## More



Completeness

## Final Exam Details

- Final exam is Wednesday, December 12 from 12:15-3:15PM in Cubberly Auditorium.
- Covers material up through and including Wednesday's lecture.
- Exam focuses primarily on material starting with DFAs and NFAs, though there will be at least one midterm-style question on the exam.
- If you need to take the final exam at an alternate time, please contact us as soon as possible so that we can make arrangements.


## Exam Review

- Two final exam review sessions this weekend:
- Saturday, 2PM - 5PM in Gates 104
- Sunday, 2PM - 5PM in Gates 104
- There is an extra credit practice final exam available right now.
- Worth 5 points extra credit if you make an honest effort to complete all the problems.
- Due at the time that you take the exam.
- No solutions released; come talk to us during office hours or the review session if you have questions!
- Second practice exam will be released on Wednesday along with solutions, though not for extra credit.


## Previously on CS103...

## NP-Hardness

- A language $L$ is called NP-hard iff for every $L^{\prime} \in \mathbf{N P}$, we have $L^{\prime} \leq_{\mathrm{p}} L$.
- A language in $L$ is called NP-complete iff $L$ is NP-hard and $L \in \mathbf{N P}$.
- The class NPC is the set of NP-complete problems.



## The Tantalizing Truth

Theorem: If any NP-complete language is in $\mathbf{P}$, then $\mathbf{P}=\mathbf{N P}$.

## The Tantalizing Truth

Theorem: If any NP-complete language is not in $\mathbf{P}$, then $\mathbf{P} \neq \mathbf{N P}$.


## 3-CNF

- A propositional formula is in 3-CNF if
- It is in CNF, and
- Every clause has exactly three literals.
- For example:
- ( $x \vee y \vee z) \wedge(\neg x \vee \neg y \vee z)$
- ( $x \vee x \vee x) \wedge(y \vee \neg y \vee \neg x) \wedge(x \vee y \vee \neg y)$
- But not ( $x \vee y \vee z \vee w) \wedge(x \vee y)$
- The language 3SAT is defined as follows:

3SAT $=\{\langle\varphi\rangle \mid \varphi$ is a satisfiable 3-CNF formula $\}$

- Theorem (Cook-Levin): 3SAT is NP-complete.


## The Structure of 3CNF

$(x \vee y \vee \neg z) \wedge(\neg x \vee \neg y \vee z) \wedge(\neg x \vee y v \neg z)$

Each clause must have
at least one
true literal in it...

## The Structure of 3CNF


... subject to the constraint that
we never choose a literal
and its negation

## NP-Completeness

Theorem: If $L \in \mathbf{N P C}, L \leq_{\mathrm{p}} L^{\prime}$, and $L^{\prime} \in \mathbf{N P}$, then $L^{\prime} \in \mathbf{N P C}$.


## Structuring NP-Completeness Reductions

## The Shape of a Reduction

- Polynomial-time reductions work by solving one problem with a solver for a different problem.
- Most problems in NP have different pieces that must be solved simultaneously.
- For example, in 3SAT:
- Each clause must be made true,
- but no literal and its complement may be picked.


## Reductions and Gadgets

- Many reductions used to show NPcompleteness work by using gadgets.
- Each piece of the original problem is translated into a "gadget" that handles some particular detail of the problem.
- These gadgets are then connected together to solve the overall problem.


## Gadgets in INDSET




Each of these gadgets is designed to solve one part of the problem:
ensuring each clause is satisfied.

## Gadgets in INDSET

$(x \vee y \vee \neg z) \wedge(\neg x \vee \neg y \vee z) \wedge(\neg x \vee y v \neg z)$


These connections ensure that the solutions to each gadget are linked to one another.

## Gadgets in INDSET



## A More Complex Reduction



A 3-coloring of a graph is a way of coloring its nodes one of three colors such that no two connected nodes have the same color.

## The 3-Coloring Problem

- The 3-coloring problem is

Given an undirected graph $G$, is there a legal 3-coloring of its nodes?

- As a formal language:

3COLOR $=\{\langle G\rangle \mid G$ is an undirected
graph with a legal 3-coloring. $\}$

- This problem is known to be NP-complete by a reduction from 3SAT.


## $3 C O L O R \in \mathbf{N P}$

- We can prove that 3COLOR $\in$ NP by designing a polynomial-time nondeterministic TM for 3COLOR.
- $\mathrm{M}=$ " On input $\langle G\rangle$ :
- Nondeterministically guess an assignment of colors to the nodes.
- Deterministically check whether it is a 3coloring.
- If so, accept; otherwise reject."


