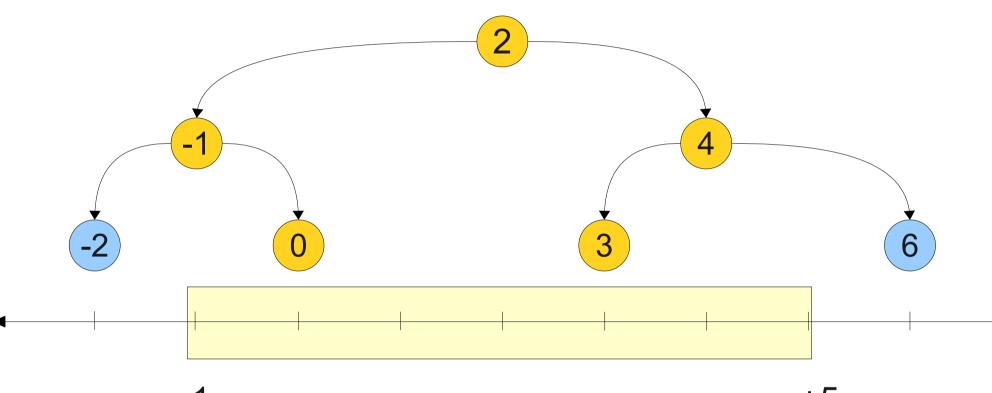
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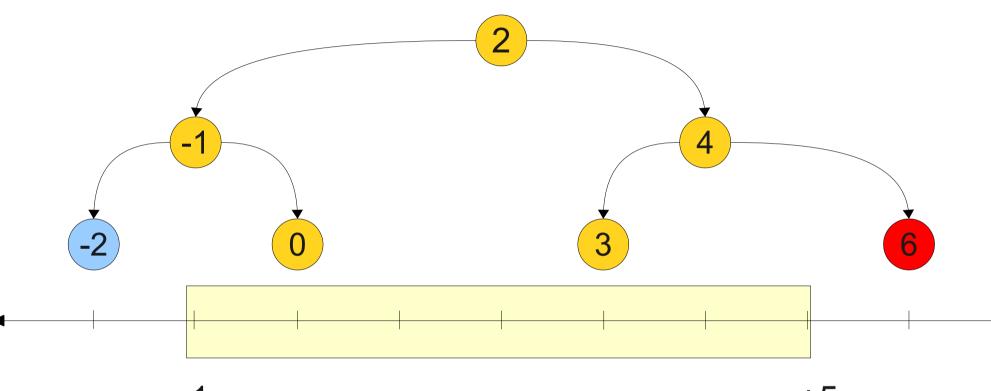
#### The Intuition



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#### The Intuition



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# The Logic

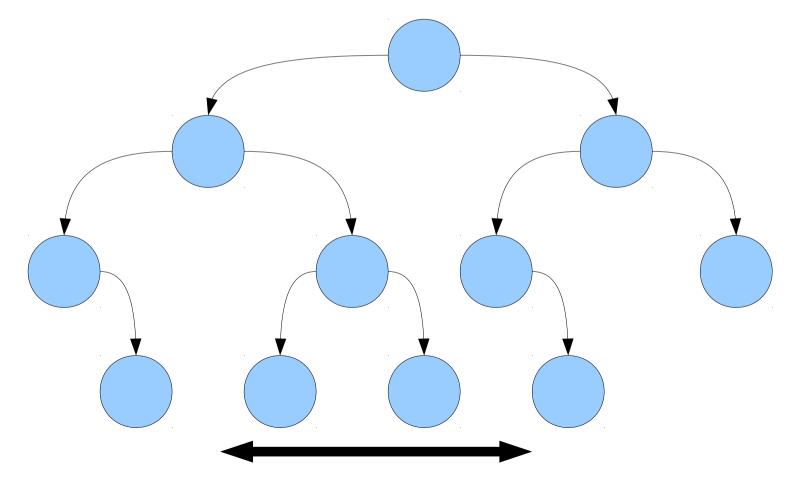
#### • Base case:

• The empty tree has no nodes within any range.

