# Binary Relations

Problem Set Two checkpoint due in the box up front if you're using a late period.

## Studying Relationships

- We have just explored the graph as a way of studying relationships between objects.
- However, graphs are not the only formalism we can use to do this.

## Relationships

- We've seen different types of relationships
  - between sets:

$$-A \subseteq B \quad A \subset B$$

between numbers:

$$- x < y \quad x \equiv_k y$$

between nodes in a graph:

```
-u\leftrightarrow v
```

• Goal: Focus on these types of relationships and study their properties.

#### Binary Relations

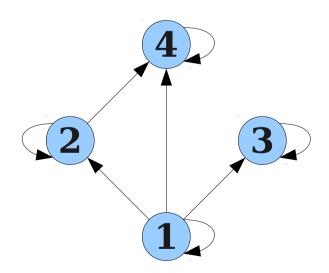
• Intuitively speaking: a **binary relation over a set** A is some relation R where, for every  $x, y \in A$ , the statement xRy is either true or false.

#### • Examples:

- < can be a binary relation over  $\mathbb{N}$ ,  $\mathbb{Z}$ ,  $\mathbb{R}$ , etc.
- $\leftrightarrow$  can be a binary relation over V for any undirected graph G = (V, E).
- $\equiv_k$  is a binary relation over  $\mathbb{Z}$  for any integer k.
- We'll give a formal definition later today.

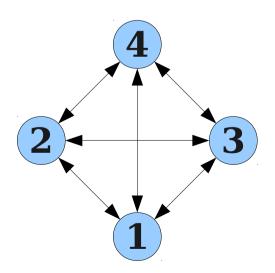
## Binary Relations and Graphs

- We can visualize a binary relation *R* over a set *A* as a graph:
  - The nodes are the elements of A.
  - There is an edge from x to y iff xRy.
- Example: the relation  $a \mid b$  (meaning "a divides b") over the set  $\{1, 2, 3, 4\}$  looks like this:



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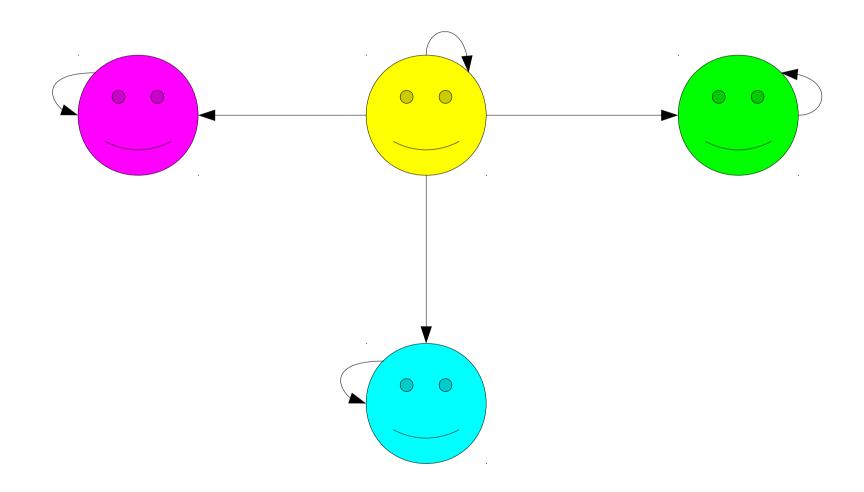
## Categorizing Relations

- Collectively, there are few properties shared by all relations.
- We often categorize relations into different types to study relations with particular properties.
- General outline for today:
  - Find certain properties that hold of the relations we've seen so far.
  - Categorize relations based on those properties.
  - See what those properties entail.

## Reflexivity

- Some relations always hold for any element and itself.
- Examples:
  - x = x for any x.
  - $A \subseteq A$  for any set A.
  - $x \equiv_k x$  for any x.
  - $u \leftrightarrow u$  for any u.
- Relations of this sort are called reflexive.
- Formally: a binary relation R over a set A is **reflexive** iff for all  $x \in A$ , the relation xRx holds.

#### An Intuition for Reflexivity

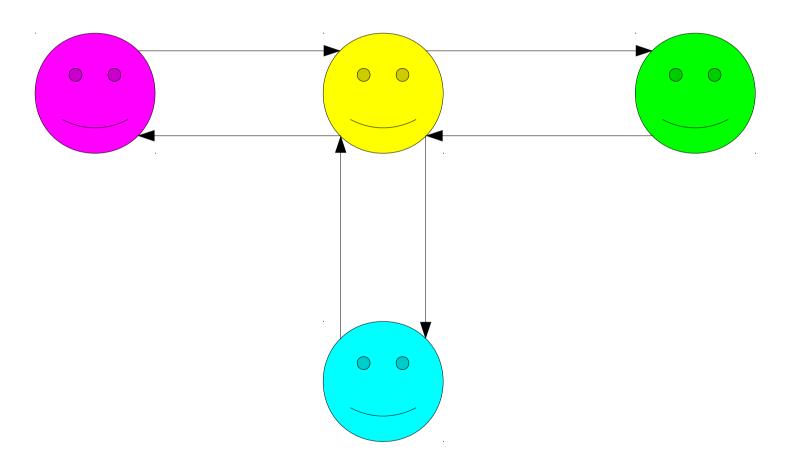


For every  $x \in A$ , the relation xRx holds.

## Symmetry

- In some relations, the relative order of the objects doesn't matter.
- Examples:
  - If x = y, then y = x.
  - If  $u \leftrightarrow v$ , then  $v \leftrightarrow u$ .
  - If  $x \equiv_k y$ , then  $y \equiv_k x$ .
- These relations are called symmetric.
- Formally: A binary relation R over a set A is called **symmetric** iff for all  $x, y \in A$ , if xRy, then yRx.

## An Intuition for Symmetry

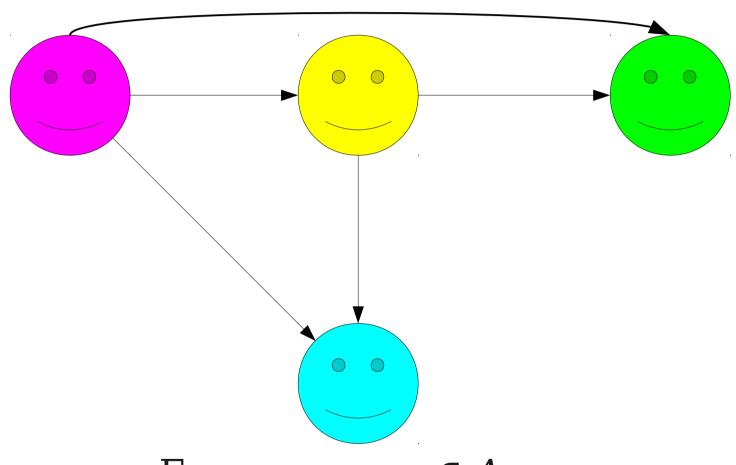


For any  $x \in A$  and  $y \in A$ , if xRy, then yRx.

#### Transitivity

- Many relations can be chained together.
- Examples:
  - If x = y and y = z, then x = z.
  - If  $u \leftrightarrow v$  and  $v \leftrightarrow w$ , then  $u \leftrightarrow w$ .
  - If  $x \equiv_k y$  and  $y \equiv_k z$ , then  $x \equiv_k z$ .
- These relations are called **transitive**.
- Formally: A binary relation R over a set A is called **transitive** iff for all x, y,  $z \in A$ , if xRy and yRz, then xRz.

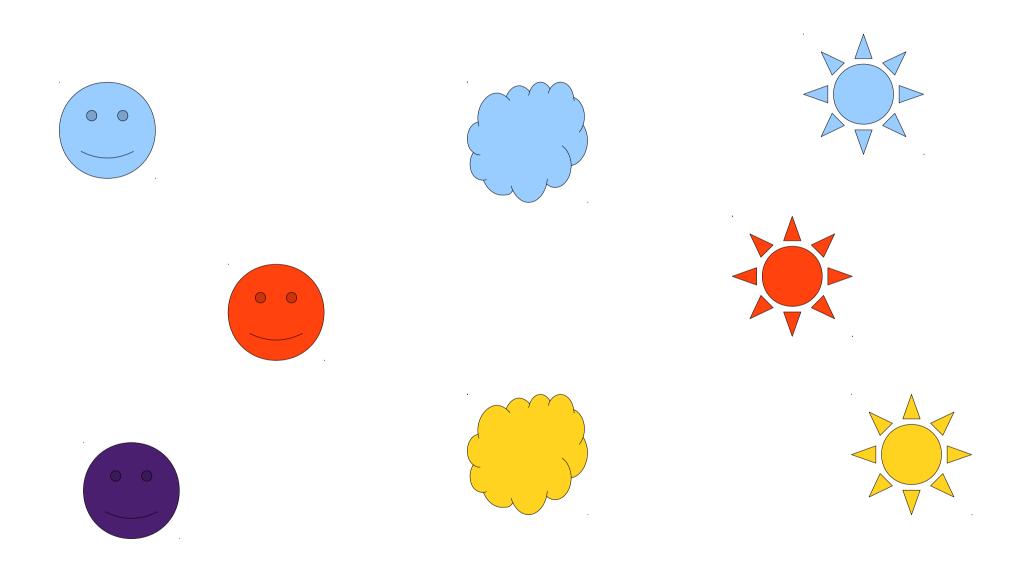
## An Intuition for Transitivity



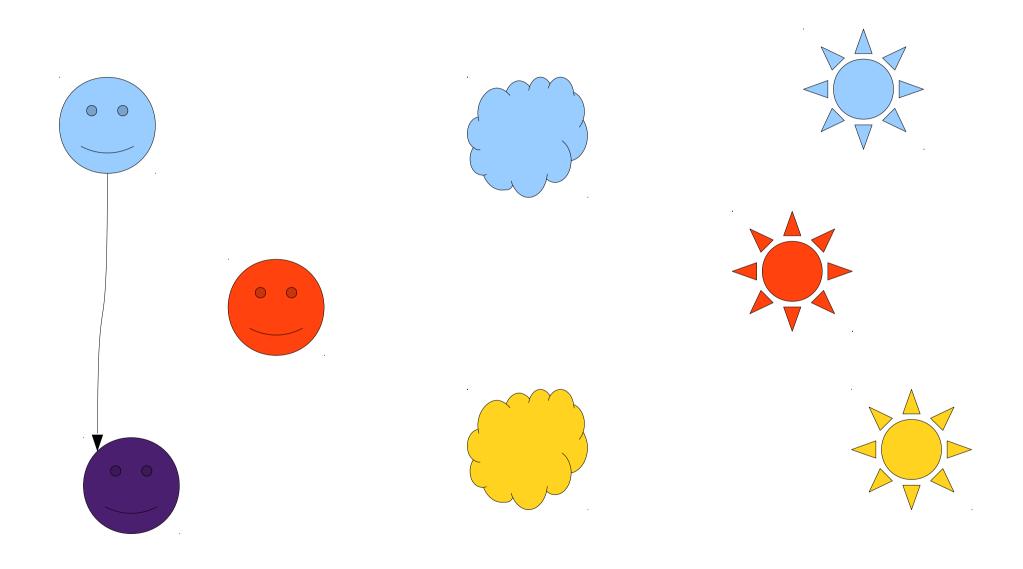
For any x, y,  $z \in A$ , if xRy and yRz, then xRz.

#### Equivalence Relations

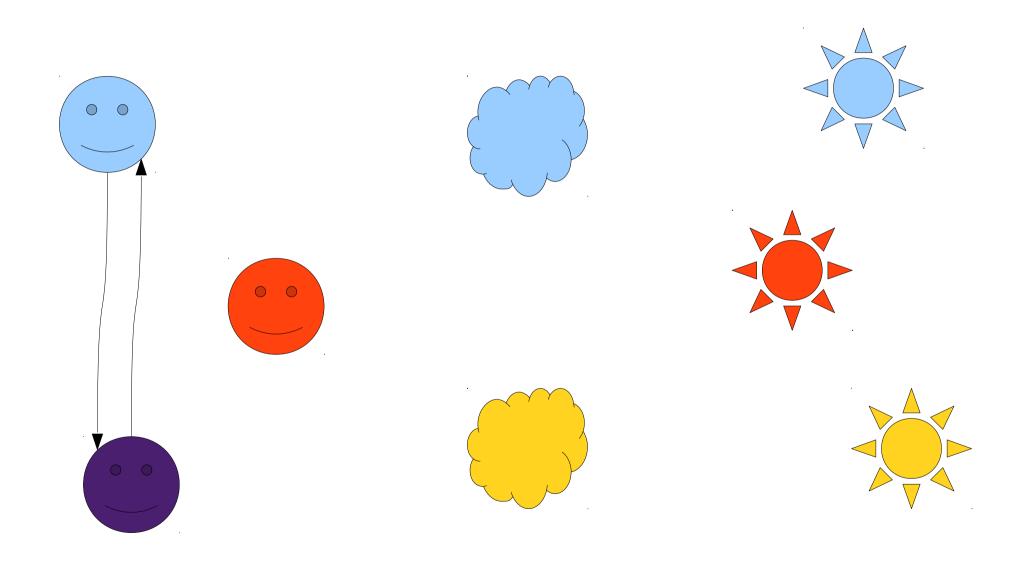
- Some relations are reflexive, symmetric, and transitive:
  - x = y
  - $u \leftrightarrow v$
  - $\chi \equiv_k \gamma$
- Definition: An equivalence relation is a relation that is reflexive, symmetric and transitive.