## A Note on Terminology

- Although 3COLOR and 3SAT both have " 3 " in their names, the two are very different problems.
- 3SAT means "there are three literals in every clause." However, each literal can take on only one of two different values.
- 3COLOR means "every node can take on one of three different colors."
- Key difference:
- In 3SAT variables have two choices of value.
- In 3COLOR nodes have three choices of value.


## Why Not Two Colors?

- It would seem that 2COLOR (whether a graph has a 2-coloring) would be a better fit.
- Every variable has one of two values.
- Every node has one of two values.
- Interestingly, 2COLOR is known to be in $\mathbf{P}$ and is conjectured not to be NP-complete.
- Though, if you can prove that it is, you've just won $\$ 1,000,000$ !


## From 3SAT to 3COLOR

- In order to reduce 3SAT to 3COLOR, we need to somehow make a graph that is 3-colorable iff some 3-CNF formula $\varphi$ is satisfiable.
- Idea: Use a collection of gadgets to solve the problem.
- Build a gadget to assign two of the colors the labels "true" and "false."
- Build a gadget to force each variable to be either true or false.
- Build a series of gadgets to force those variable assignments to satisfy each clause.


## Gadget One: Assigning Meanings



These nodes
must all have different colors.

The color assigned to $T$ will be interpreted as "true." The color assigned to $F$ will be interpreted as "false." We do not associate any special meaning with 0 .

## Gadget Two: Forcing a Choice

$(x \vee y \vee \neg z) \wedge(\neg x \vee \neg y \vee z) \wedge(\neg x \vee y v \neg z)$ F

z

## Gadget Three: Clause Satisfiability



## Putting It All Together

- Construct the first gadget so we have a consistent definition of true and false.
- For each variable $v$ :
- Construct nodes $v$ and $\neg v$.
- Add an edge between $v$ and $\neg v$.
- Add an edge between $v$ and O and between $\neg v$ and 0 .
- For each clause $C$ :
- Construct the earlier gadget from $C$ by adding in the extra nodes and edges.


## Putting It All Together



## Analyzing the Reduction

- How large is the resulting graph?
- We have $O(1)$ nodes to give meaning to "true" and "false."
- Each variable gives $O(1)$ nodes for its true and false values.
- Each clause gives O(1) nodes for its colorability gadget.
- Collectively, if there are $n$ clauses, there are O(n) variables.
- Total size of the graph is $\mathrm{O}(n)$.


## Another NP-Complete Problem

$$
\begin{gathered}
\mathbf{U}=\{\mathbf{1}, \mathbf{2}, \mathbf{3}, \mathbf{4}, \mathbf{5}, \mathbf{6}\} \\
\mathbf{S}=\left\{\begin{array}{c}
\{1,2,5\},\{2,5\},\{1,3,6\}, \\
\{2,3,4\},\{4\},\{1,5,6\}
\end{array}\right\}
\end{gathered}
$$

Let $U$ be a set of elements (the universe) and $S \subseteq \wp(U)$. An exact covering of $U$ is a collection of sets $I \subseteq S$ such that every element of $U$ belongs to exactly one set in $I$.

## Applications of Exact Covering

| 1 | 2 | 3 |
| :--- | :--- | :--- |
| 4 | 5 | 6 |
| 7 | 8 | 9 |



$$
\begin{aligned}
& \{C, 1,4,5\} \\
& \{C, 1,2,4\} \\
& \{C, 1,2,5\} \\
& \{C, 2,4,5\} \\
& \{M, 1,4,7\} \\
& \{M, 2,5,8\} \\
& \{M, 3,6,9\}
\end{aligned}
$$

## Exact Covering

- Given a universe $U$ and a set $S \subseteq \wp(U)$, the exact covering problem is


## Does $S$ contain an exact covering of $\boldsymbol{U}$ ?

- As a formal language: EXACT-COVER =
$\{\langle U, S\rangle \mid S \subseteq \wp(U)$ and
$S$ contains an exact covering of $\boldsymbol{U}\}$


## EXACT-COVER $\in \mathbf{N P C}$

- We will prove that EXACT-COVER is NPcomplete.
- To do this, we will show that
- EXACT-COVER $\in$ NP, and
- 3COLOR $\leq_{\mathrm{p}}$ EXACT-COVER
- Note that we're using the fact that 3COLOR is NP-complete to establish that EXACT-COVER is NP-hard.


