# Regular Expressions

Problem Set Four is due using a late period in the box up front.

#### Concatenation

• The concatenation of two languages  $L_1$  and  $L_2$  over the alphabet  $\Sigma$  is the language

$$L_1L_2 = \{ wx \in \Sigma^* \mid w \in L_1 \land x \in L_2 \}$$

- Intuitively, the set of all strings formed by concatenating some string from  $L_1$  and some string from  $L_2$ .
- Conceptually similar to the Cartesian product of two sets, only with strings.

### Language Exponentiation

- We can define what it means to "exponentiate" a language as follows:
- $L^0 = \{ \epsilon \}$ 
  - The set containing just the empty string.
  - Idea: Any string formed by concatenating zero strings together is the empty string.
- $L^{n+1} = LL^n$ 
  - Idea: Concatenating (n+1) strings together works by concatenating n strings, then concatenating one more.

#### The Kleene Closure

 An important operation on languages is the Kleene Closure, which is defined as

$$L^* = \bigcup_{i=0}^{\infty} L^i$$

Mathematically:

$$w \in L^*$$
 iff  $\exists n \in \mathbb{N}. \ w \in L^n$ 

• Intuitively, all possible ways of concatenating any number of copies of strings in *L* together.

### Closure Properties

- The regular languages are closed under the following operations:
  - Complementation
  - Union
  - Intersection
  - Concatenation
  - Kleene closure

Another View of Regular Languages

## Rethinking Regular Languages

- We currently have several tools for showing a language is regular.
  - Construct a DFA for it.
  - Construct an NFA for it.
  - Apply closure properties to existing languages.
- We have not spoken much of this last idea.

### Constructing Regular Languages

- Idea: Build up all regular languages as follows:
  - Start with a small set of simple languages we already know to be regular.
  - Using closure properties, combine these simple languages together to form more elaborate languages.
- A bottom-up approach to the regular languages.

## Regular Expressions

- Regular expressions are a family of descriptions that can be used to capture the regular languages.
- Often provide a compact and human-readable description of the language.
- Used as the basis for numerous software systems (Perl, flex, grep, etc.)

### Atomic Regular Expressions

- The regular expressions begin with three simple building blocks.
- The symbol  $\emptyset$  is a regular expression that represents the empty language  $\emptyset$ .
- The symbol  $\epsilon$  is a regular expression that represents the language  $\{\epsilon\}$ 
  - This is not the same as Ø!
- For any  $\mathbf{a} \in \Sigma$ , the symbol  $\mathbf{a}$  is a regular expression for the language  $\{\mathbf{a}\}$

#### Compound Regular Expressions

- We can combine together existing regular expressions in four ways.
- If  $R_1$  and  $R_2$  are regular expressions,  $R_1R_2$  is a regular expression for the **concatenation** of the languages of  $R_1$  and  $R_2$ .
- If  $R_1$  and  $R_2$  are regular expressions,  $R_1 \mid R_2$  is a regular expression for the **union** of the languages of  $R_1$  and  $R_2$ .
- If R is a regular expression,  $R^*$  is a regular expression for the **Kleene closure** of the language of R.
- If R is a regular expression, (R) is a regular expression with the same meaning as R.

### Operator Precedence

Regular expression operator precedence:

$$(R)$$
 $R^*$ 
 $R_1R_2$ 
 $R_1 \mid R_2$ 

• So ab\*c|d is parsed as ((a(b\*))c)|d

### Regular Expression Examples

- The regular expression trick|treat represents the regular language { trick, treat }
- The regular expression booo\* represents the regular language { boo, booo, booo, ... }
- The regular expression candy! (candy!) \*
  represents the regular language { candy!,
  candy!candy!, candy!candy!candy!, .... }

## Regular Expressions, Formally

- The language of a regular expression is the language described by that regular expression.
- Formally:
  - $\mathcal{L}(\varepsilon) = \{\varepsilon\}$
  - $\mathcal{L}(\emptyset) = \emptyset$
  - $\mathscr{L}(\mathbf{a}) = \{\mathbf{a}\}$
  - $\mathcal{L}(R_1R_2) = \mathcal{L}(R_1) \mathcal{L}(R_2)$
  - $\mathscr{L}(R_1 \mid R_2) = \mathscr{L}(R_1) \cup \mathscr{L}(R_2)$
  - $\mathcal{L}(R^*) = \mathcal{L}(R)^*$
  - $\mathscr{L}((R)) = \mathscr{L}(R)$

Worthwhile activity: Apply this recursive definition to

a(b|c)((d))

and see what you get.

- Let  $\Sigma = \{0, 1\}$
- Let  $L = \{ w \in \Sigma^* \mid w \text{ contains } 00 \text{ as a substring } \}$

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$$(0 | 1)*00(0 | 1)*$$

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(0 | 1)\*00(0 | 1)\*

 $11011100101\\0000\\11111011110011111$ 

- Let  $\Sigma = \{0, 1\}$
- Let  $L = \{ w \in \Sigma^* \mid w \text{ contains } 00 \text{ as a substring } \}$

```
(0 | 1)*00(0 | 1)*
```

 $\begin{matrix} 11011100101 \\ 0000 \\ 11111011110011111 \end{matrix}$ 

- Let  $\Sigma = \{0, 1\}$
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 $\Sigma * 00\Sigma *$ 

 $\begin{matrix} 11011100101 \\ 0000 \\ 11111011110011111 \end{matrix}$ 

- Let  $\Sigma = \{0, 1\}$
- Let  $L = \{ w \in \Sigma^* \mid |w| = 4 \}$

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The length of a string w is denoted | w|

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#### ΣΣΣΣ

```
0000
1010
1111
1000
```

```
• Let \Sigma = \{0, 1\}
```

• Let 
$$L = \{ w \in \Sigma^* \mid |w| = 4 \}$$

 $\Sigma^4$ 

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 $\Sigma^4$ 

- Let  $\Sigma = \{0, 1\}$
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$$1*(0 | \epsilon)1*$$

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```
11110111
111111
0111
0
```

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```
11110111
111111
0111
0
```

- Let  $\Sigma = \{0, 1\}$
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```
1*0?1*
```