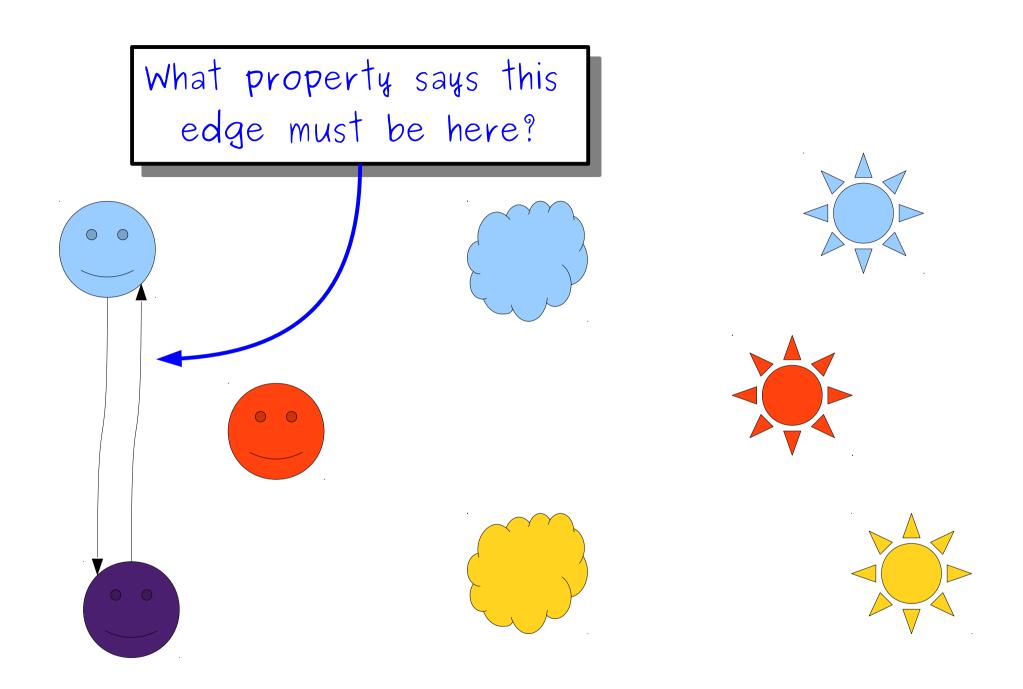
 $xRy \equiv x$  and y have the same shape.