## EXACT-COVER $\in \mathbf{N P}$

- Here is a polynomial-time verifier for EXACT-COVER:
- $V=$ "On input $\langle U, S, I\rangle$ :
- Verify that every set in $S$ is a subset of $U$.
- Verify that every set in $I$ is an element of $S$.
- Verify that every element of $U$ belongs to an element of $I$.
- Verify that every element of $U$ belongs to at most one element of $I$."


## $3 C O L O R \leq_{\mathrm{p}}$ EXACT-COVER

- We now reduce 3-colorability to the exact cover problem.
- A graph is 3-colorable iff
- Every node is assigned one of three colors, and
- No two nodes connected by an edge are assigned the same color.
- We will construct our universe $U$ and sets $S$ such that an exact covering
- Assigns every node in $G$ one of three colors, and
- Never assigns two adjacent nodes the same color.







## Correction 1: Filling in Gaps


$\left\{W, R_{W}, R_{Y}, R_{Z}\right\} \quad\left\{R_{W}\right\}$ $\left\{\mathrm{W}, \mathrm{G}_{\mathrm{W}}, \mathrm{G}_{\mathrm{Y}}, \mathrm{G}_{\mathrm{Z}}\right\} \quad\left\{\mathrm{R}_{\mathrm{X}}\right\}$ $\left\{W, B_{W}, B_{Y}, B_{Z}\right\} \quad\left\{R_{Y}\right\}$
$\left\{X, R_{x}, R_{z}\right\} \quad\left\{R_{z}\right\}$
$\left\{X, G_{x}, G_{z}\right\} \quad\left\{G_{w}\right\}$
$\left\{X, B_{x}, B_{z}\right\}$
$\left\{\mathrm{G}_{\mathrm{x}}\right\}$
$\left\{Y, R_{Y}, R_{W}, R_{Z}\right\} \quad\left\{\mathrm{G}_{\mathrm{Y}}\right\}$ $\left\{Y, G_{Y}, G_{w}, G_{z}\right\} \quad\left\{G_{z}\right\}$ $\left\{Y, B_{Y}, B_{W}, B_{Z}\right\} \quad\left\{B_{W}\right\}$
$\begin{array}{ll}G_{2} & R_{z} \\ B_{z} & Z\end{array}$ $\left\{\mathrm{Z}, \mathrm{R}_{\mathrm{Z}}, \mathrm{R}_{\mathrm{w}}, \mathrm{R}_{\mathrm{Y}}\right\} \quad\left\{\mathrm{B}_{\mathrm{x}}\right\}$ $\left\{\mathrm{Z}, \mathrm{G}_{\mathrm{Z}}, \mathrm{G}_{\mathrm{w}}, \mathrm{G}_{\mathrm{Y}}\right\} \quad\left\{\mathrm{B}_{\mathrm{Y}}\right\}$ $\left\{\mathrm{Z}, \mathrm{B}_{\mathrm{z}}, \mathrm{B}_{\mathrm{w}}, \mathrm{B}_{\mathrm{Y}}\right\} \quad\left\{\mathrm{B}_{\mathrm{z}}\right\}$


Correction 2: Avoiding Duplicates



## w



Y

$\square$ $R_{y z} \quad G_{y z} \quad B_{y z}$
$R_{x z}$
$G_{x z}$
$B_{x z}$

Z


## The Construction

- For each node $v$ in graph $G$, construct four elements in the universe $U$ :
- An element $v$.
- Elements $R_{v}, G_{v}$, and $B_{v}$.
- For each edge $\{u, v\}$ in graph $G$, construct three elements in the universe $U$ :
- Elements $R_{u v^{\prime}} G_{u v^{\prime}} B_{u v}$
- Total size of the universe $U: \mathbf{O}(|\boldsymbol{V}|+|\boldsymbol{E}|)$.


## The Construction

- For each node $v$ in graph $G$, construct a set belonging to $S$ containing
- The element $v$,
- Each $R_{u v}$ for each edge $\{u, v\}$ in the graph.
- Repeat the above for colors G and B.
- Add singleton sets containing each individual element except for elements corresponding to nodes.
- Total size of all sets is $\mathbf{O}(|\boldsymbol{V}|+|\boldsymbol{E}|)$
- Counts each node three times and each edge six times.