```
11110111
111111
0111
0
```

- Let  $\Sigma = \{ a, .., \emptyset \}$ , where a represents "some letter."
- Regular expression for email addresses:

- Let  $\Sigma = \{ a, .., \emptyset \}$ , where a represents "some letter."
- Regular expression for email addresses:

aa\*(.aa\*)\*@aa\*.aa\*(.aa\*)\*

- Let  $\Sigma = \{ a, .., e \}$ , where a represents "some letter."
- Regular expression for email addresses:

aa\*(.aa\*)\*@aa\*.aa\*(.aa\*)\*

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aa*(.aa*)*@aa*.aa*(.aa*)*
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a+ (.aa*)*@aa*.aa*(.aa*)*
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$$a^+$$
 (. $a^+$ )\* @  $a^+.a^+$  (. $a^+$ )\*

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$$a^{+}(.a^{+})*@a^{+}(.a^{+})^{+}$$

## Shorthand Summary

- $R^n$  is shorthand for  $RR \dots R$  (n times).
- $\Sigma$  is shorthand for "any character in  $\Sigma$ ."
- R? is shorthand for  $(R \mid \varepsilon)$ , meaning "zero or one copies of R."
- $R^+$  is shorthand for  $RR^*$ , meaning "one or more copies of R."

Break for Announcements!

## Midterm Logistics

- Midterm is tomorrow, October 29, from 7PM - 10PM
- Room determined by last name:
  - A G: Go to **Gates B01**
  - H K: Go to Gates B03
  - L P: Go to **200-002**
  - Q V: Go to **420-041**
  - W Z: Go to **Herrin T175**