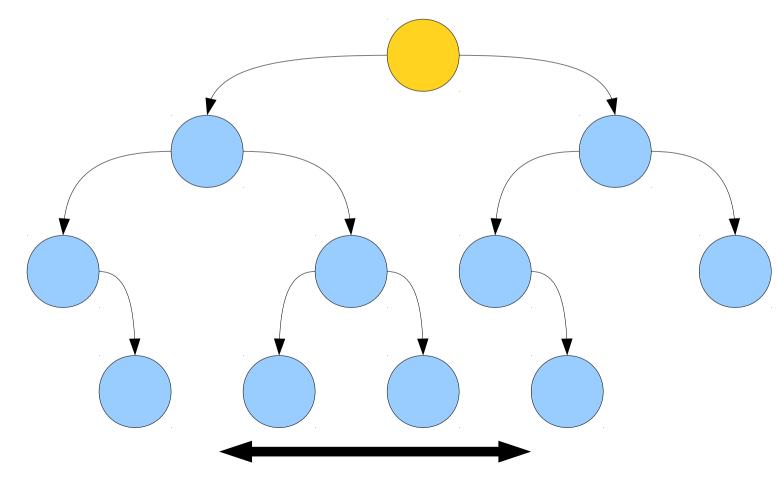
#### • Recursive step:

- If this node is below the lower bound, recursively search the right subtree.
- If this node is above the upper bound, recursively search the left subtree.
- If this node is within bounds:
  - Search the left subtree.
  - Add this node to the output.
  - Search the right subtree.

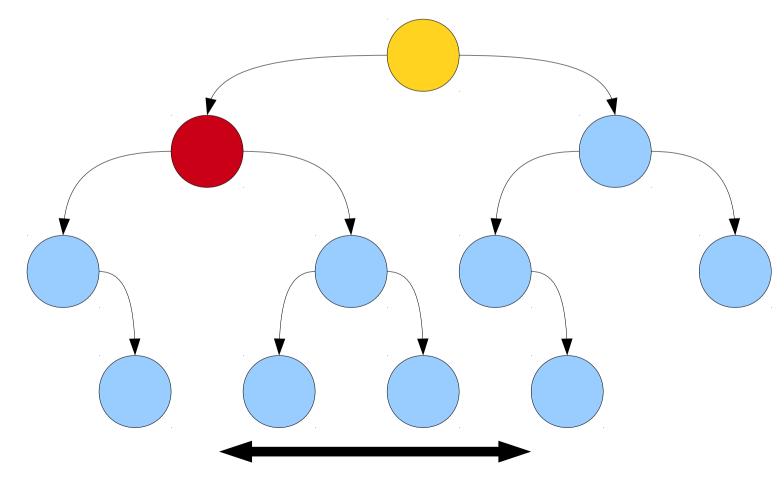
- How do we get a runtime for a range search?
- Depends on how many nodes we find.