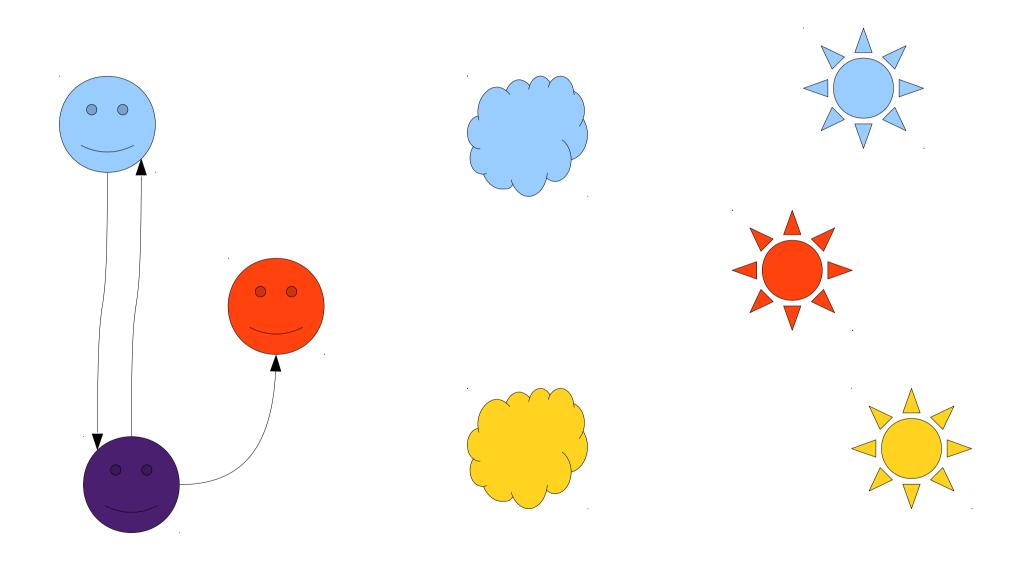
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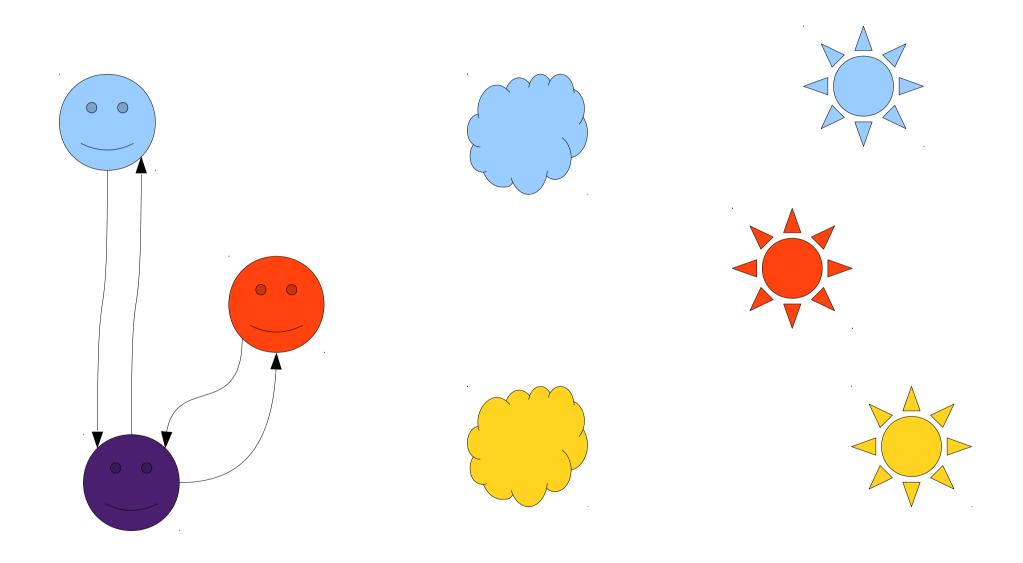
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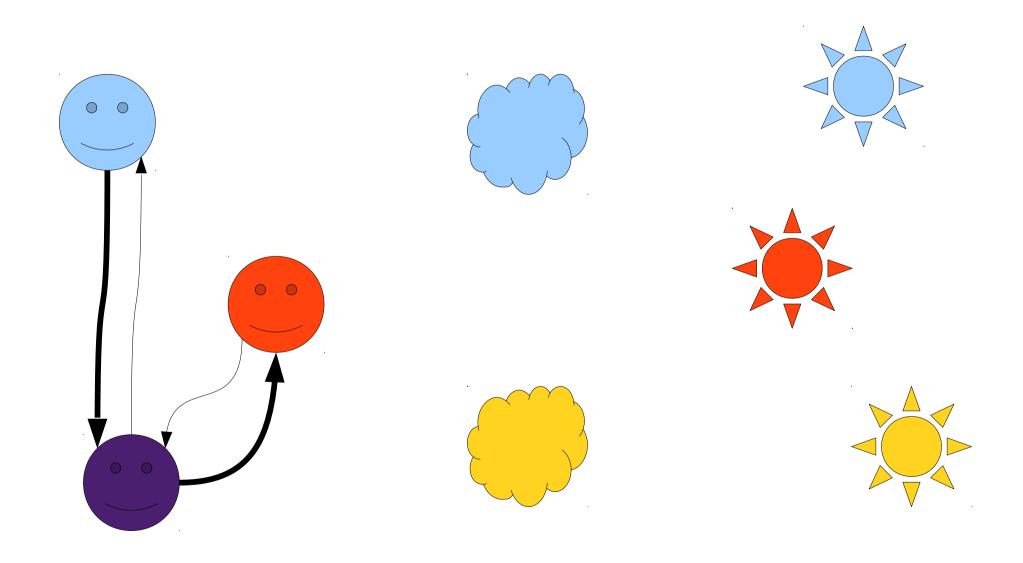
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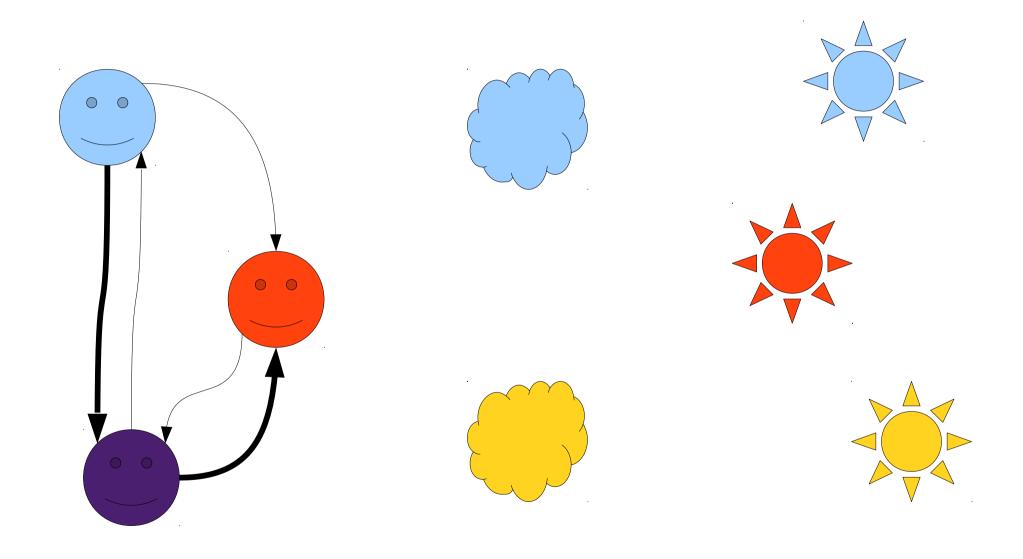
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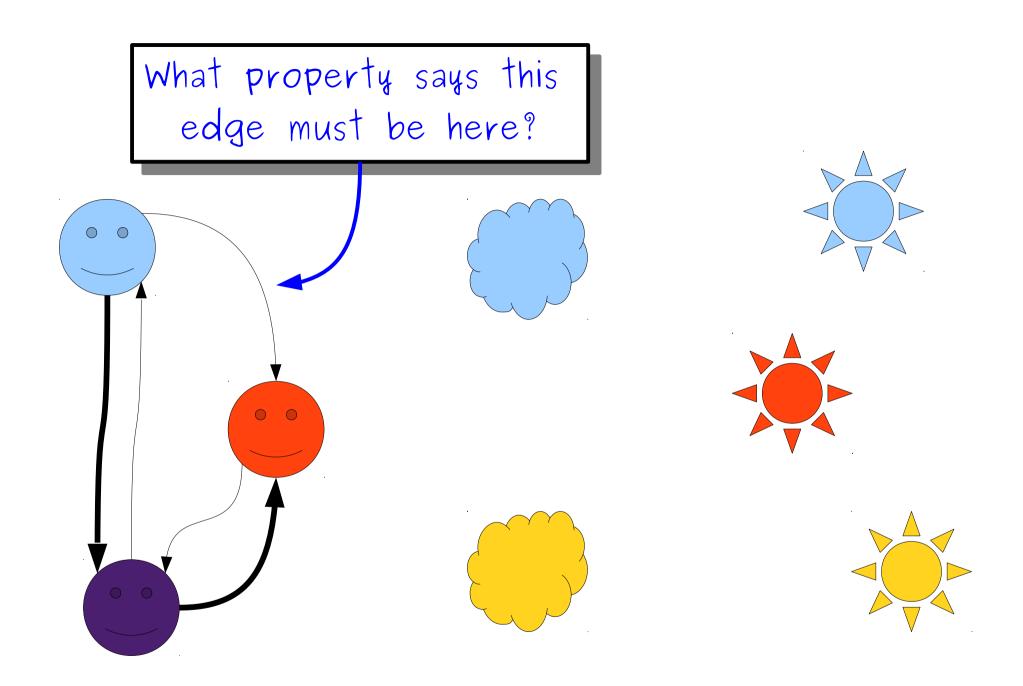
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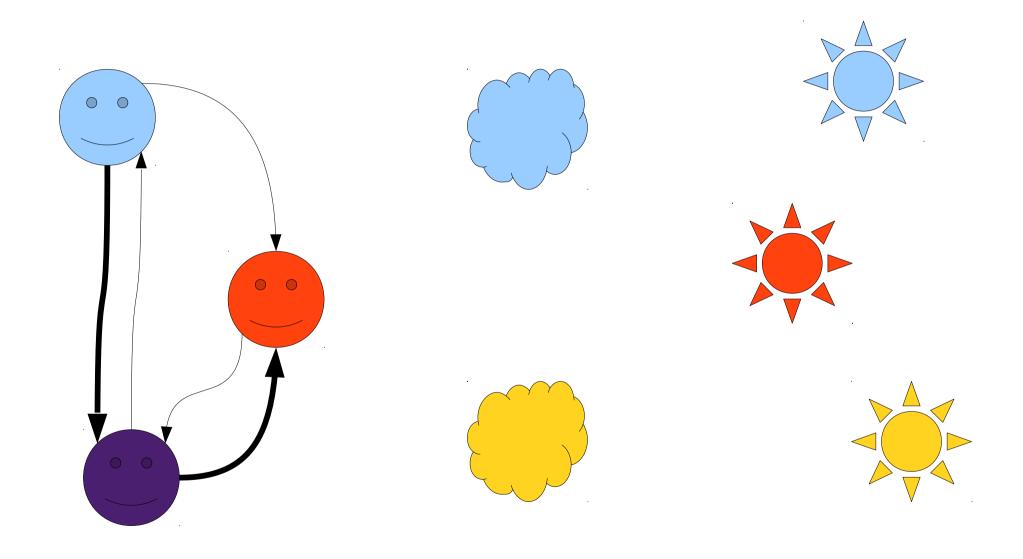
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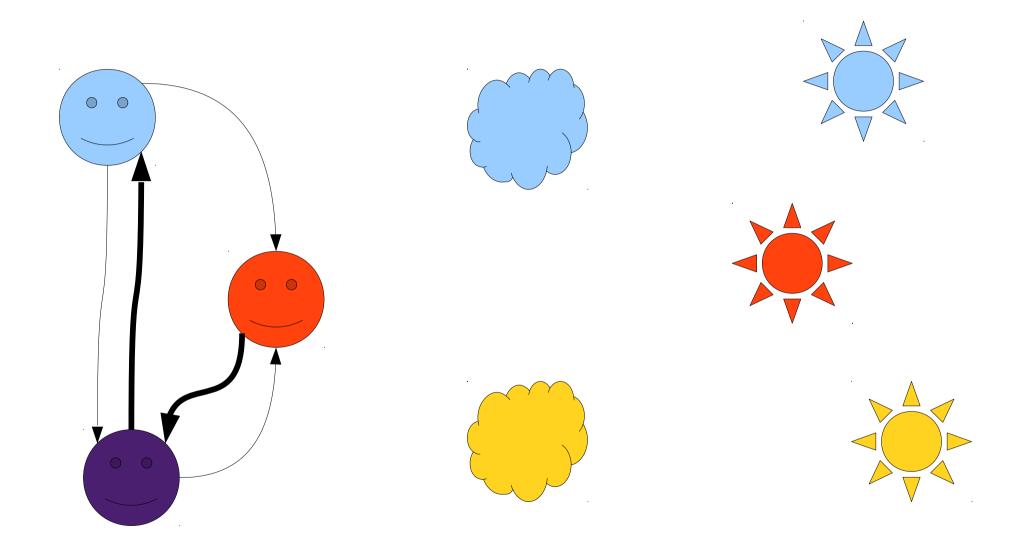
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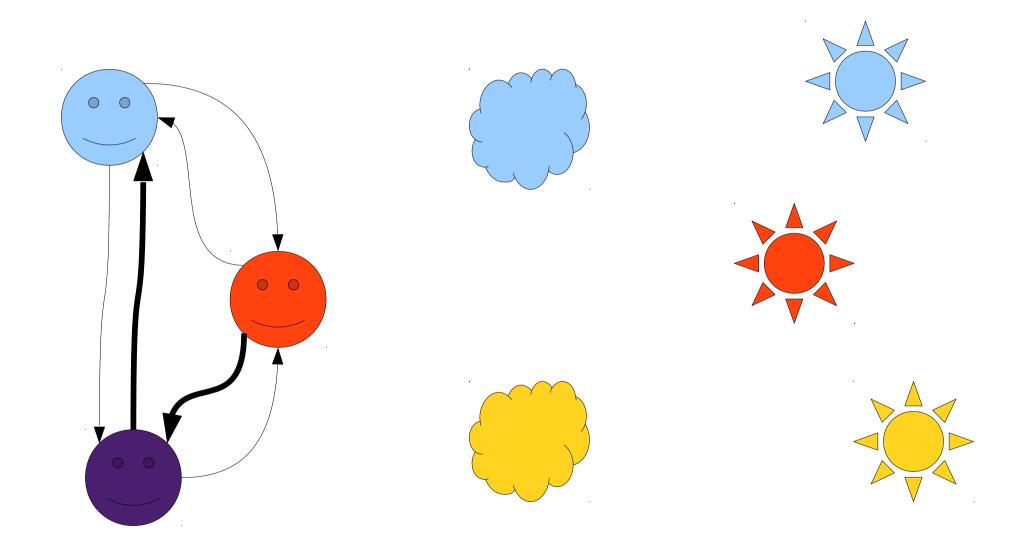
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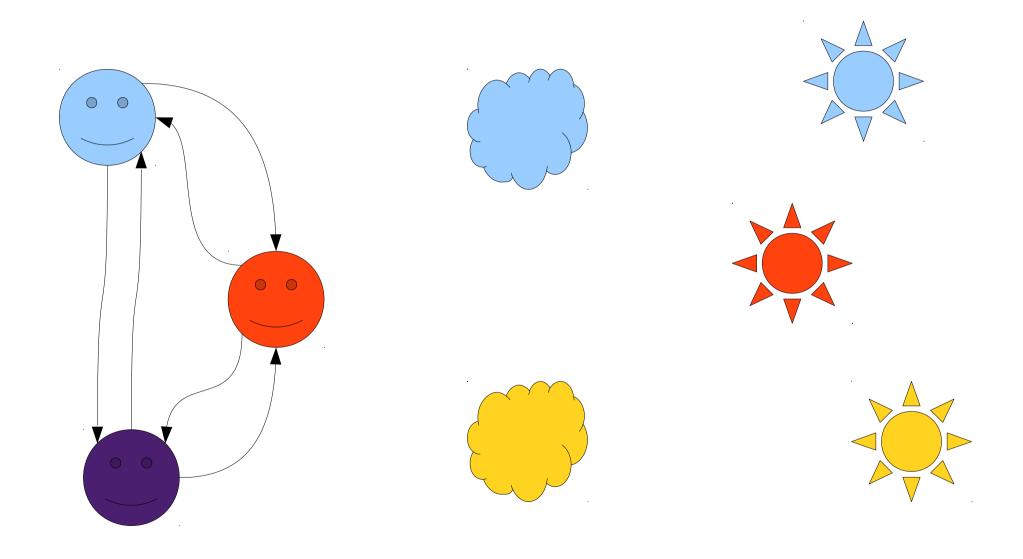