Your Questions

If you find that the function  $f: A \to B$  is not surjective, have you proven that |A| < |B|? Or do you still need to do additional proof steps?

Problem Set 4 is due at 2:15PM with a late period.
Please submit it ASAP!

When writing a logic statement, do you have to include the universal or existential quantifier for every variable that you state? I thought you had to, but this one from lecture doesn't:

 $Tallest(x) \rightarrow \forall y. \ (x \neq y \rightarrow IsShorterThan(y, x))$ 

This example is a "sentence fragment" in first—order logic; without a definition of x, this isn't a valid statement. All variables need to be quantified.

"When writing first-order logic statements with quantifiers, which one out of the following would be correct?

$$\forall x \ P(x). \ \exists y. \ R(y)$$

or

$$\forall x. (P(x) \rightarrow \exists y. R(y))$$

If you find that the function  $f: A \to B$  is not surjective, have you proven that |A| < |B|? Or do you still need to do additional proof steps?

$$f: \mathbb{N} \to \mathbb{N}$$

$$f(n) = 137$$

"What is the best thing to do to prepare for the exam between now and 7PM tomorrow?"

"Is there some mathematical automaton that can determine whether or not two first-order logical statements are equivalent?"

More on that later in the quarter...

Back to Regular Expressions!

## The Power of Regular Expressions

**Theorem:** If R is a regular expression, then  $\mathcal{L}(R)$  is regular.

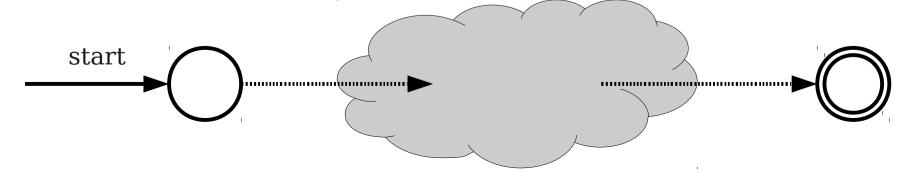
**Proof idea:** Show how to convert a regular expression into an NFA.

#### A Marvelous Construction

- The following theorem proves the language of any regular expression is regular:
- *Theorem:* For any regular expression *R*, there is an NFA *N* such that

$$\mathscr{L}(R) = \mathscr{L}(N)$$

- *N* has exactly one accepting state.
- *N* has no transitions into its start state.
- N has no transitions out of its accepting state.



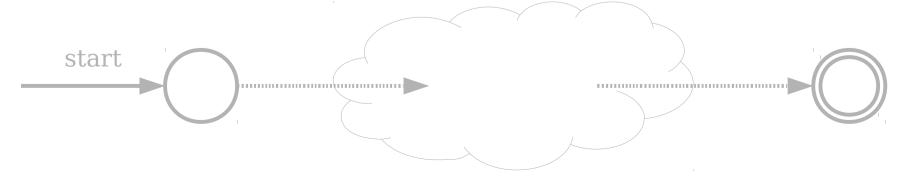
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### A Marvelous Construction

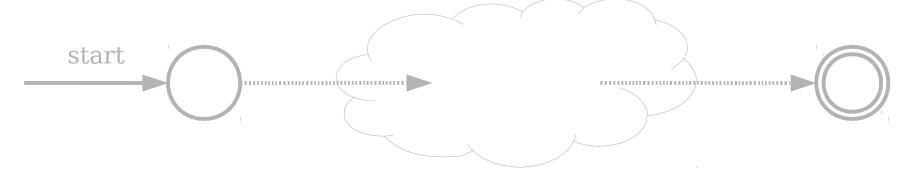
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**Theorem:** For any reguis an NFA N such that

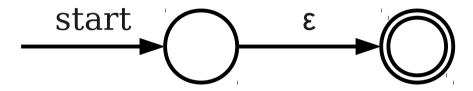
$$\mathscr{L}(R) = \mathscr{L}(N)$$

These are stronger requirements than are necessary for a normal NFA. We enforce these rules to simplify the construction.

- N has exactly one accepting state.
- N has no transitions into its start state.
- N has no transitions out of its accepting state.



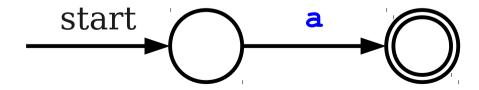
#### Base Cases



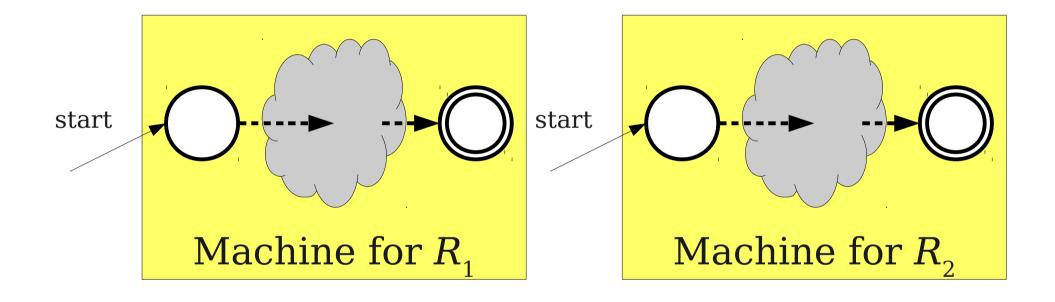
Automaton for ε