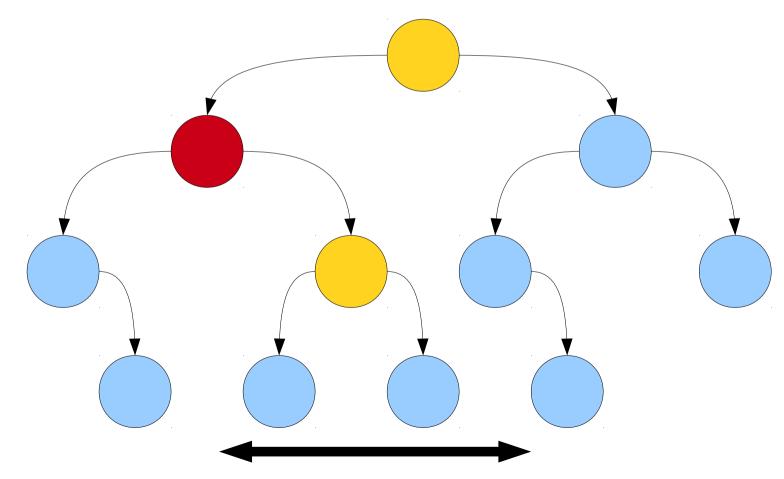
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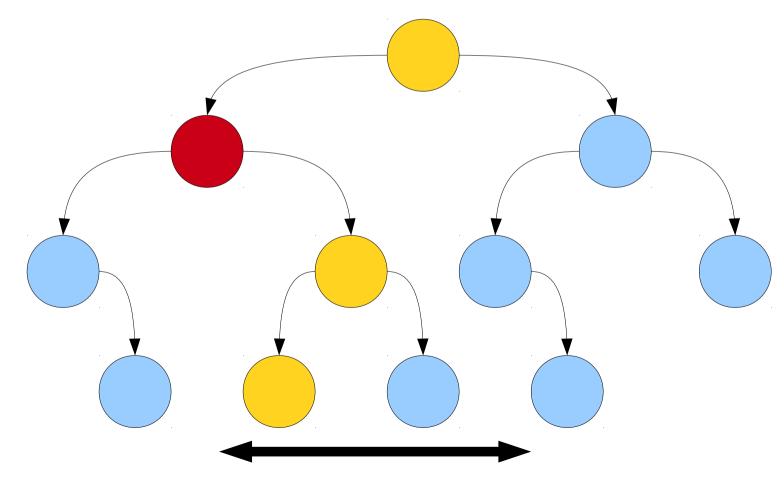
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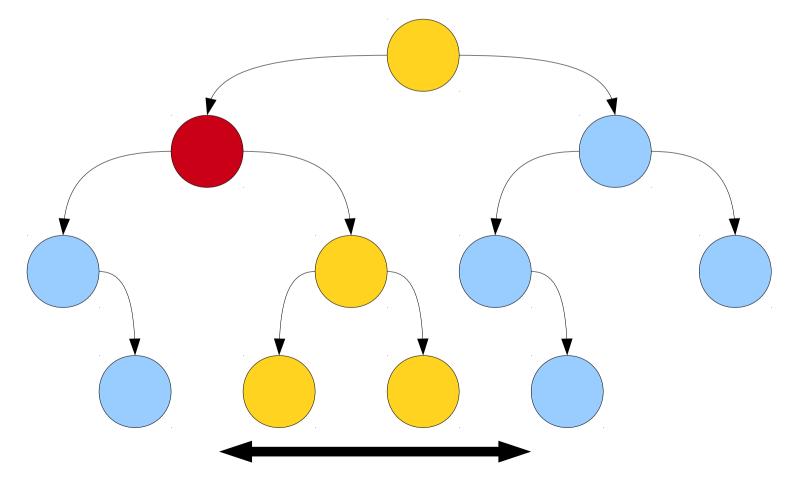
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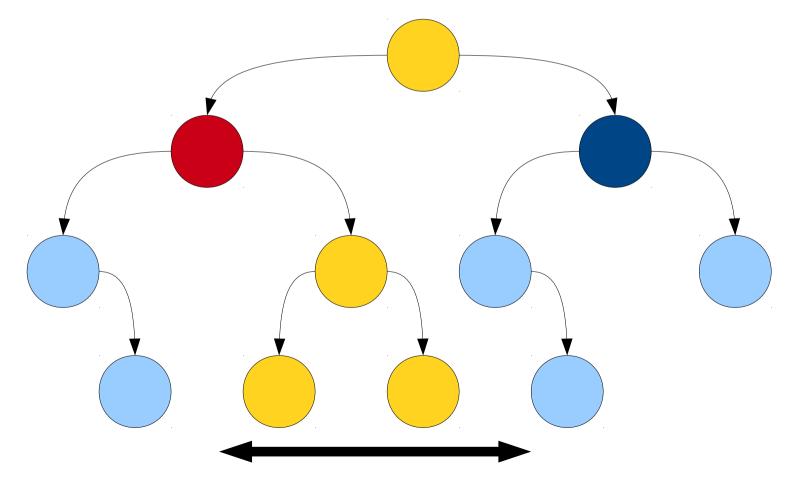
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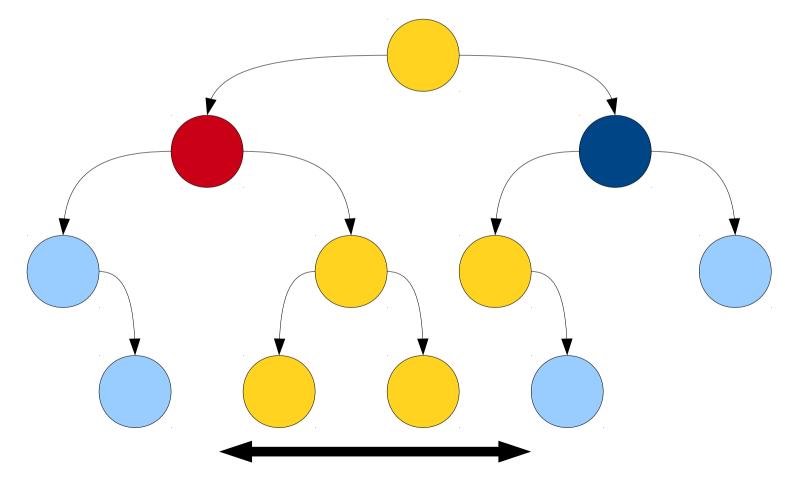
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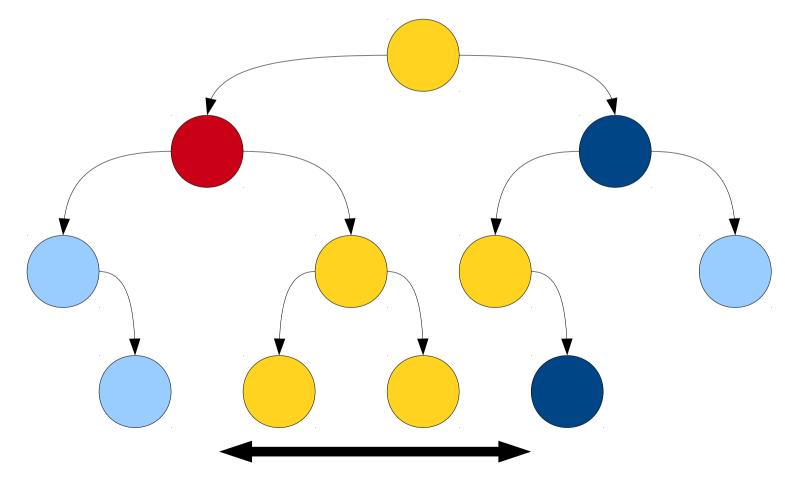
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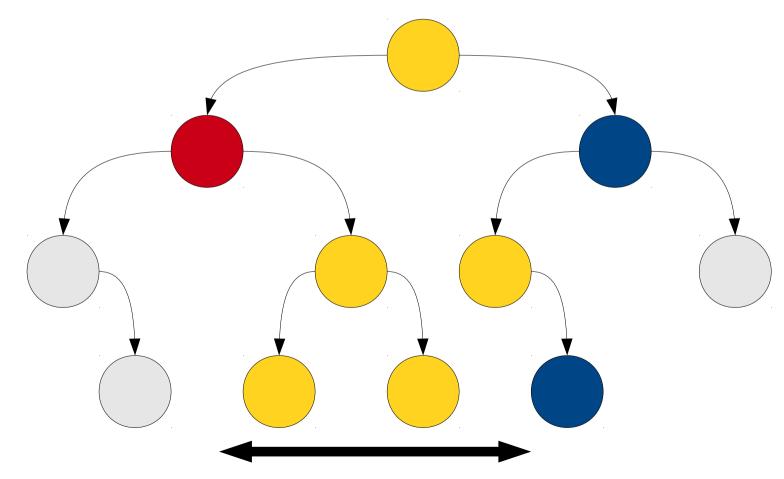
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- How do we get a runtime for a range search?
- Depends on how many nodes we find.
- If there are *k* nodes within the range, we do at least O(*k*) work finding them.
- In addition, we have two "border sets" of nodes that are immediately outside that range. Each set has size O(h), where h is the height of the tree.
- Total work done is O(k + h).
- This is an **output-sensitive algorithm**.

#### Next Time

- Fun With Data Structures.
  - Balanced binary search trees.
  - Ternary search trees.
  - DAWGs.