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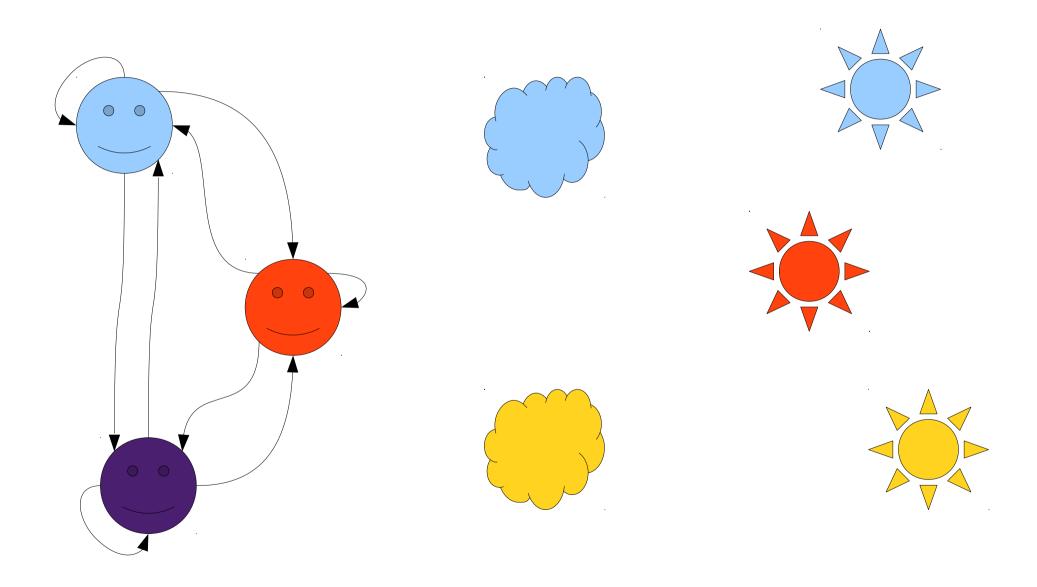
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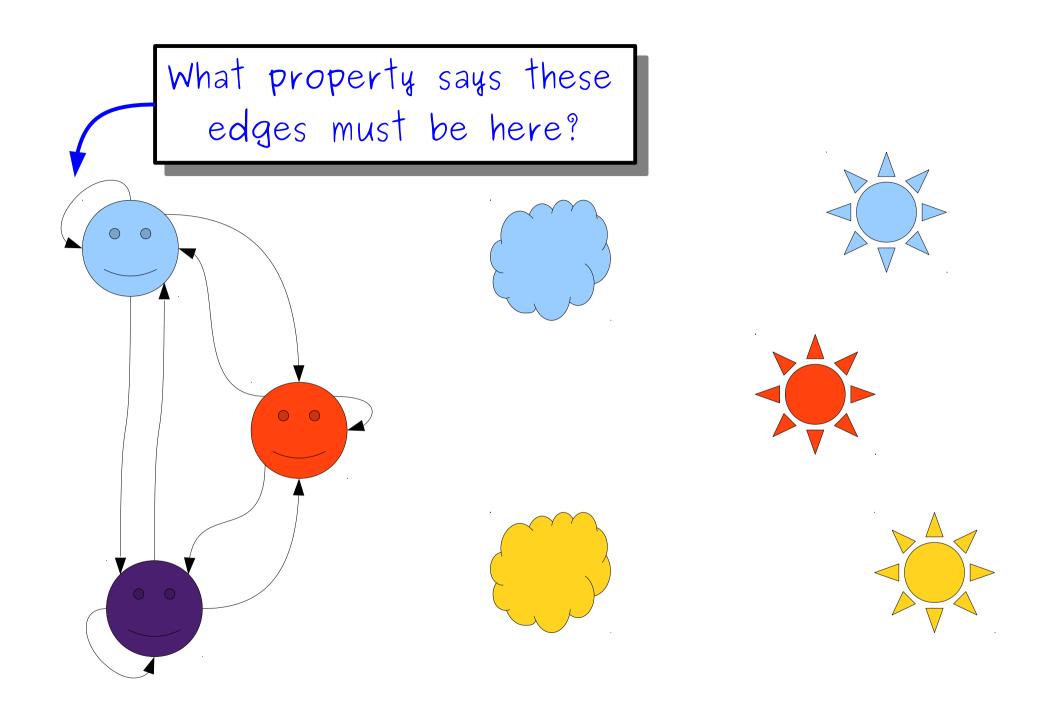
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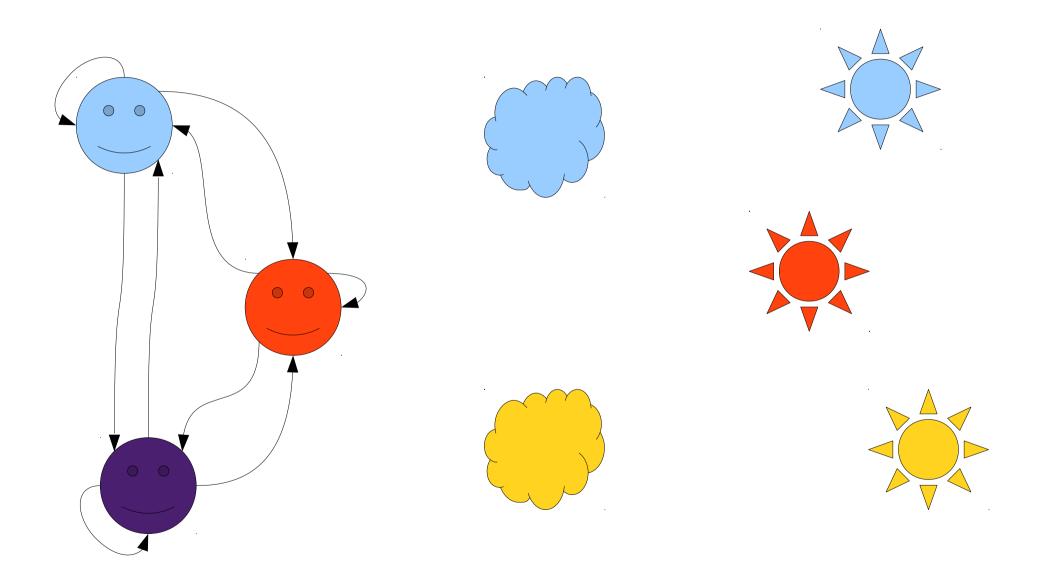
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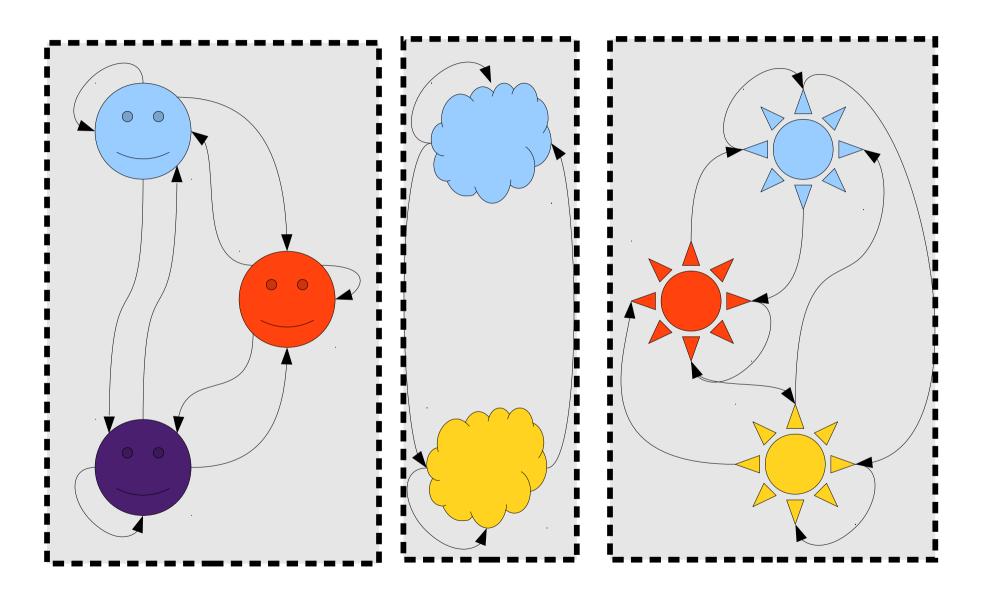
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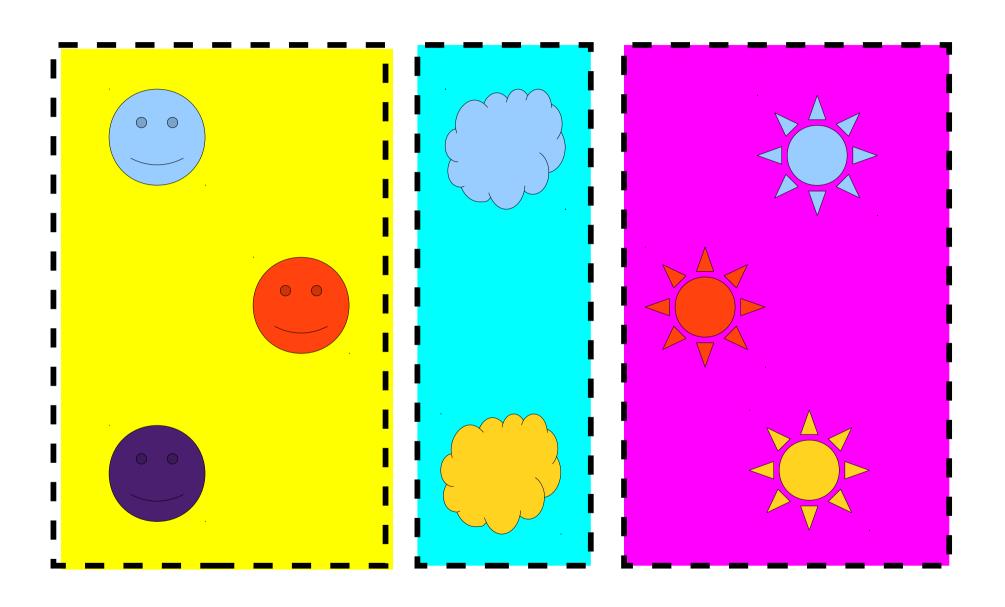
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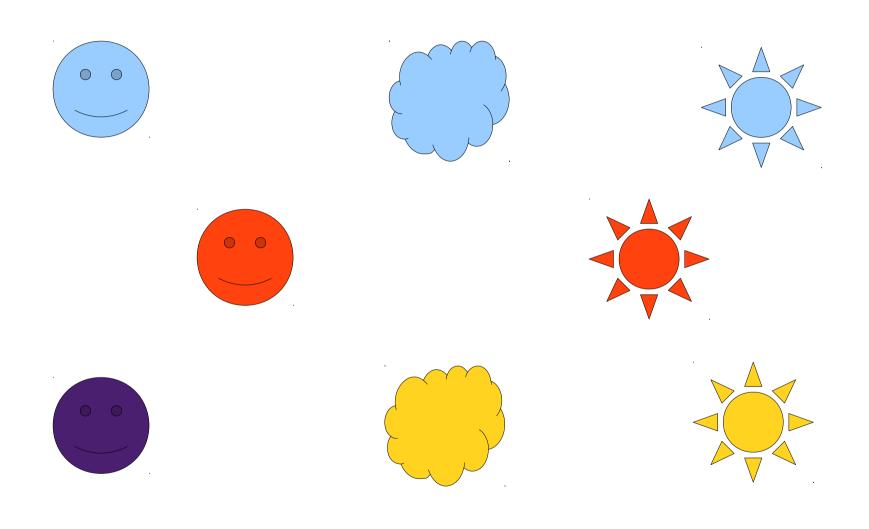
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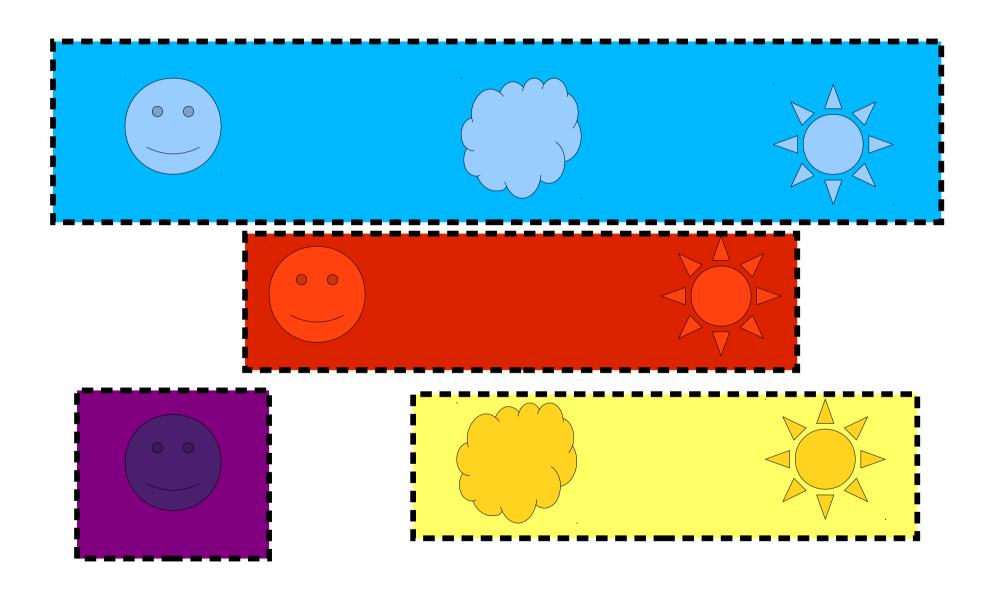
 $xRy \equiv x$  and y have the same shape.