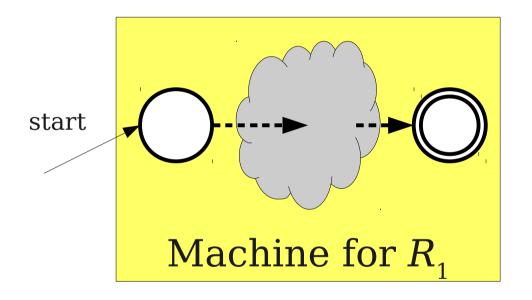


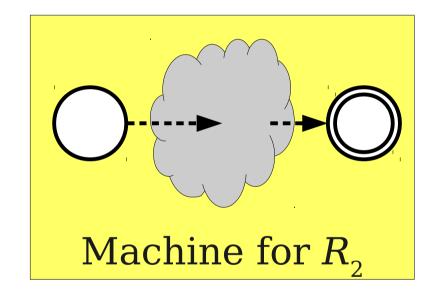
Automaton for Ø

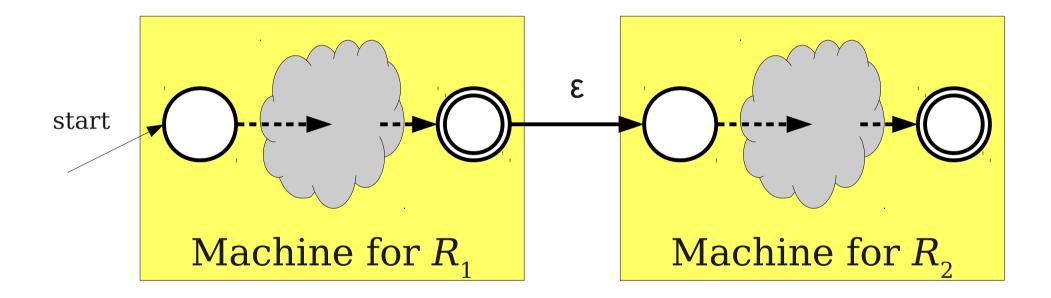


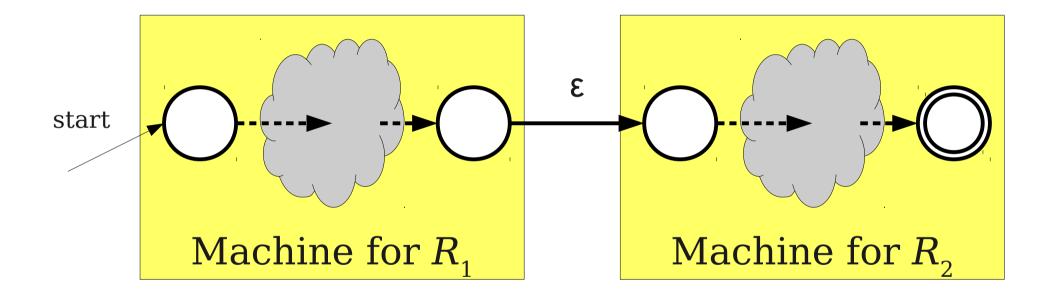
Automaton for single character a





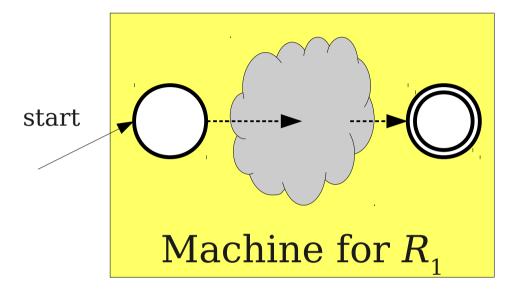


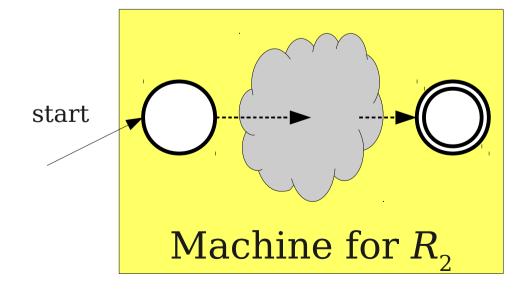




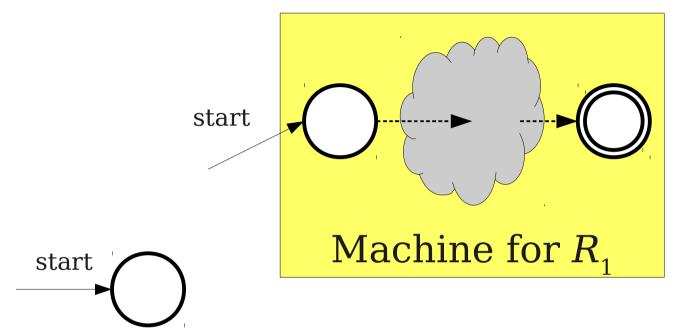
# Construction for $R_1 \mid R_2$

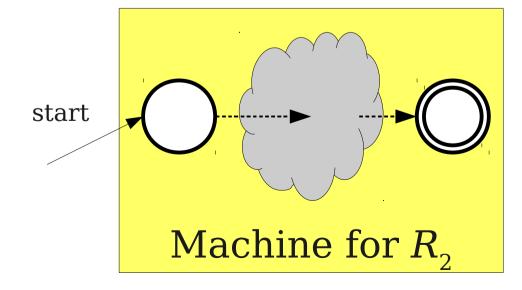
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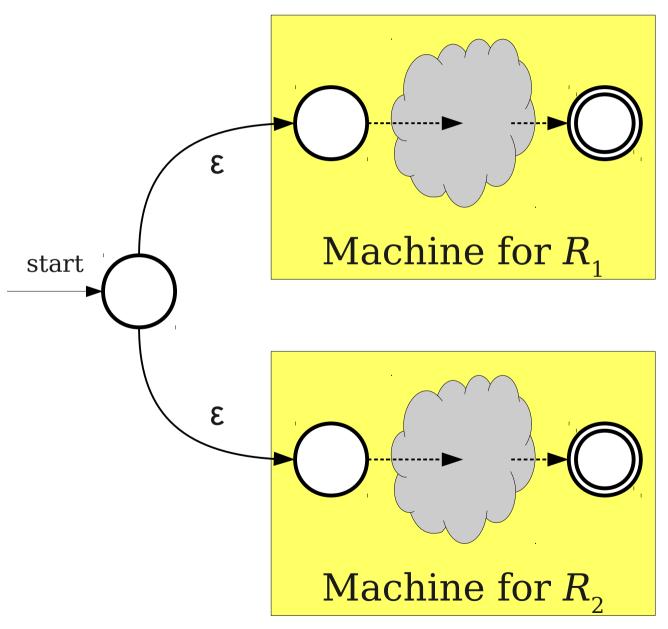


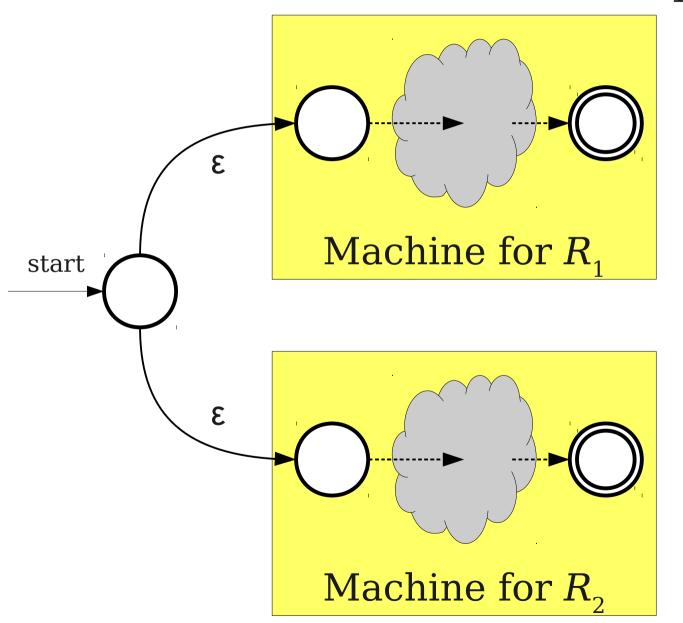


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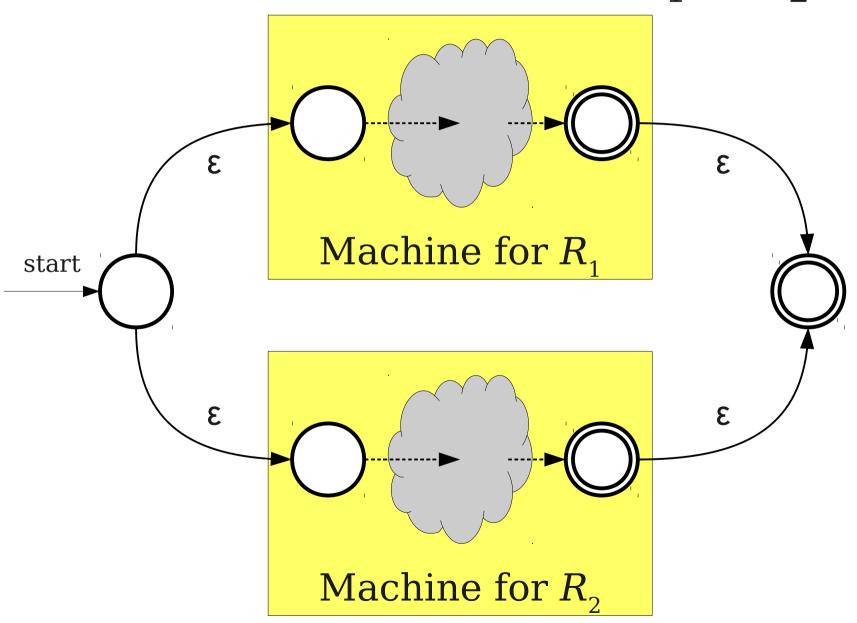


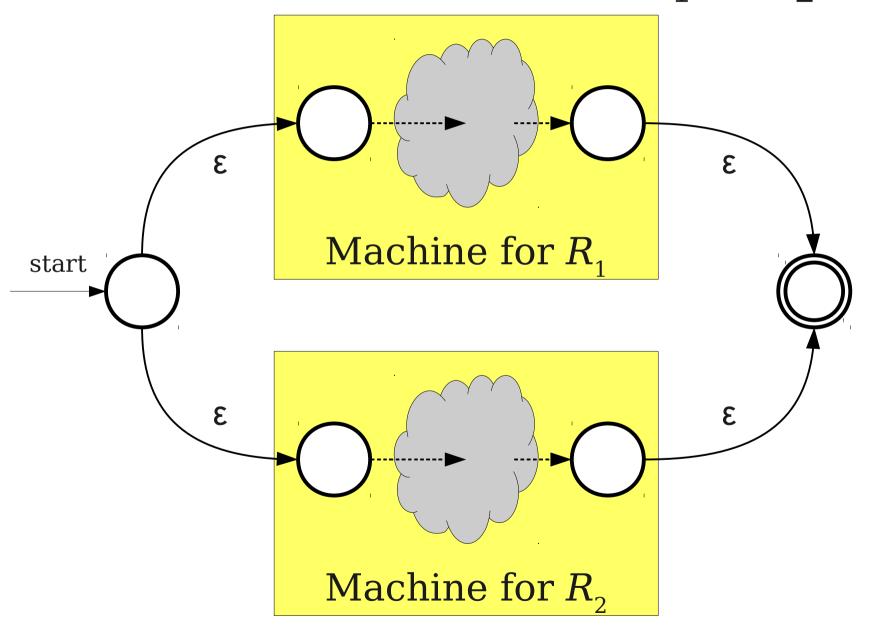


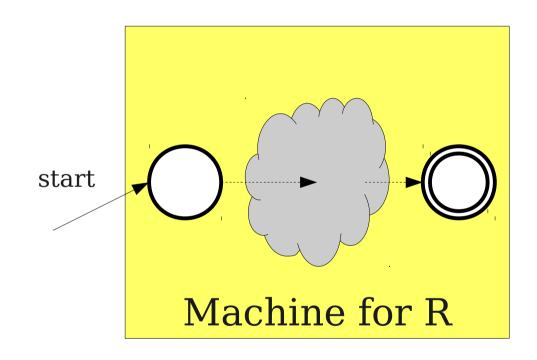


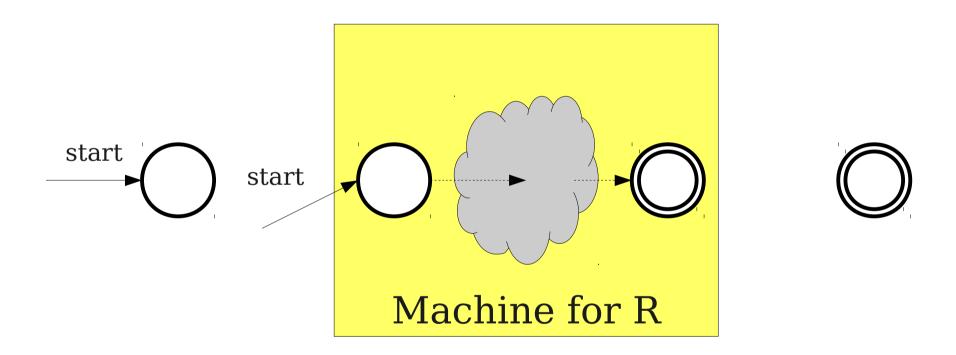


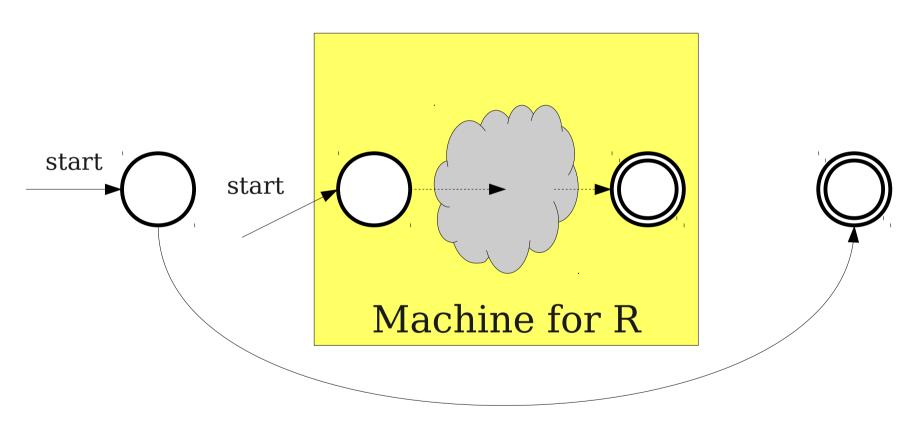


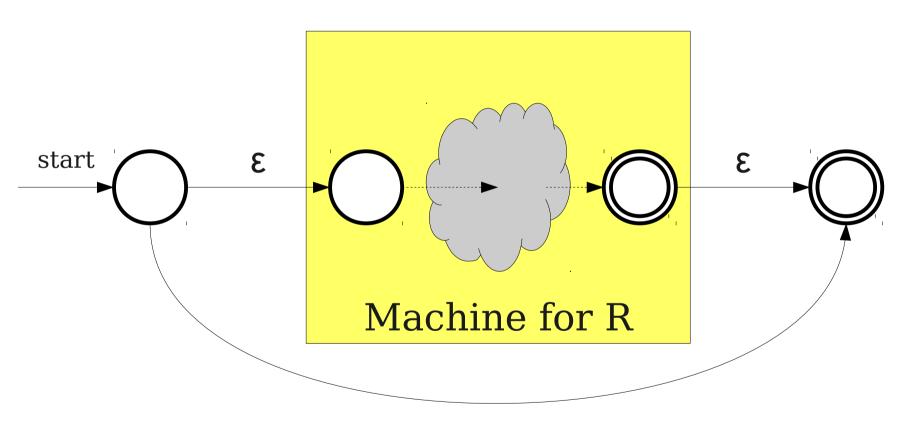


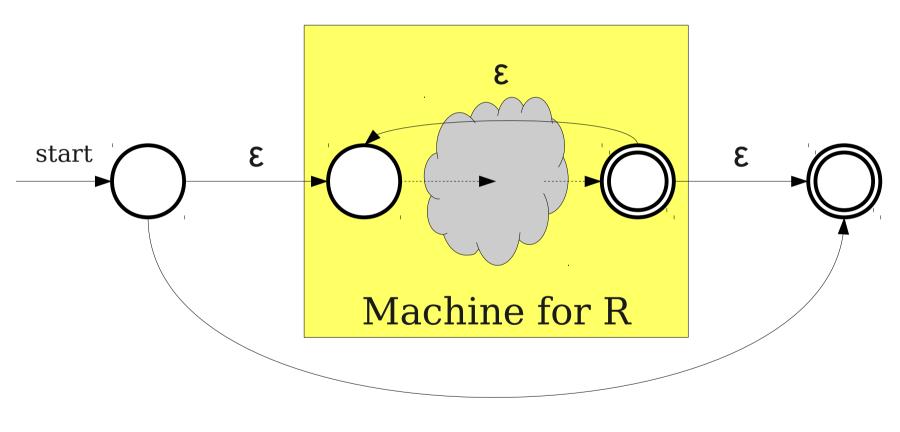


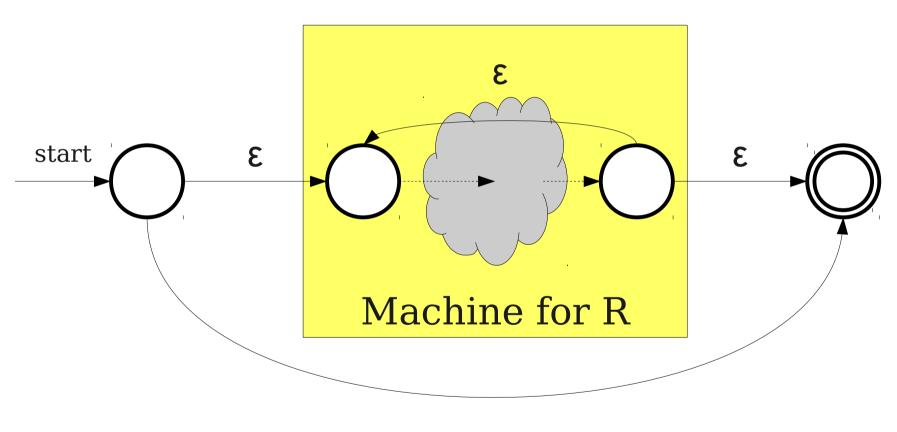












# Why This Matters

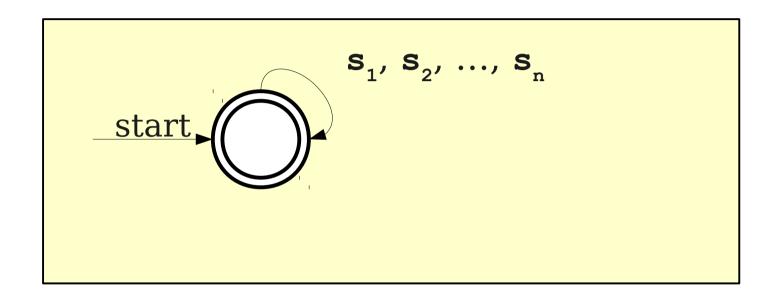
- Many software tools work by matching regular expressions against text.
- One possible algorithm for doing so:
  - Convert the regular expression to an NFA.
  - (Optionally) Convert the NFA to a DFA using the subset construction.
  - Run the text through the finite automaton and look for matches.
- Runs extremely quickly!