## The Story So Far



## Another NP-Complete Problem

# $\{137,42,271,103,154,16,3\}$ 

## $k=452$

Given a set $S \subseteq \mathbb{N}$ and a natural number $k$, the subset sum problem is to find a subset of $S$ whose sum is exactly $k$.

# MY HOBBY: EMBEDDING NP-COMPLETE PROBLEMS IN RESTAURANT ORDERS 



WED LIKE EXACTLY \$15. 05 WORTH OF APPETIZERS, PLEASE.
... Exactry? UH4...
HERE, THESE PAPERS ON THE KNAPSACK PROBLEM MIGHT HELP YOU OUT. LISTEN, I HAVE SIX OTHER TABLES TO GET TO -

- AS FAST AS POSSIILLE, OF COURSE. WANT SOMETHING ON TRAVELING SALESTVAN?



## Subset Sum

- Given a set $S \subseteq \mathbb{N}$ and a natural number $k$, the subset sum problem is
Is there a subset of $S$ with sum exactly $k$ ?
- As a formal language: SUBSET-SUM =
$\{\langle\boldsymbol{S}, \boldsymbol{k}\rangle \mid S \subseteq \mathbb{N}, k \in \mathbb{N}$ and
there is a subset of $S$ with sum exactly $k$ ? \}


## SUBSET-SUM $\in \mathbf{N P C}$

- We will prove that SUBSET-SUM is NPcomplete.
- To do this, we will show that
- SUBSET-SUM $\in$ NP, and
- EXACT-COVER $\leq_{\mathrm{p}}$ SUBSET-SUM
- Again, we're using our new NP-complete problem to show other languages are NP-complete.


## SUBSET-SUM $\in \mathbf{N P}$

- Here is a nondeterministic polynomialtime algorithm for SUBSET-SUM
- $N=$ "On input $\langle S, k\rangle$ :
- Nondeterministically guess a subset $I \subseteq S$.
- Deterministically verify whether the sum of the elements of $I$ is equal to $k$.
- If so, accept; otherwise reject."


## EXACT-COVER $\leq_{\mathrm{p}}$ SUBSET-SUM

- We now reduce exact cover to subset sum.
- The exact cover problem has a solution iff
- Every element of the universe belongs to at least one set, and
- Every element of the universe belongs to at most one set.
- We will construct our set $S$ and number $k$ such that
- Each number corresponds to a set of elements, and
- $k$ corresponds to the universe $U$.


## $S=\left\{\begin{array}{l}\{1,2,5\},\{2,5\},\{1,3,6\}, \\ \{2,3,4\},\{4\},\{1,5,6\}\end{array}\right\}$

$$
\begin{gathered}
U=\{1,2,3,4,5,6\} \\
d_{1} d_{2} d_{3} d_{4} d_{5} d_{6}
\end{gathered}
$$

$$
\left.\begin{array}{c}
\mathbf{S}=\left\{\begin{array}{c}
\{1,2,5\},\{\mathbf{2}, \mathbf{5}\},\{\mathbf{1}, \mathbf{3}, \mathbf{6}\}, \\
\{2,3,4\},\{\mathbf{4}\},\{1,5,6\}
\end{array}\right\} \\
\mathbf{U}=\{\mathbf{1}, \mathbf{2}, \mathbf{3}, \mathbf{4}, \mathbf{5}, \mathbf{6}\}
\end{array}\right\} \begin{gathered}
\mathbf{S}^{\prime}=\left\{\begin{array}{cc}
110010,010010, & 101001 \\
011100, & 000100, \\
k=100011
\end{array}\right\} \\
k=11111
\end{gathered}
$$

## The Basic Intuition

- Suppose there are $n$ elements in the universe and $k$ different sets.
- Replace each set $S$ with a number that is 1 in its $i$ th position if $i \in S$ and has a 0 in its $i$ th position otherwise.
- Set $k$ to a number that is $n$ copies of the number 1.


## A Slight Complexity

- To ensure that the columns don't overflow, write the numbers in base $(B+1)$ where $B$ is the total number of sets.
- That way, the columns can't overflow from one column into the next.


## The Story So Far



## Yet Another NP-Complete Problem

# $\{13,137,56,42,103,58,271\}$ 

Given a set $S \subseteq \mathbb{N}$, the partitioning problem is to find a way to split $S$ into two sets with equal sum.