 $xRy \equiv x$  and y have the same shape.



 $xRy \equiv x$  and y have the same **color**.



 $xRy \equiv x$  and y have the same **color**.

#### Equivalence Classes

• Given an equivalence relation R over a set A, for any  $x \in A$ , the **equivalence** class of x is the set

$$[x]_{R} = \{ y \in A \mid xRy \}$$

- $[x]_R$  is the set of all elements of A that are related to x.
- Theorem: If R is an equivalence relation over A, then every  $a \in A$  belongs to exactly one equivalence class.

# Closing the Loop

• In any graph G = (V, E), we saw that the connected component containing a node  $v \in V$  is given by

$$\{ x \in V \mid v \leftrightarrow x \}$$

• What is the equivalence class for some node  $v \in V$  under the relation  $\leftrightarrow$ ?

$$[v]_{\leftrightarrow} = \{ x \in V \mid v \leftrightarrow x \}$$

 Connected components are just equivalence classes of ↔!

# Why This Matters

- Developing the right definition for a connected component was challenging.
- Proving every node belonged to exactly one equivalence class was challenging.
- Now that we know about equivalence relations, we get both of these for free!
- If you arrive at the same concept in two or more ways, it is probably significant!

Your Questions

"What are practical applications of planar graphs (besides the four-color theorem)?"

"How is complete induction any better than normal induction? If you show P(0) as your base case, don't both types of induction prove that P(n) is true for any natural number n?"

Back to Relations!

# Partial Orders

#### Partial Orders

Many relations are equivalence relations:

$$x = y$$
  $x \equiv_k y$   $u \leftrightarrow v$ 

What about these sorts of relations?

$$x \le y$$
  $x \subseteq y$ 

 These relations are called partial orders, and we'll explore their properties next.

$$x \leq y$$

$$x \leq y$$

$$1 \le 5$$
 and  $5 \le 8$ 

$$x \leq y$$

$$1 \le 5$$
 and  $5 \le 8$ 

$$x \leq y$$

 $42 \le 99$  and  $99 \le 137$ 

$$x \leq y$$

$$42 \le 99$$
 and  $99 \le 137$   $42 \le 137$ 

$$x \le y$$

$$X \le y$$
 and  $y \le Z$ 

$$x \le y$$

$$X \le y \quad \text{and} \quad y \le Z$$

$$X \le Z$$

$$x \le y$$

$$x \le y$$
 and  $y \le Z$ 

$$X \leq Z$$

Transitivity

$$x \leq y$$

$$x \leq y$$

$$1 \leq 1$$

$$x \leq y$$

$$x \leq y$$

$$137 \le 137$$

$$x \leq y$$

$$X \leq X$$

$$x \leq y$$

$$X \leq X$$

Reflexivity

$$x \leq y$$

$$x \leq y$$

$$x \leq y$$

$$19 \le 21$$
 $21 \le 19$ ?

$$x \leq y$$

 $19 \le 21$ 

<del>21 ≤ 19</del>?

$$x \leq y$$

$$42 \le 137$$

$$x \leq y$$

$$42 \le 137$$
 $137 \le 42$ ?

$$x \leq y$$

 $42 \le 137$ 

<del>137 ≤ 42</del>?

$$x \leq y$$

$$137 \le 137$$

$$x \leq y$$

$$137 \le 137$$
 $137 \le 137$ ?

$$x \leq y$$

 $137 \le 137$ 

 $137 \le 137$ 

# Antisymmetry

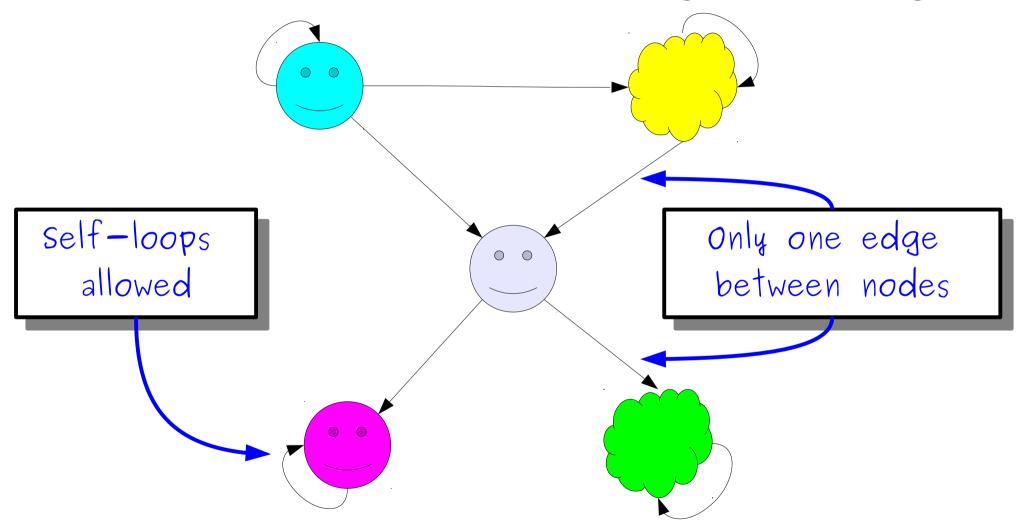
A binary relation R over a set A is called antisymmetric iff

For any  $x \in A$  and  $y \in A$ , If xRy and  $x \neq y$ , then yRx.