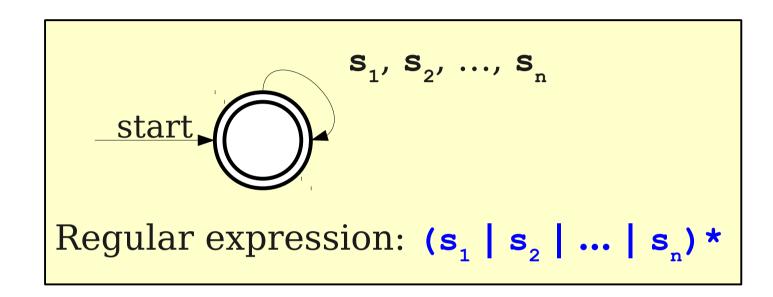
# The Power of Regular Expressions

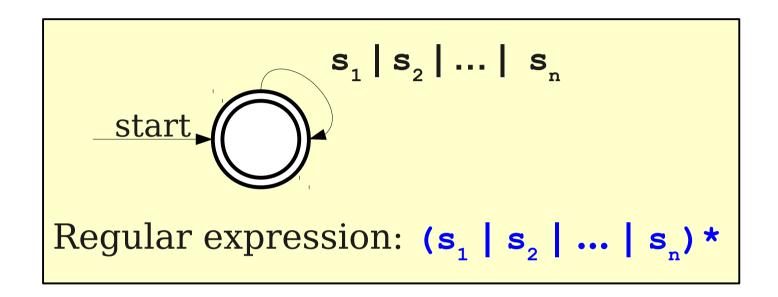
**Theorem:** If L is a regular language, then there is a regular expression for L.

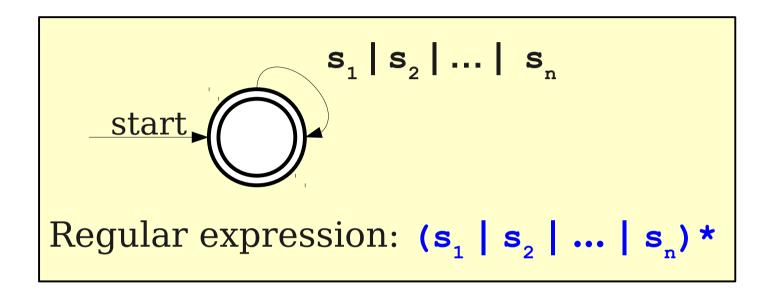
#### This is not obvious!

**Proof idea:** Show how to convert an arbitrary NFA into a regular expression.

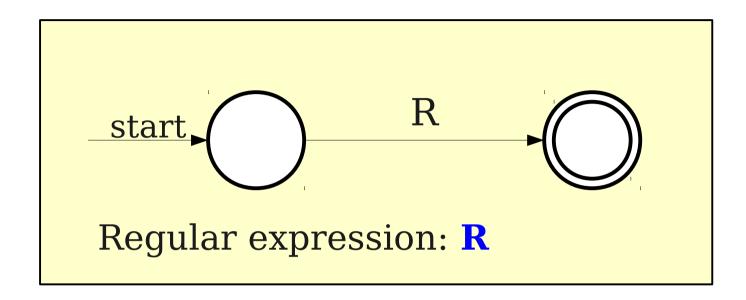


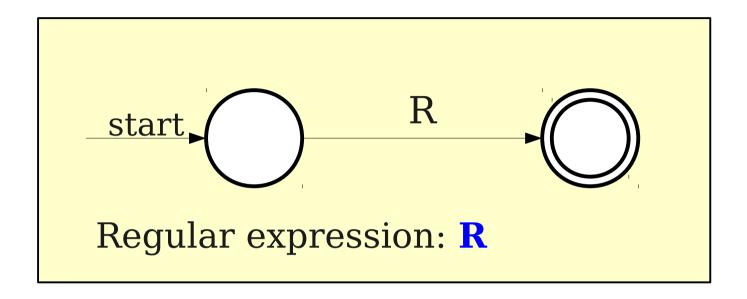




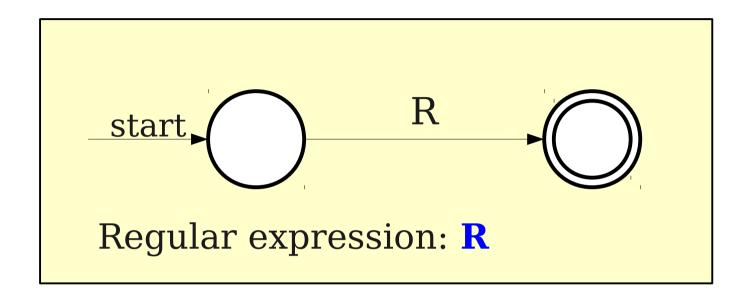


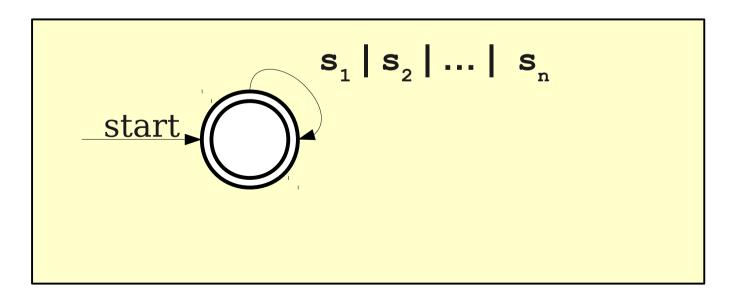
Key idea: Label transitions with arbitrary regular expressions.

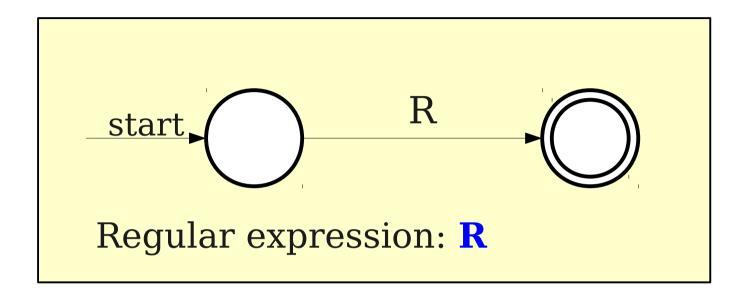


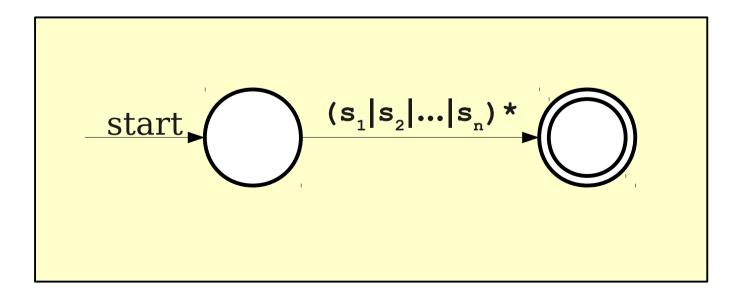


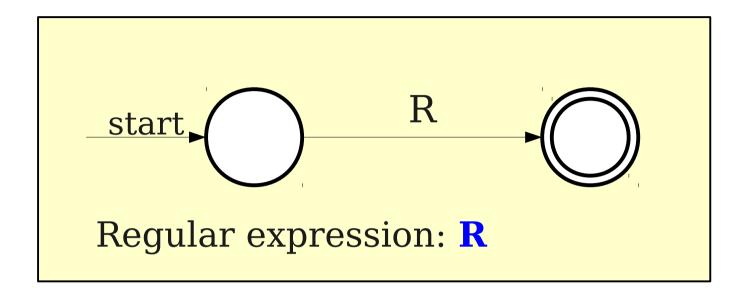
Key idea: If we can convert any NFA into something that looks like this, we can easily read off the regular expression.

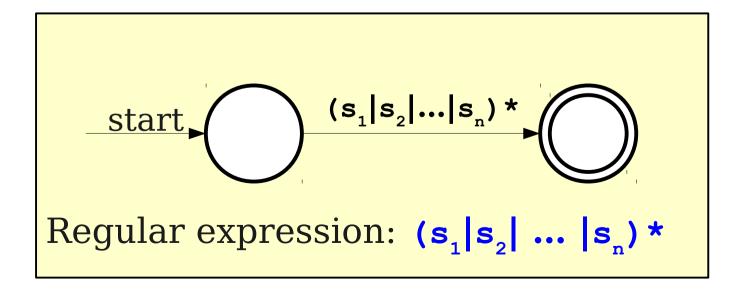


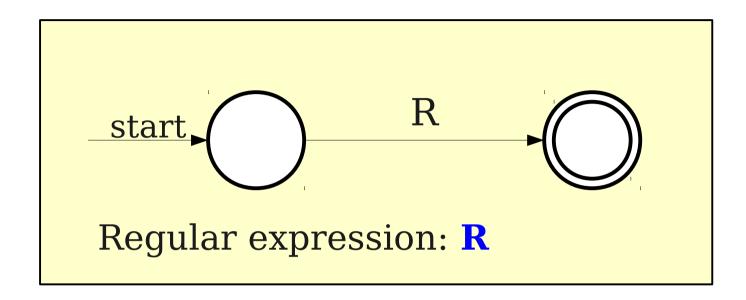


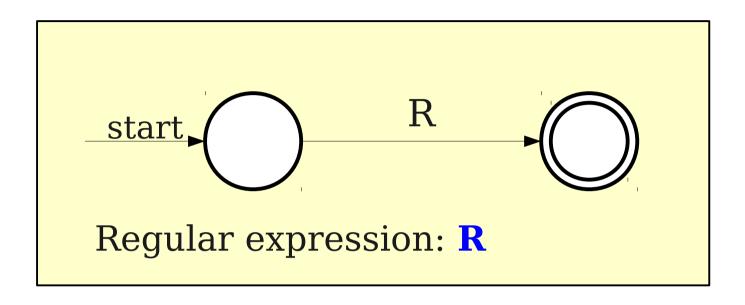


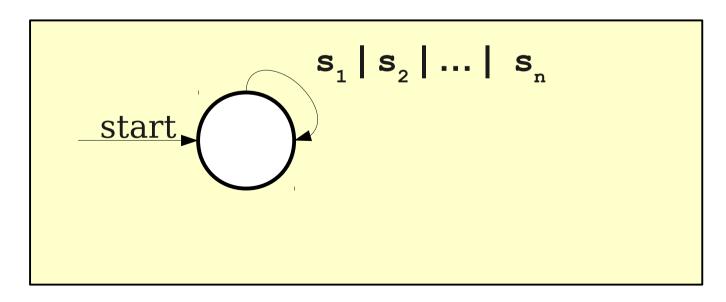


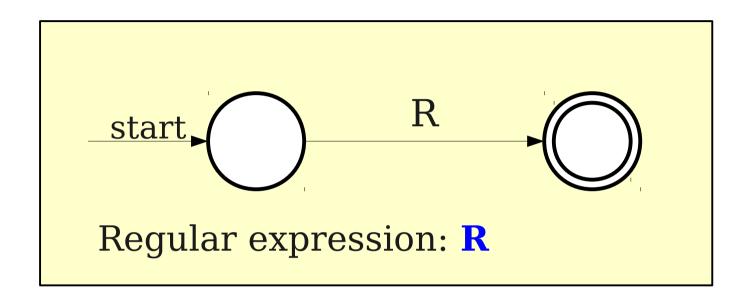


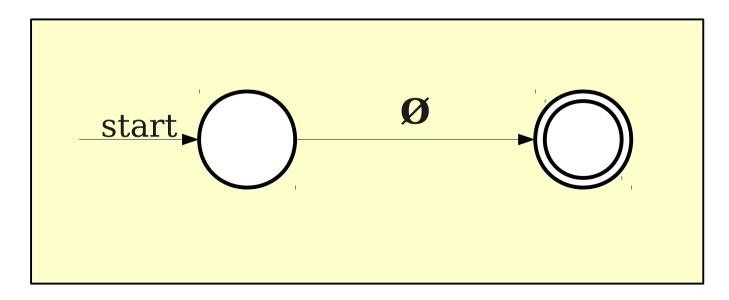


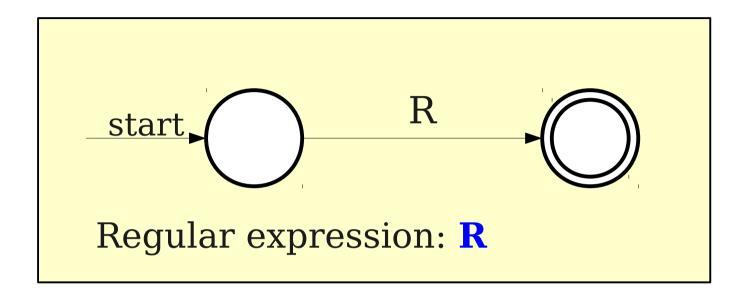


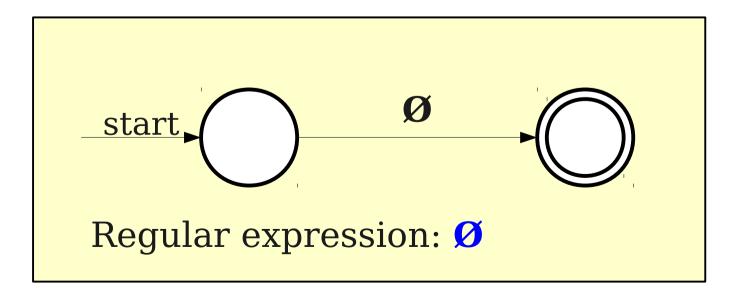


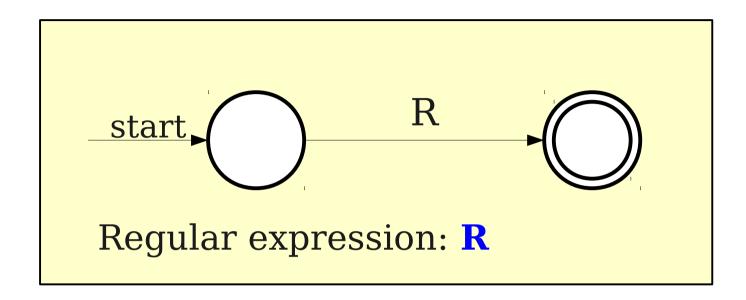


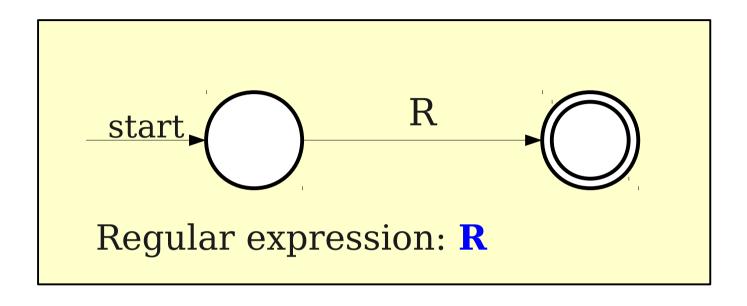


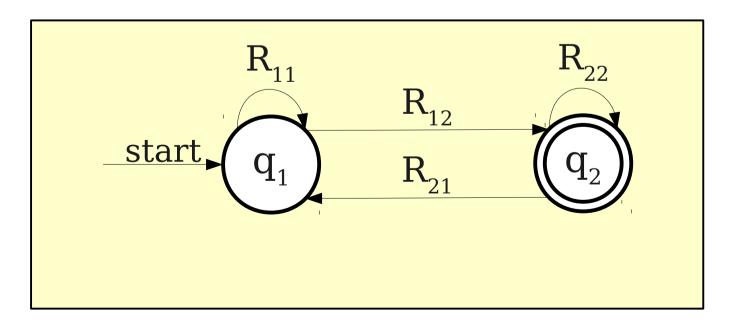


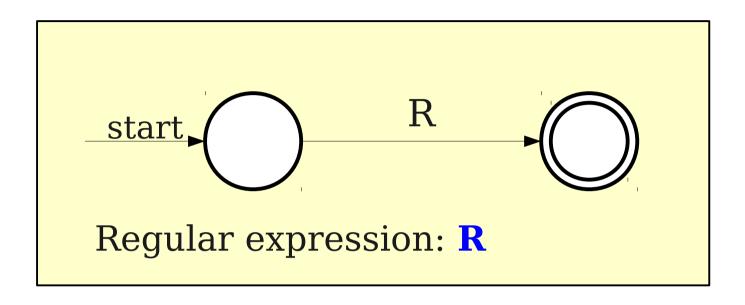