## Partitioning

- Given a set $S \subseteq \mathbb{N}$, the partitioning problem is

$$
\begin{aligned}
& \text { Can } S \text { be split into two sets } \\
& \text { whose sums are the same? }
\end{aligned}
$$

- As a formal language: PARTITION =
$\{\langle S\rangle \mid S \subseteq \mathbb{N}$, and there is a way to split $S$ into two sets with the same sum. \}


## PARTITION $\in$ NPC

- We will prove that PARTITION is NPcomplete.
- To do this, we will show that
- PARTITION $\in$ NP, and
- SUBSET-SUM $\leq_{\mathrm{p}}$ PARTITION
- Sense a pattern?


## PARTITION $\in \mathbf{N P}$

- Here is a polynomial-time verifier for PARTITION:
- $V=$ "On input $\left\langle S, S_{1}, S_{2}\right\rangle$ :
- Check that $S_{1} \cup S_{2}=S$ and that $S_{1} \cap S_{2}=\varnothing$.
- Check that the sum of the elements in $S_{1}$ equals the sum of the elements in $S_{2}$.
- If so, accept; otherwise, reject."


## SUBSET-SUM $\leq_{\mathrm{p}}$ PARTITION

- We now reduce subset sum to partitioning.
- The subset sum has a solution iff
- Some subset of the master set $S$ is equal to $k$.
- We will construct our new set $S^{\prime}$ such that
- If a subset of $S$ has total $k$, we can add in a new element to make up the difference to half the total sum.
$\{137,42,271,103,154,16,3\}$


## $k=452$

Total of all elements in this set: 726

$$
\begin{aligned}
& 726-452=274 \\
& 452-274=178
\end{aligned}
$$

$\{137,42,271,103,154,16,3,178\}$

## The General Idea

- Add in a new element to the set such that a subset with the appropriate sum also forms a partition.
- The new element added in might need to go in the subset that originally added to $k$, or it might have to go in the complement of that set.


## The Story So Far



## One Final NP-Complete Problem



Given a set $J$ of jobs that take some amount of time to complete and $k$ workers, the job scheduling problem is to minimize the total time required to complete all jobs (called the makespan).

## Job Scheduling

- Given a set $J$ of jobs of different lengths, a number of workers $k$, and a number $t$, the job scheduling problem is

Can the jobs in $J$ be assigned to the $k$ workers such that all jobs are finished within $t$ units of time?

- As a formal language:

JOB-SCHEDULING =
$\{\langle J, k, t\rangle \mid$ The jobs in $J$ can be assigned to the $k$ workers so all jobs are completed within $t$ time \}

## $J O B-S C H E D U L I N G \in \mathbf{N P C}$

- We will prove that JOB-SCHEDULING is NP-complete.
- To do this, we will show that
- JOB-SCHEDULING $\in \mathbf{N P}$, and
- PARTITION $\leq_{\mathrm{p}}$ JOB-SCHEDULING


## $J O B-S C H E D U L I N G \in \mathbf{N P}$

- Here is a polynomial-time NTM for JOBSCHEDULING:
- $N=$ "On input $\langle J, k, t\rangle$ :
- Nondeterministically guess an assignment of the jobs in $J$ to the $k$ workers.
- Deterministically find the maximum amount of time used by any worker.
- If it is at most $t$, accept; otherwise, reject."


## PARTITION $\leq_{\mathrm{p}} J O B-S C H E D U L I N G$

- We now reduce partitioning to job scheduling.
- The reduction is actually straightforward:
- Given a set of numbers to partition, create one task for each number.
- Have two workers.
- See if the workers can complete the tasks in time at most half the total time required to do all jobs.


## PARTITION $\leq_{\mathrm{p}} J O B-S C H E D U L I N G$

$\{2,3,4,5,10\}$


Total time: 24


12 Time Units

## The Story So Far

 3SATINDSET


## A Historical Note



## Richard Karp. "Reducibility Among Combinatorial Problems." 1972



Richard Karp. "Reducibility Among Combinatorial Problems." 1972

## A Feel for NP-Completeness

- We have just seen NP-complete problems from
- Formal logic (3SAT)
- Graph theory (3-colorability)
- Set theory (exact cover)
- Number theory (subset sum / partition)
- Operations research (job scheduling)
- You will encounter NP-complete problems in the real world.


## Next Time

- Approximation Algorithms
- Can we approximate NP-hard problems within polynomial time?
- P, NP, and Cryptography
- How can we use hard problems to our advantage?