Equivalently:

For any  $x \in A$  and  $y \in A$ , if xRy and yRx, then x = y.

# An Intuition for Antisymmetry



For any  $x \in A$  and  $y \in A$ , If xRy and  $y \neq x$ , then  $y\not Rx$ .

#### Partial Orders

- A binary relation R is a partial order over a set A iff it is
  - reflexive,
  - antisymmetric, and
  - transitive.

#### Partial Orders

• A binary relation R is a **partial order** over a set A iff it is

- reflexive,
- antisymmetric, and
- transitive.

Why "partial"?

# 2012 Summer Olympics



Gold	Silver	Bronze	Total
46	29	29	104
38	27	23	88
29	17	19	65
24	26	32	82
13	8	7	28
11	19	14	44
11	11	12	34

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Inspired by http://tartarus.org/simon/2008-olympics-hasse/Data from http://www.london2012.com/medals/medal-count/

Define the relationship

 $(gold_0, total_0)R(gold_1, total_1)$ 

to be true when

 $gold_0 \le gold_1$  and  $total_0 \le total_1$ 

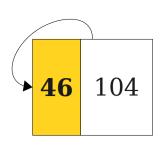
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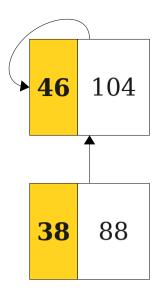


65

82

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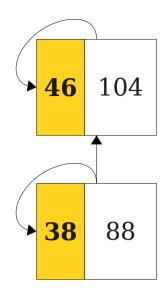
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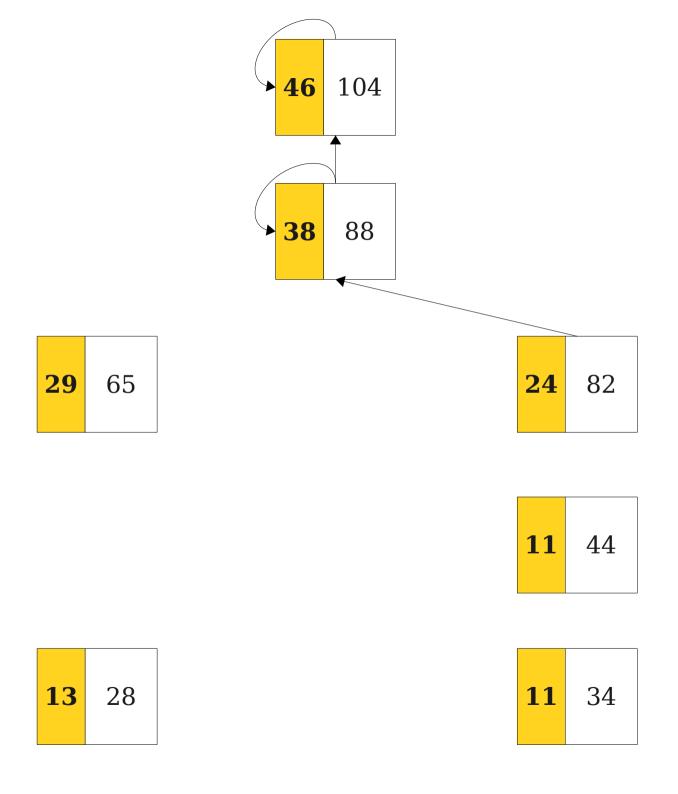
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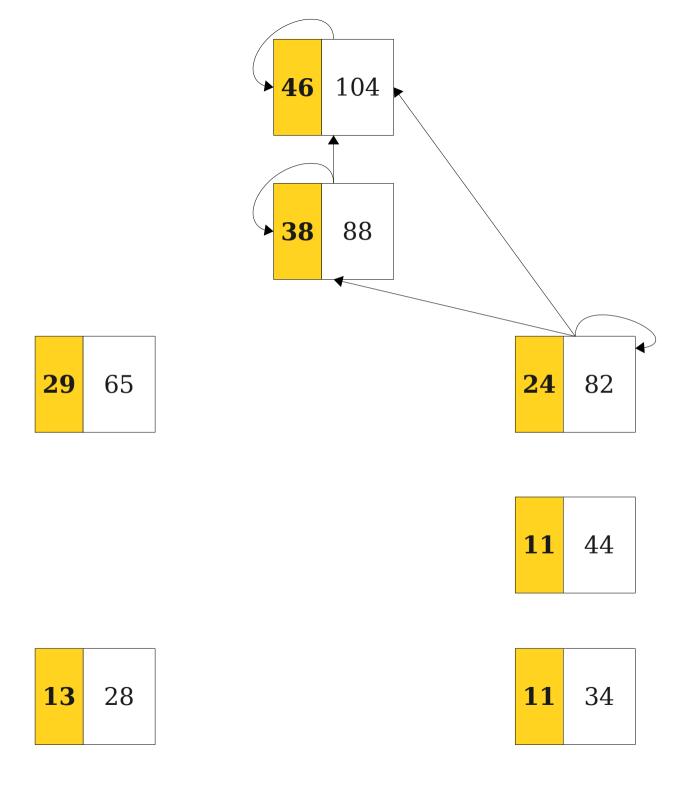


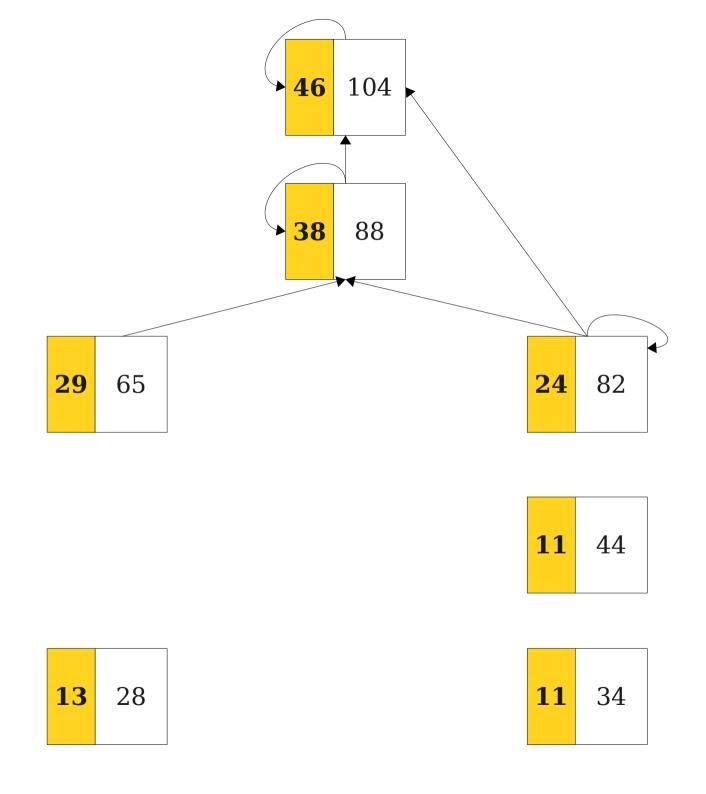
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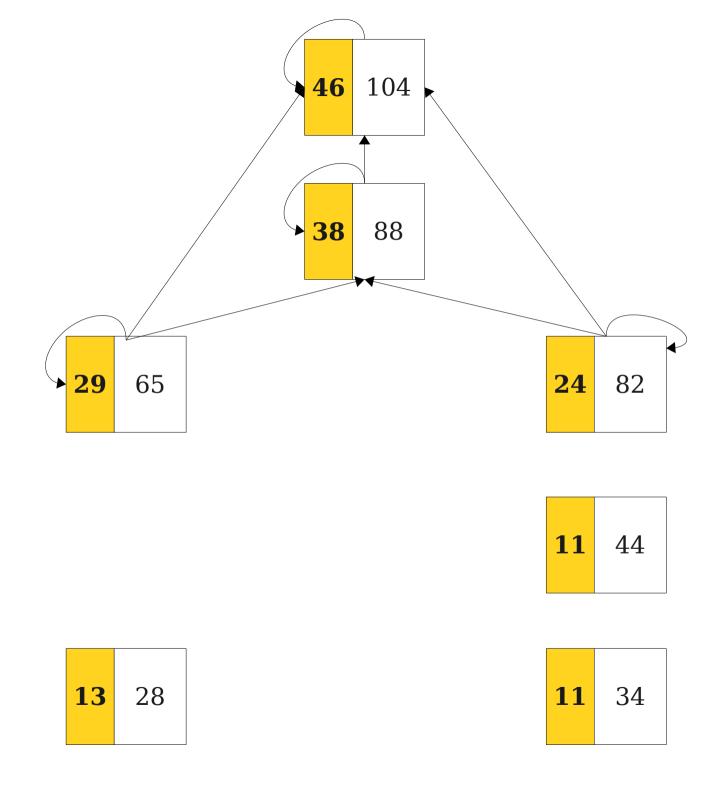
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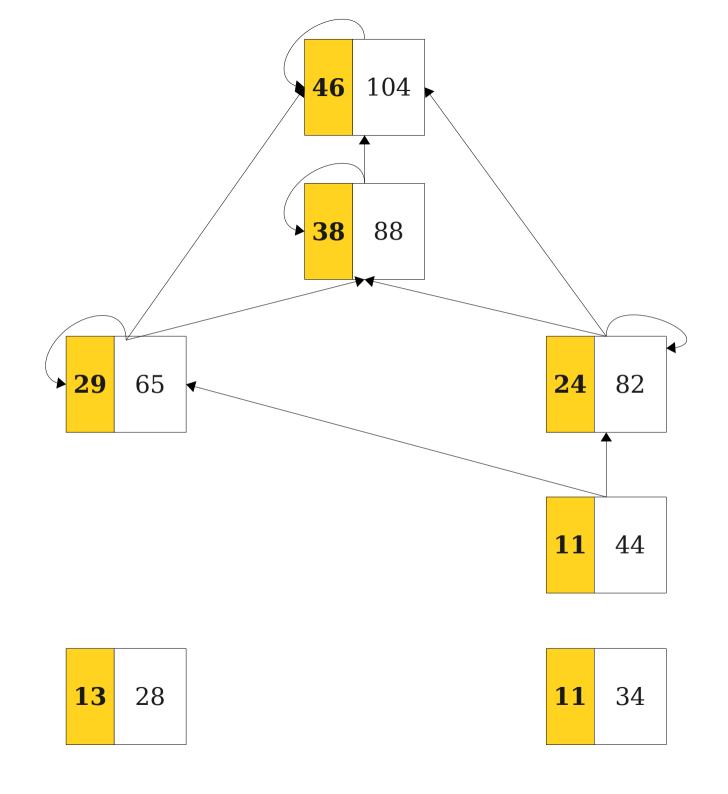
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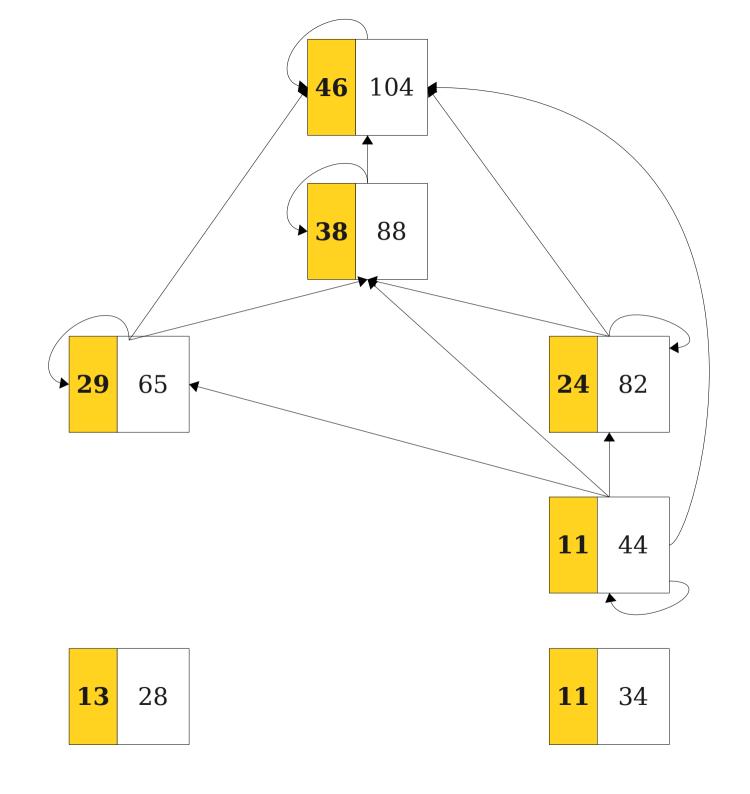