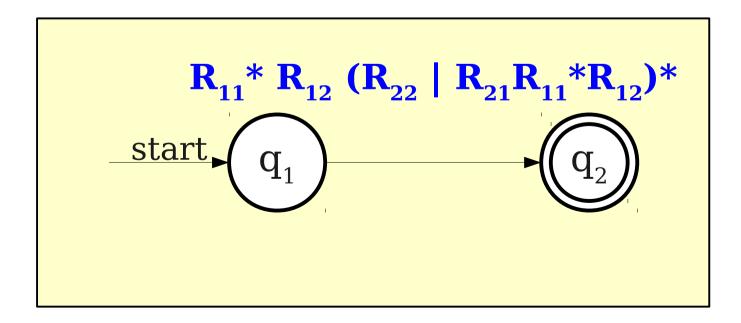


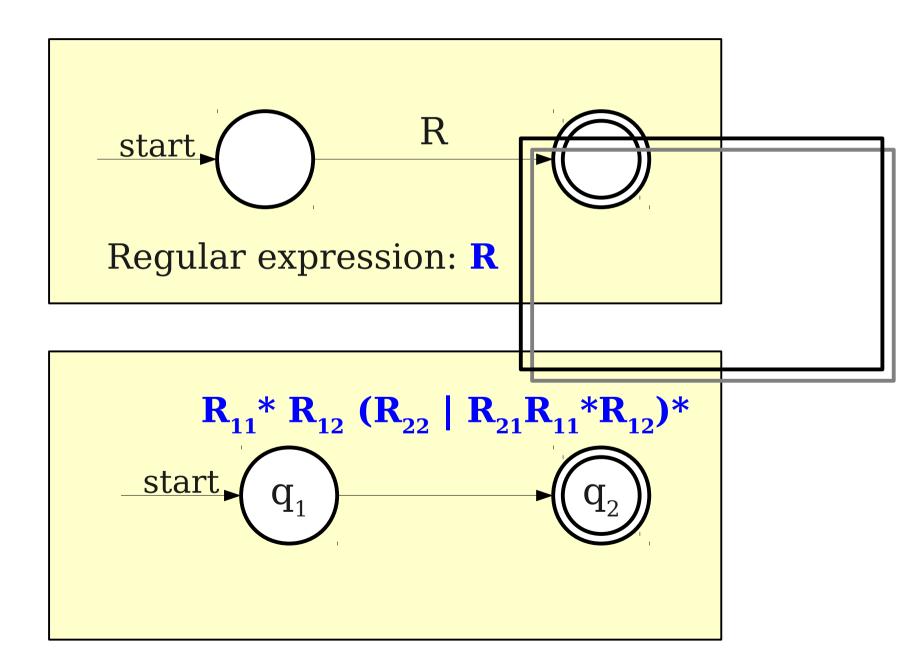


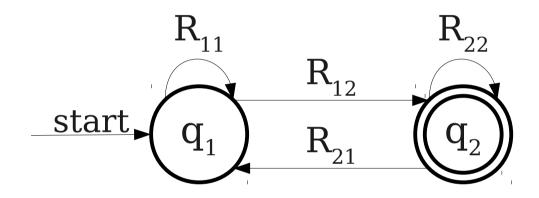


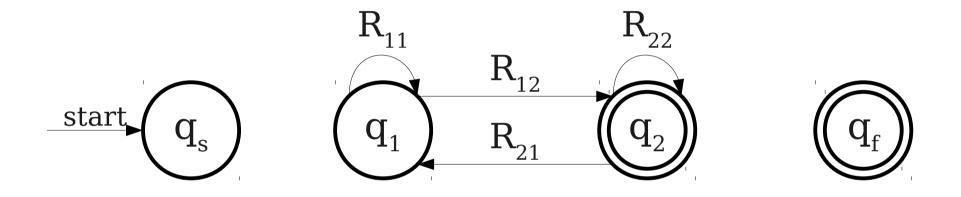


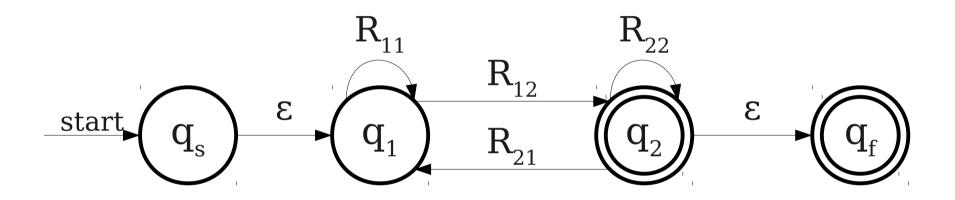


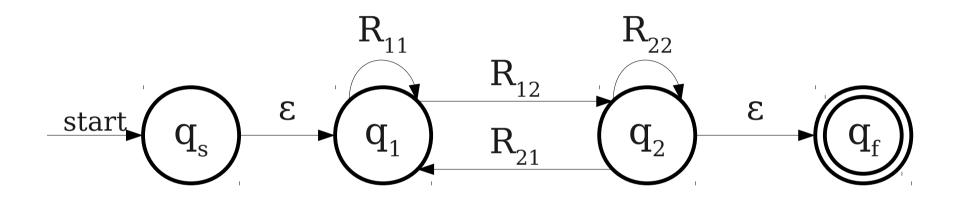


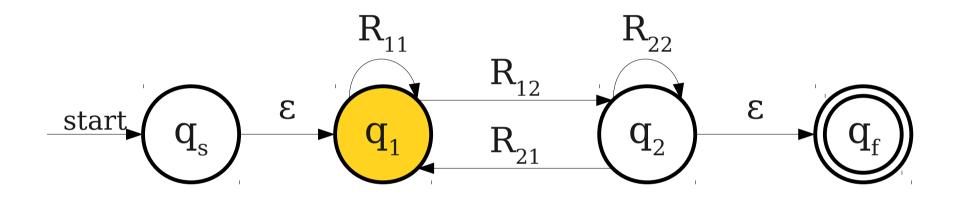


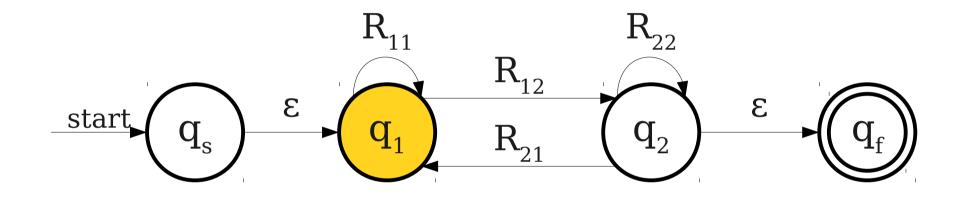




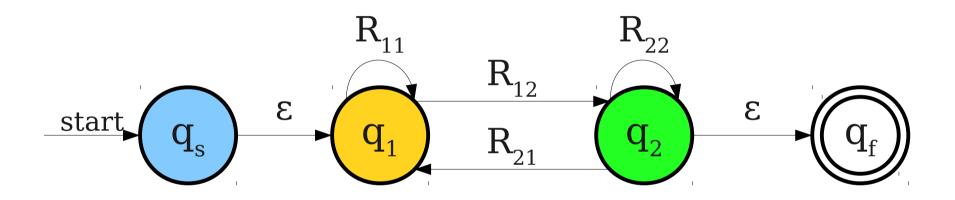


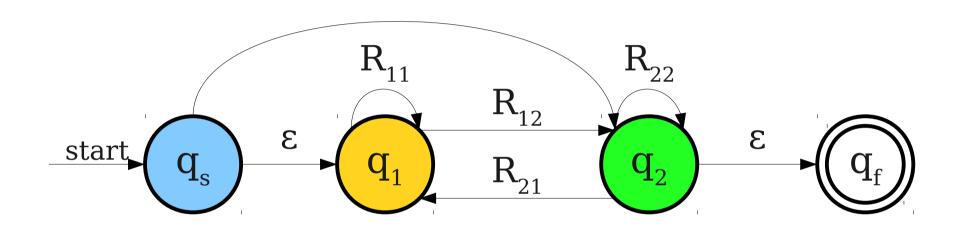


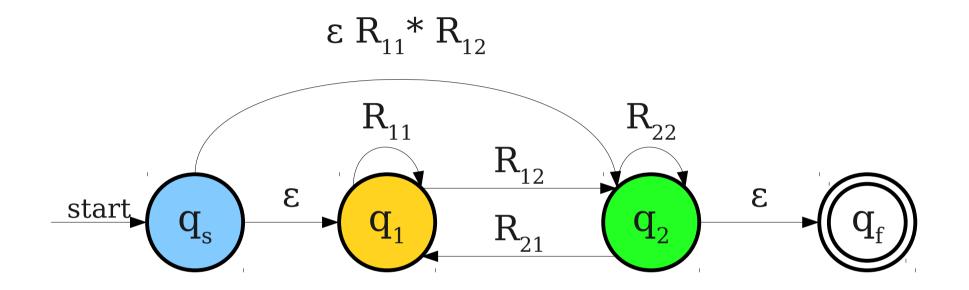




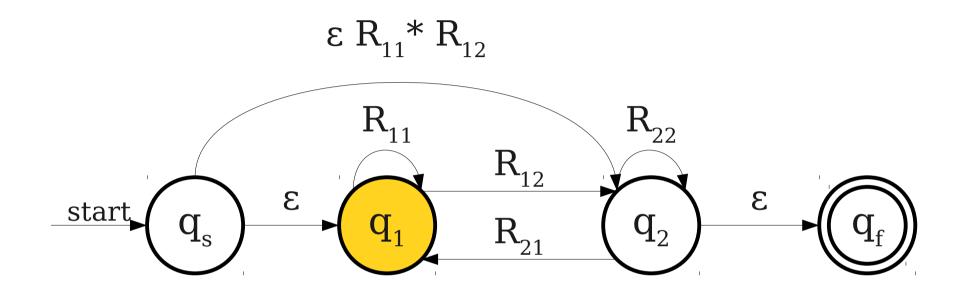
Could we eliminate this state from the NFA?

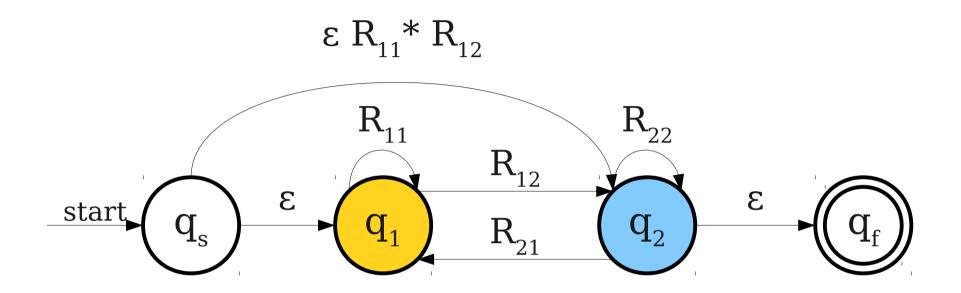


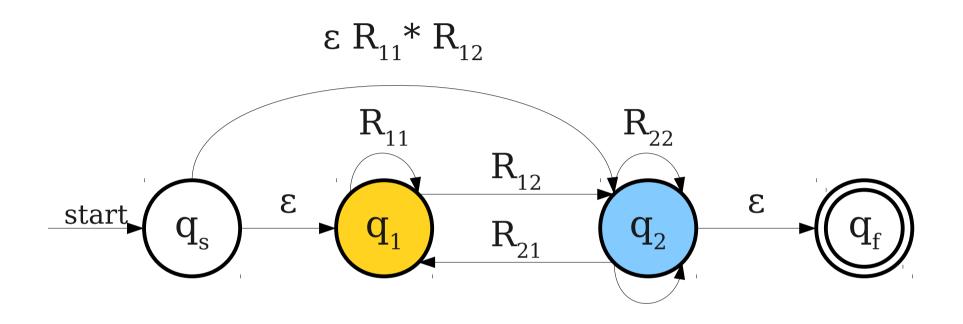


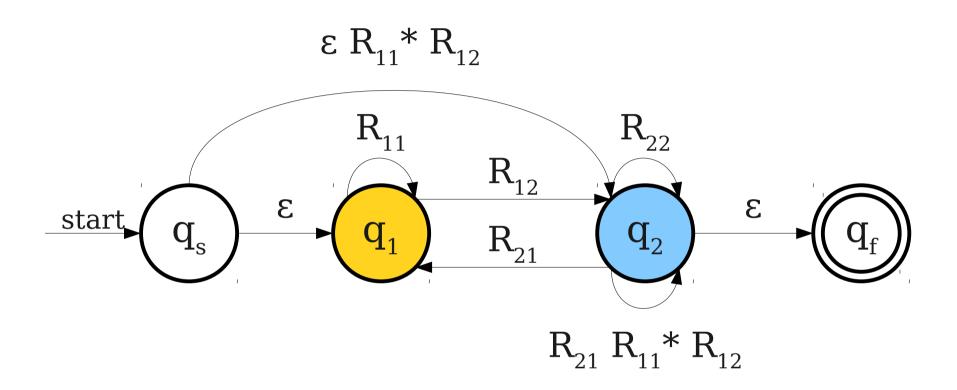


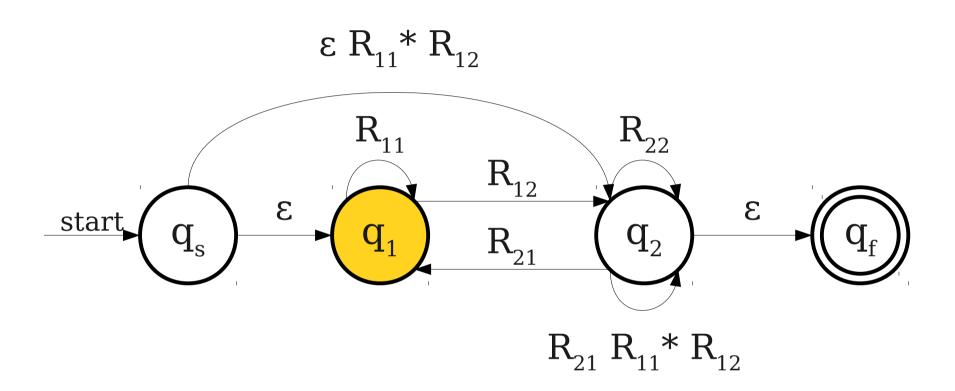
Note: We're using concatenation and Kleene closure in order to skip this state.

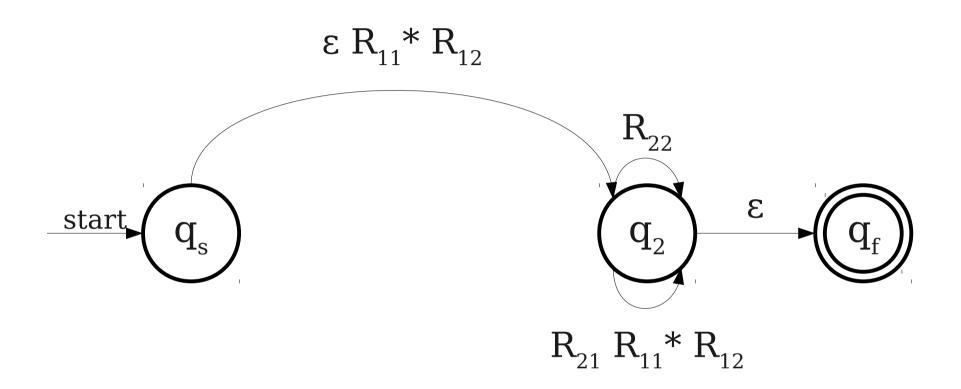


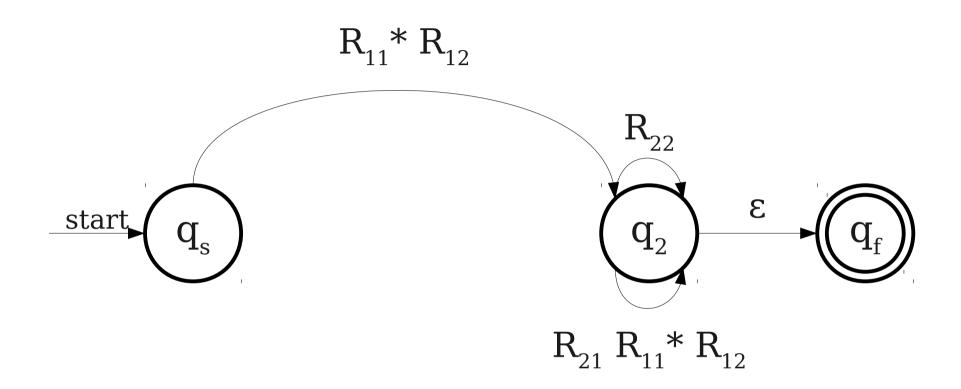


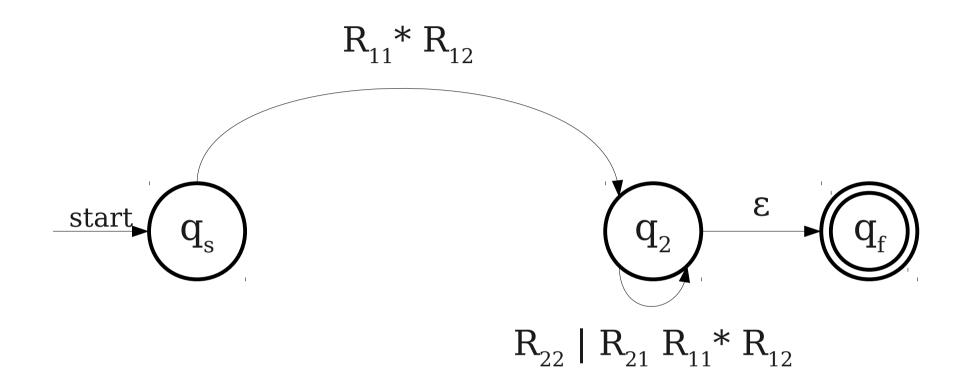




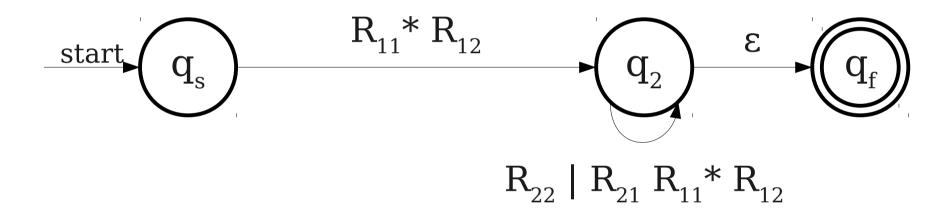


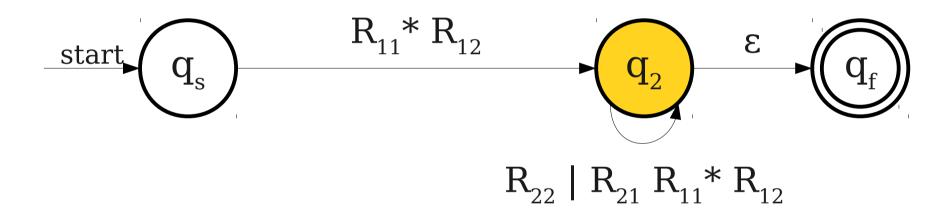


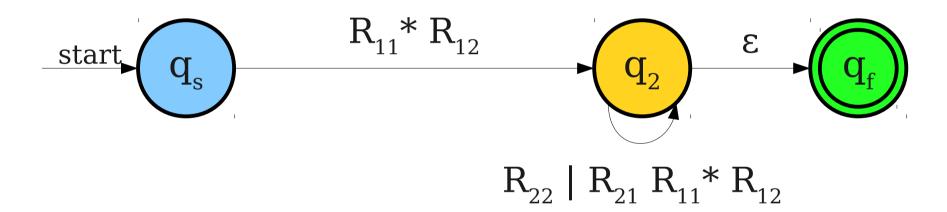


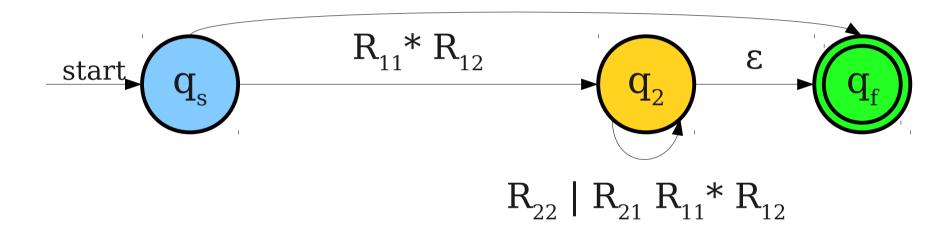


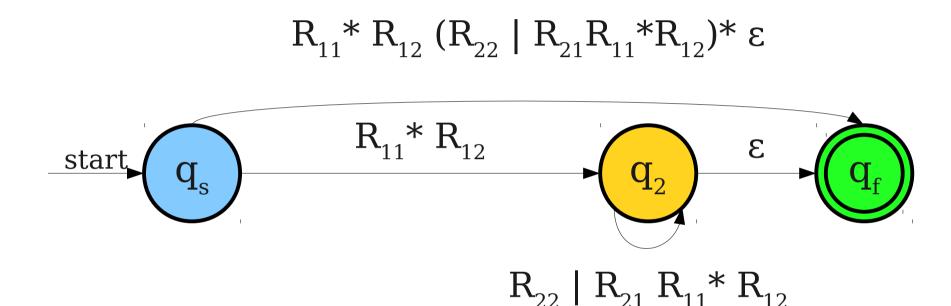
Note: We're using union to combine these transitions together.



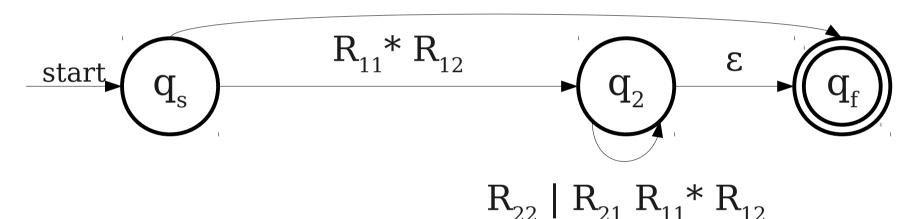








 $R_{11}^* R_{12} (R_{22} | R_{21}^* R_{11}^* R_{12}^*)^* \epsilon$ 