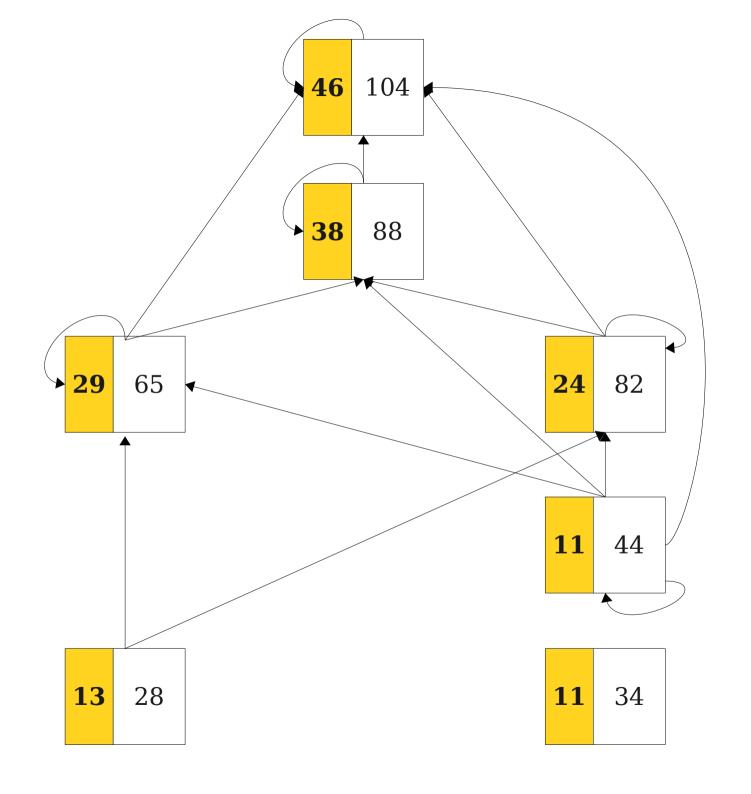


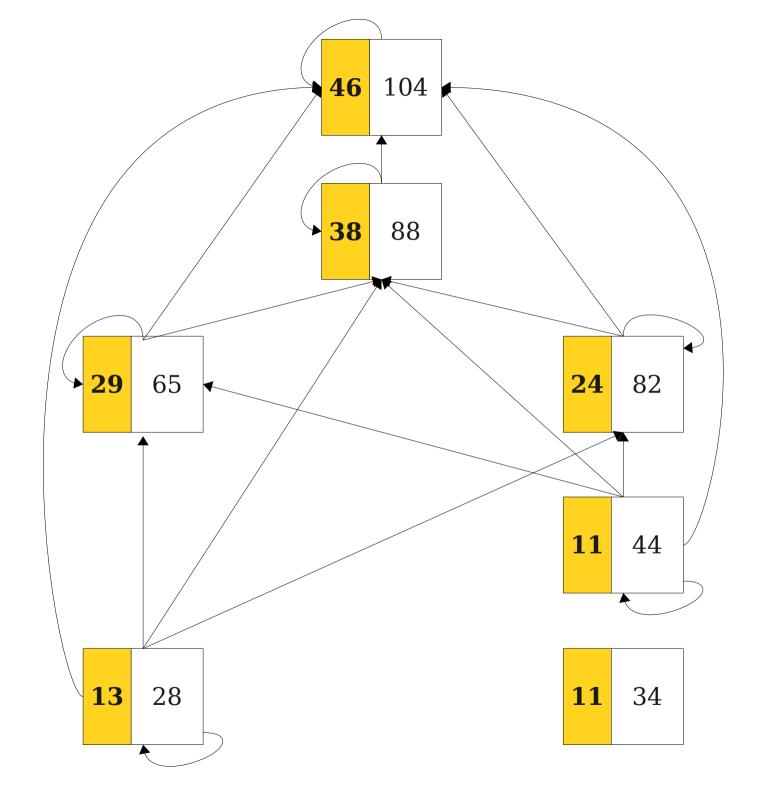


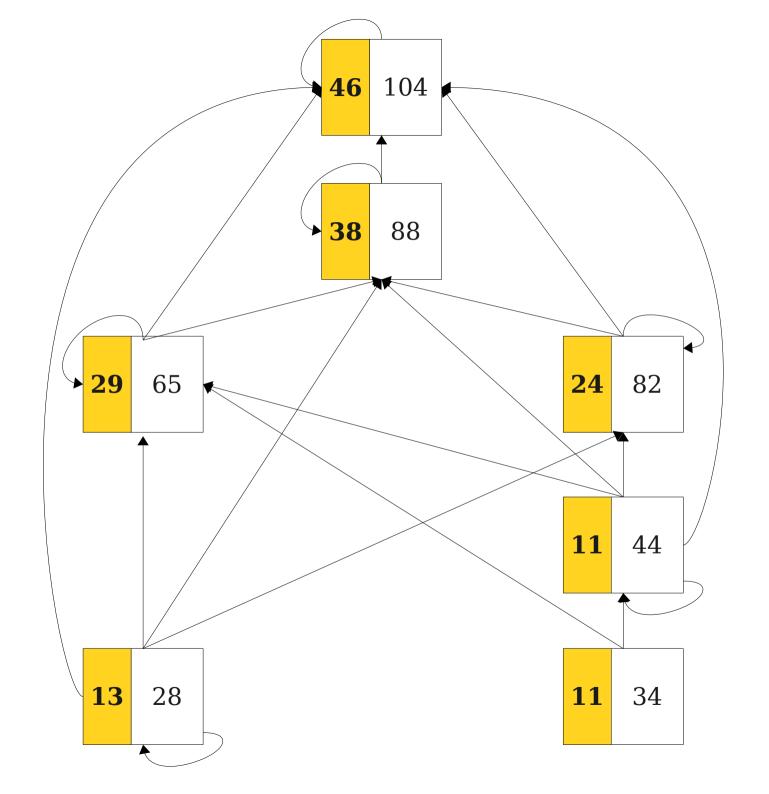


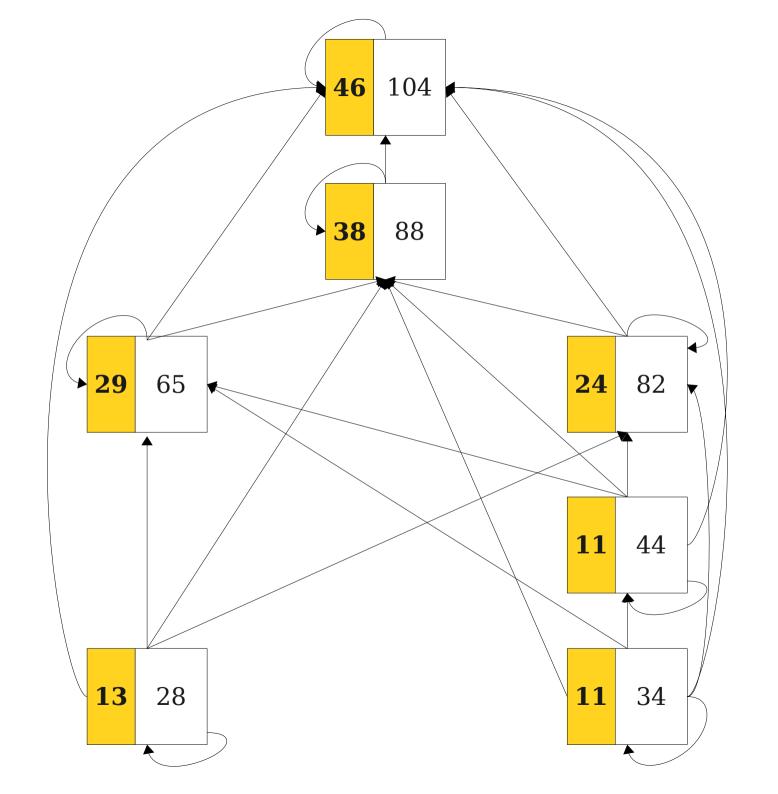


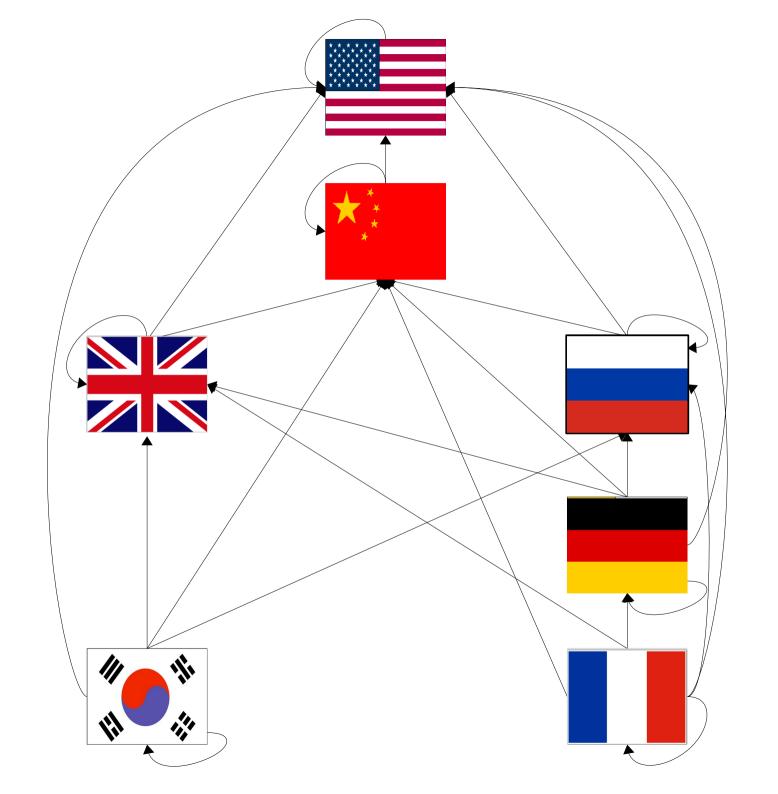






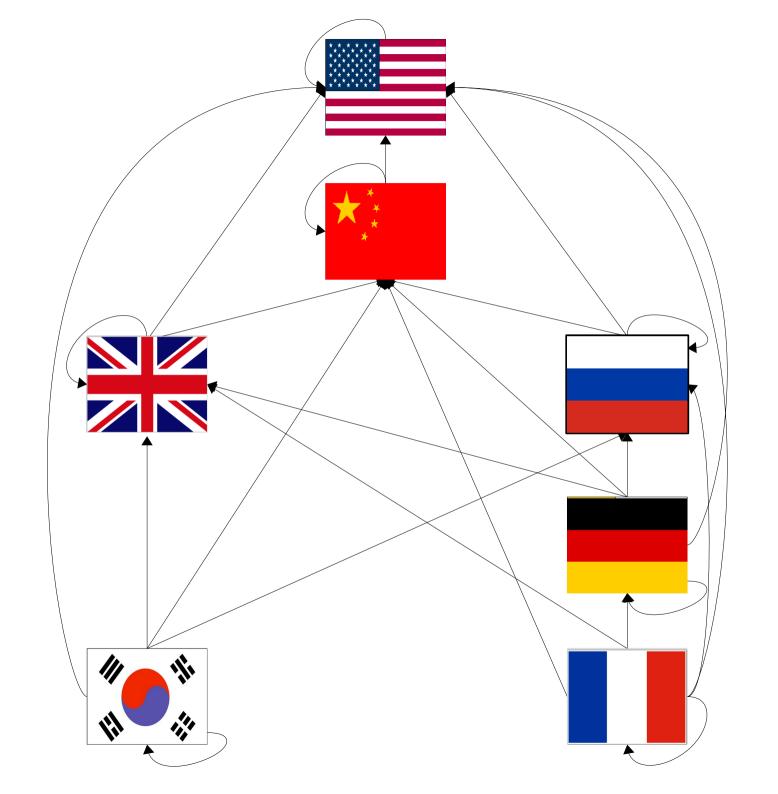


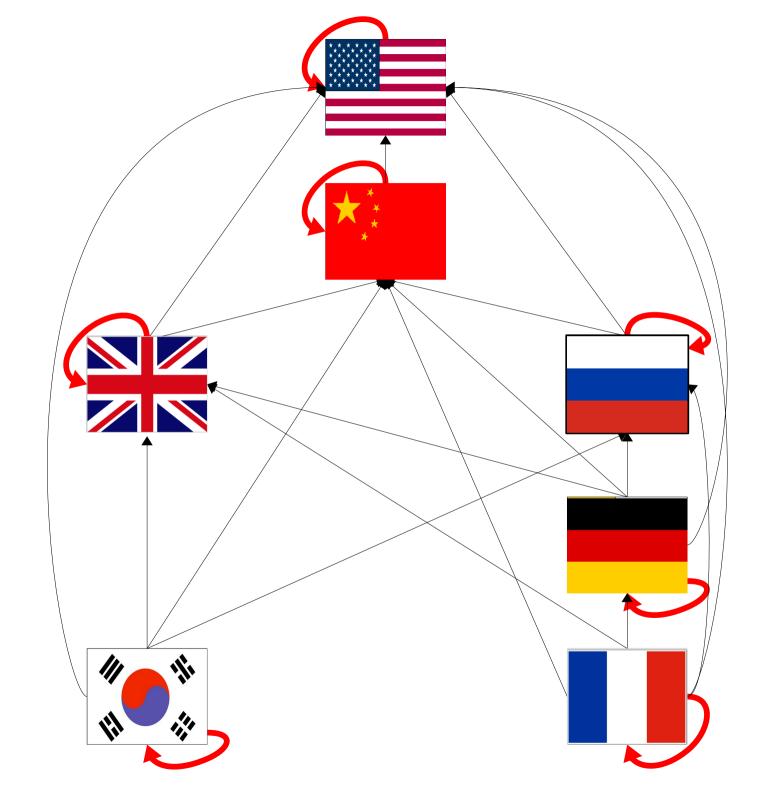


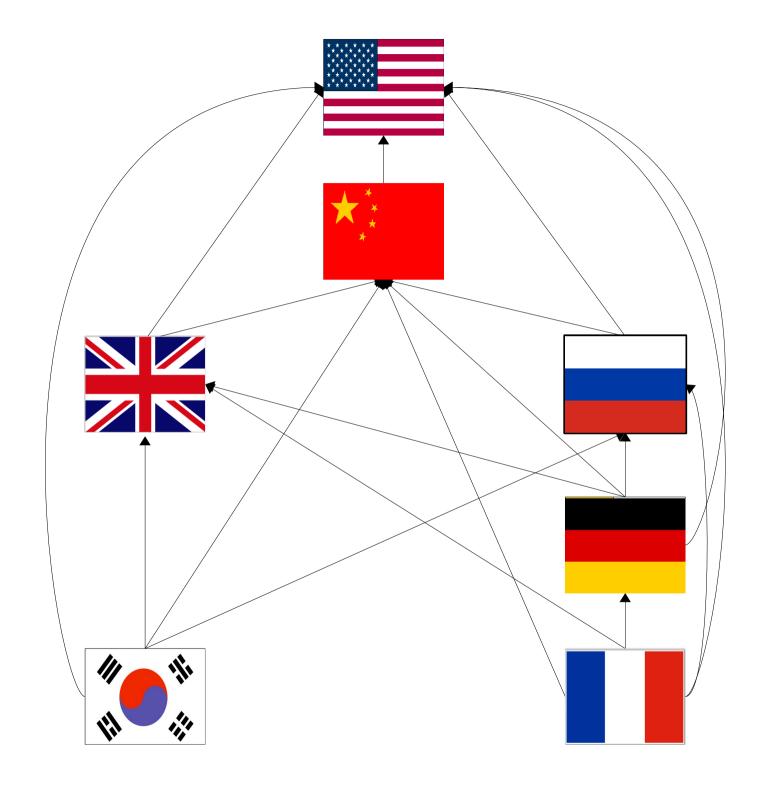


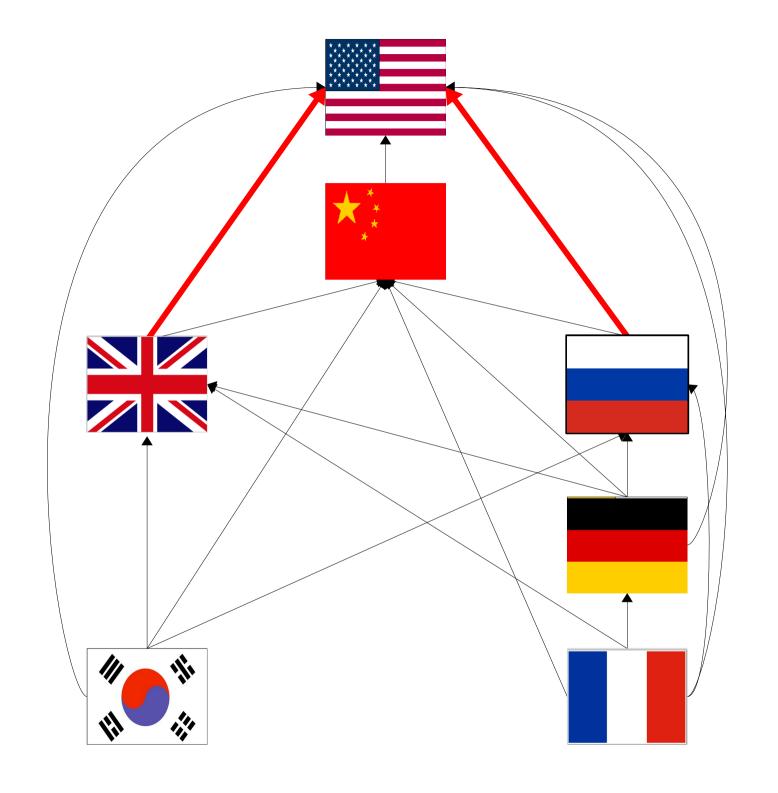
#### Partial and Total Orders

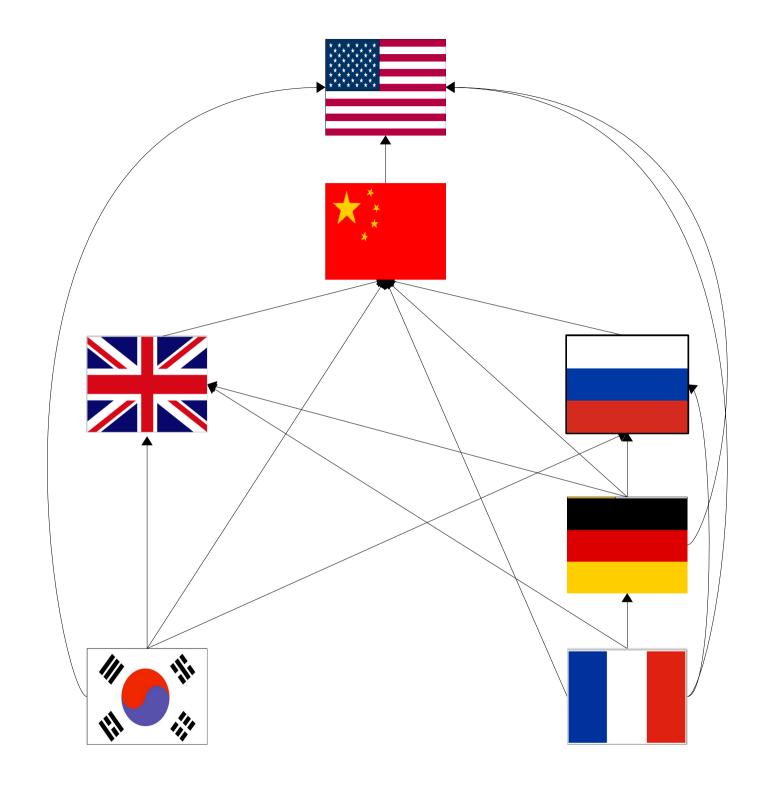
- A binary relation R over a set A is called total iff for any  $x \in A$  and  $y \in A$ , at least one of xRy or yRx is true.
- A binary relation *R* over a set *A* is called a **total order** iff it is a partial order and it is total.
- Examples:
  - Integers ordered by  $\leq$ .
  - Strings ordered alphabetically.