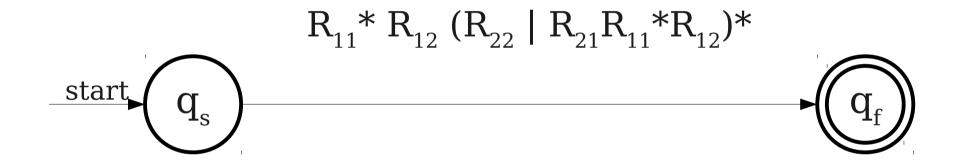


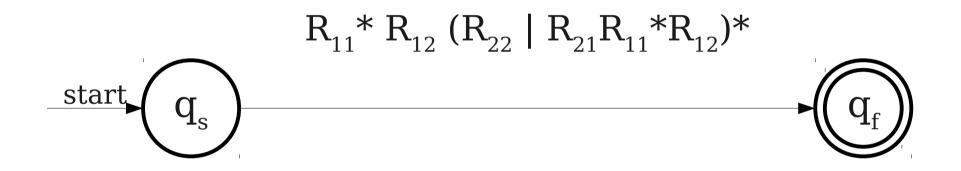
$$R_{11}^* R_{12} (R_{22} | R_{21}^* R_{11}^* R_{12}^*)$$
\*  $\epsilon$ 

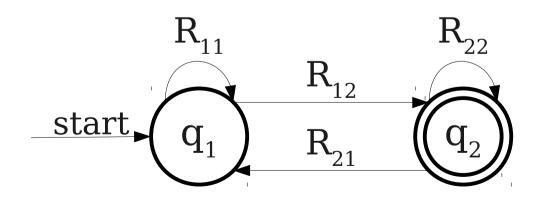


$$R_{11}^* R_{12} (R_{22} | R_{21}^* R_{11}^* R_{12}^*)^*$$





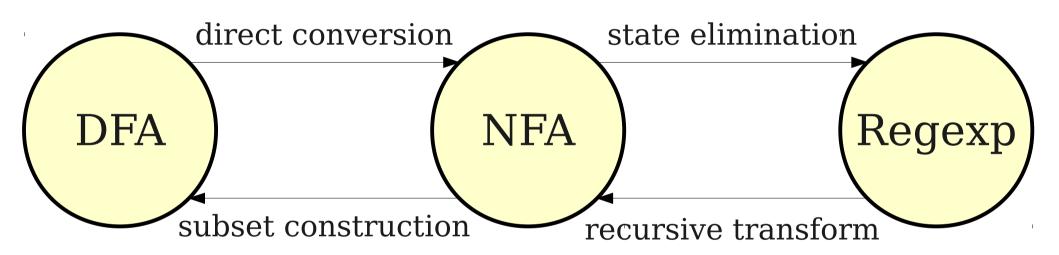




#### The Construction at a Glance

- Start with an NFA for the language *L*.
- Add a new start state  $q_{\rm s}$  and accept state  $q_{\rm f}$  to the NFA.
  - Add  $\epsilon$ -transitions from each original accepting state to  $q_{\epsilon}$ , then mark them as not accepting.
- Repeatedly remove states other than  $q_{\rm s}$  and  $q_{\rm f}$  from the NFA by "shortcutting" them until only two states remain:  $q_{\rm s}$  and  $q_{\rm f}$ .
- The transition from  $q_{\rm s}$  to  $q_{\rm f}$  is then a regular expression for the NFA.

#### Our Transformations



#### **Theorem:** The following are all equivalent:

- $\cdot$  L is a regular language.
- · There is a DFA D such that  $\mathcal{L}(D) = L$ .
- · There is an NFA N such that  $\mathcal{L}(N) = L$ .
- · There is a regular expression R such that  $\mathcal{L}(R) = L$ .

#### Next Time

- Applications of Regular Languages
  - Answering "so what?"
- Intuiting Regular Languages
  - What makes a language regular?
- The Pumping Lemma
  - Proving languages aren't regular.