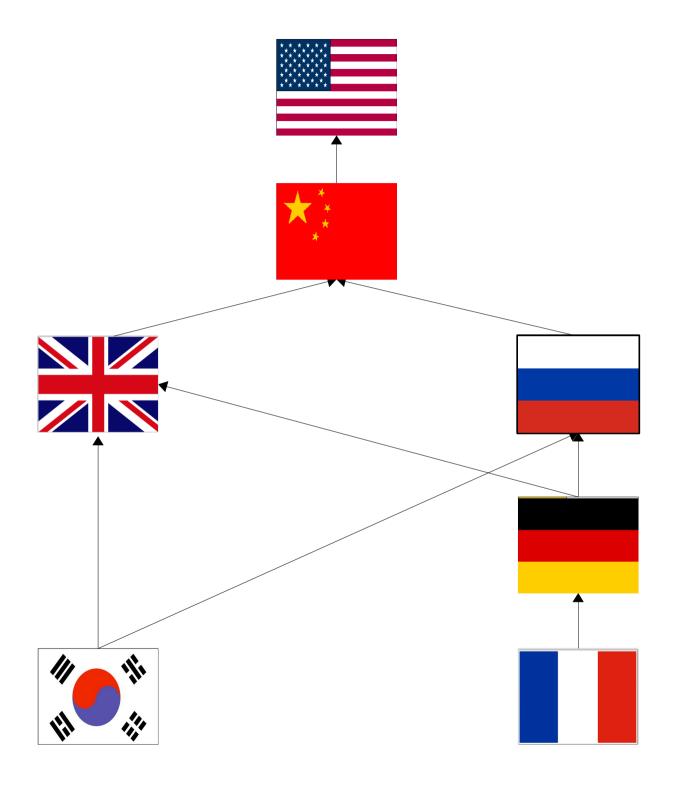


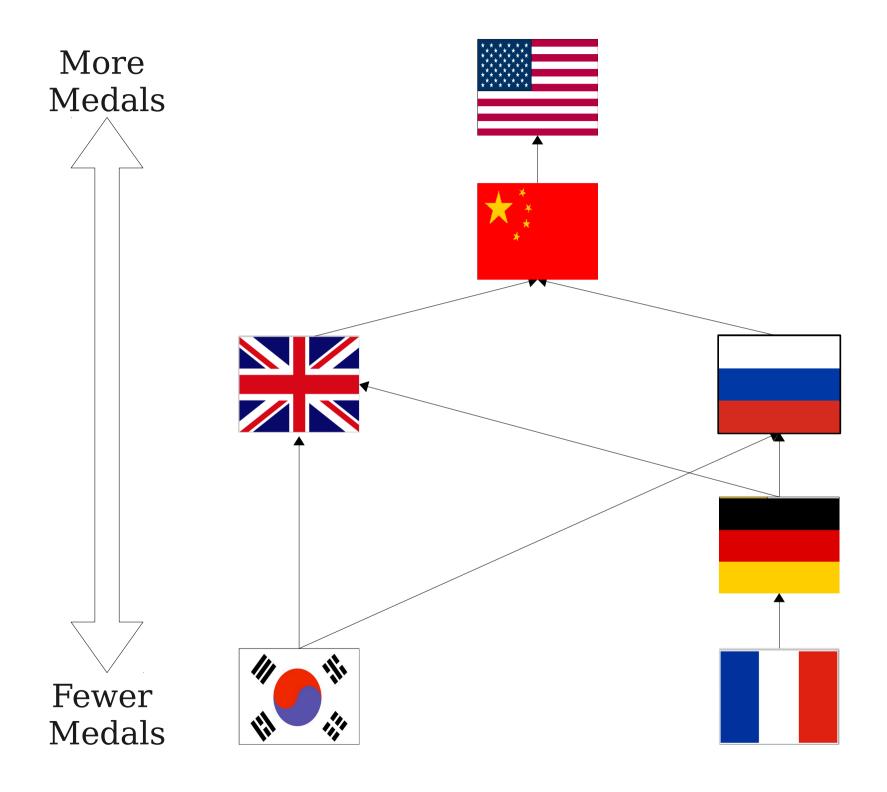


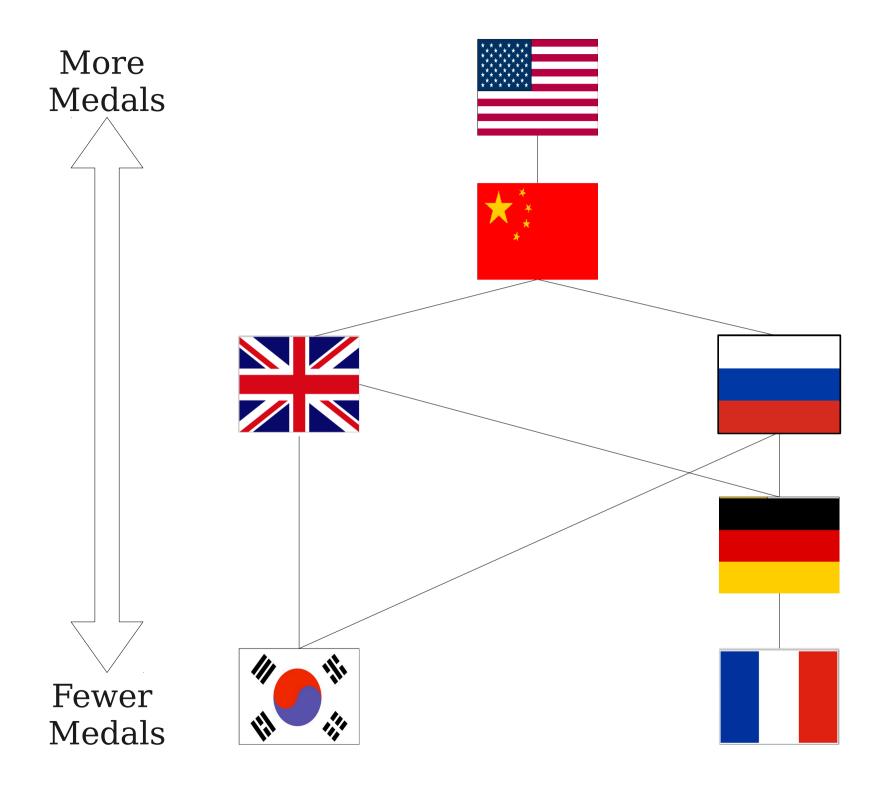






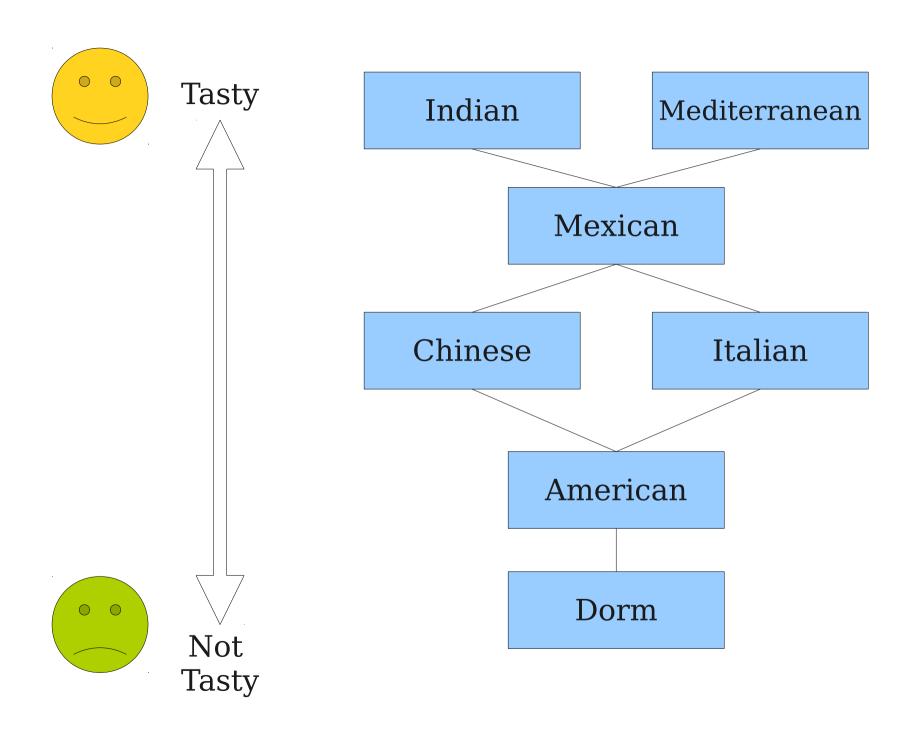


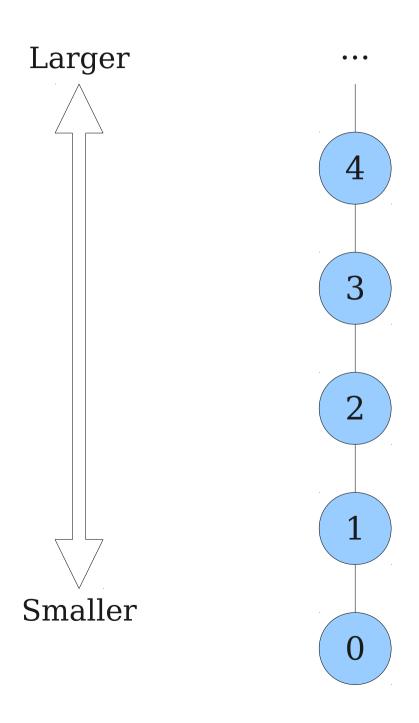




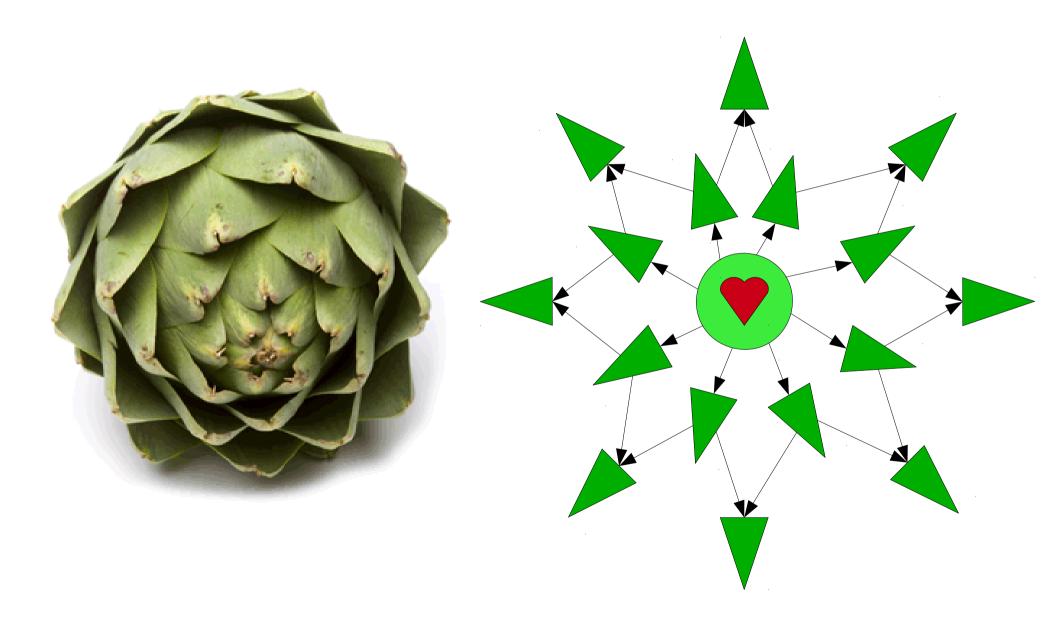
### Hasse Diagrams

- A Hasse diagram is a graphical representation of a partial order.
- No self-loops: by reflexivity, we can always add them back in.
- Higher elements are bigger than lower elements: by **antisymmetry**, the edges can only go in one direction.
- No redundant edges: by transitivity, we can infer the missing edges.

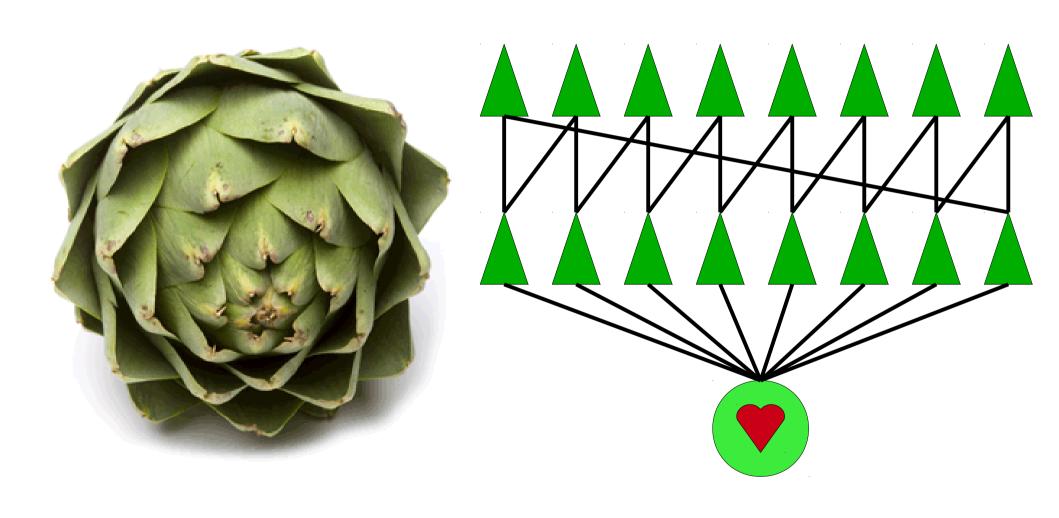




### Hasse Artichokes



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#### For More on the Olympics:

http://www.nytimes.com/interactive/2012/08/07/sports/olympics/the-best-and-worst-countries-in-the-medal-count.html

Formalizing Relations

#### What is a Relation?

- Up to now, we have been using an informal definition of a binary relation over a set A.
- To wrap up our treatment of relations, we'll give a formal definition.

$$A \times B \equiv \{ (a, b) \mid a \in A \text{ and } b \in B \}$$

$$A \times B \equiv \{ (a, b) \mid a \in A \text{ and } b \in B \}$$

$$\{0, 1, 2\}$$
  $\{a, b, c\}$ 

$$A \times B \equiv \{ (a, b) \mid a \in A \text{ and } b \in B \}$$

$$\{0, 1, 2\} \times \{a, b, c\} =$$
A
B

$$A \times B \equiv \{ (a, b) \mid a \in A \text{ and } b \in B \}$$

$$\left\{ \begin{array}{l} 0, \ 1, \ 2 \right\} \times \left\{ \begin{array}{l} a, \ b, \ c \right\} \end{array} \right. = \left[ \begin{array}{l} 0 \\ 1 \\ 2 \end{array} \right]$$

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$$\left\{ \begin{array}{l} 0, \, 1, \, 2 \right\} \times \left\{ \begin{array}{l} a, \, b, \, c \\ \end{array} \right\} = \left[ \begin{array}{l} 0, \, (0, \, a), \, (0, \, b), \, (0, \, c) \\ 1, \, (1, \, a), \, (1, \, b), \, (1, \, c) \\ 2, \, (2, \, a), \, (2, \, b), \, (2, \, c) \\ \end{array} \right]$$

$$A \times B \equiv \{ (a, b) \mid a \in A \text{ and } b \in B \}$$

$$\left\{ \begin{array}{l} 0, \, 1, \, 2 \right\} \times \left\{ \begin{array}{l} a, \, b, \, c \right\} \\ \end{array} = \left\{ \begin{array}{l} (0, \, a), (0, \, b), (0, \, c), \\ (1, \, a), (1, \, b), (1, \, c), \\ (2, \, a), (2, \, b), (2, \, c) \end{array} \right\}$$

• The Cartesian Product of  $A \times B$  of two sets is defined as

$$A \times B \equiv \{ (a, b) \mid a \in A \text{ and } b \in B \}$$

• We denote  $A^2 \equiv A \times A$ 

$$\left\{ \begin{array}{l} 0, \, 1, \, 2 \right\} \times \left\{ \begin{array}{l} a, \, b, \, c \right\} \\ \text{B} \end{array} \right\} = \left\{ \begin{array}{l} (0, \, a), (0, \, b), (0, \, c), \\ (1, \, a), (1, \, b), (1, \, c), \\ (2, \, a), (2, \, b), (2, \, c) \end{array} \right\}$$

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• We denote  $A^2 \equiv A \times A$ 

$$\left\{ \begin{array}{l} 0, 1, 2 \\ \text{A} \end{array} \right\} \times \left\{ \begin{array}{l} 0, 1, 2 \\ \text{A} \end{array} \right\} = \left\{ \begin{array}{l} (0, 0), (0, 1), (0, 2), \\ (1, 0), (1, 1), (1, 2), \\ (2, 0), (2, 1), (2, 2) \end{array} \right\}$$

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$$\left\{ 0, 1, 2 \right\}^{2} = \left\{ \begin{array}{l} (0, 0), (0, 1), (0, 2), \\ (1, 0), (1, 1), (1, 2), \\ (2, 0), (2, 1), (2, 2) \end{array} \right\}$$

### Relations, Formally

- A binary relation R over a set A is a subset of  $A^2$ .
- xRy is shorthand for  $(x, y) \in R$ .
- A relation doesn't have to be meaningful; *any* subset of  $A^2$  is a relation.
- Interesting fact:
  - Number of English sentences is equal to the number of natural numbers. (More on that later.)
  - Each binary relation over  $\mathbb{N}$  is a subset of  $\mathbb{N}^2$ .
  - Number of binary relations over  $\mathbb{N}$ :  $|\wp(\mathbb{N}^2)|$
  - Some binary relations over  $\mathbb N$  are indescribable!

### Next Time

#### The Pigeonhole Principle

Poignant pigeon-powered proofs!

#### Functions

 How do we transform objects into one another?