Pushdown Automata

# Friday Four Square! Today at 4:15PM, Outside Gates 

## Announcements

- Problem Set 5 due right now
- Or Monday at $2: 15 \mathrm{PM}$ with a late day.
- Problem Set 6 out, due next Friday, November 9.
- Covers context-free languages, CFGs, and PDAs.
- Midterm and Problem Set 4 should be graded by Monday.


## Generation vs. Recognition

- We saw two approaches to describe regular languages:
- Build automata that accept precisely the strings in the language.
- Design regular expressions that describe precisely the strings in the language.
- Regular expressions generate all of the strings in the language.
- Useful for listing off all strings in the language.
- Finite automata recognize all of the strings in the language.
- Useful for detecting whether a specific string is in the language.


## Context-Free Languages

- Yesterday, we saw the context-free languages, which are those that can be generated by context-free grammars.
- Is there some way to build an automaton that can recognize the context-free languages?


## The Problem

- Finite automata accept precisely the regular languages.
- We may need unbounded memory to recognize context-free languages.
- e.g. $\left\{00^{n} 1^{n} \mid n \in \mathbb{N}\right\}$ requires unbounded counting.
- How do we build an automaton with finitely many states but unbounded memory?



## Adding Memory to Automata

- We can augment a finite automaton by adding in a memory device for the automaton to store extra information.
- The finite automaton now can base its transition on both the current symbol being read and values stored in memory.
- The finite automaton can issue commands to the memory device whenever it makes a transition.
- e.g. add new data, change existing data, etc.


## Stack-Based Memory

- There are many types of memory that we might give to an automaton.
- We'll see at least two this quarter.
- One of the simplest types of memory is a stack.


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## Stack-Based Memory

- Only the top of the stack is visible at any point in time.
- New symbols may be pushed onto the stack, which cover up the old stack top.
- The top symbol of the stack may be popped, exposing the symbol below it.


## Pushdown Automata

- A pushdown automaton (PDA) is a finite automaton equipped with a stack-based memory.
- Each transition
- is based on the current input symbol and the top of the stack,
- optionally pops the top of the stack, and
- optionally pushes new symbols onto the stack.
- Initially, the stack holds a special symbol $\mathbf{z}_{0}$ that indicates the bottom of the stack.


## Our First PDA

- Consider the language

$$
\begin{gathered}
L=\left\{w \in \Sigma^{*} \left\lvert\, \begin{array}{c}
w \text { is a string of balanced } \\
\text { parentheses }\}
\end{array}\right.\right.
\end{gathered}
$$

over $\Sigma=\{()$,

- We can exploit the stack to our advantage:
- Whenever we see a (, push it onto the stack.
- Whenever we see a ), pop the corresponding ( from the stack (or fail if not matched)
- When input is consumed, if the stack is empty, accept.


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- Consider the language

$$
L=\left\{w \in \Sigma^{*} \left\lvert\, \begin{array}{l}
w \text { is a string of balanced } \\
\text { digits }\}
\end{array}\right.\right.
$$

over $\Sigma=\{0,1\}$

- We can exploit the stack to our advantage:
- Whenever we see a 0 , push it onto the stack.
- Whenever we see a 1 , pop the corresponding 0 from the stack (or fail if not matched)
- When input is consumed, if the stack is empty, accept.


## A Simple Pushdown Automaton



## A Simple Pushdown Automaton

$\xrightarrow{$| $0, Z_{0}$ | $\rightarrow 0 Z_{0}$ |
| ---: | :--- |
| $0,0 \rightarrow 00$ |  |
| $1,0 \rightarrow \varepsilon$ |  |$}$

## A Simple Pushdown Automaton



## A Simple Pushdown Automaton



$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton



## A Simple Pushdown Automaton



$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton



## A Simple Pushdown Automaton



## A Simple Pushdown Automaton

$$
\begin{gathered}
0, z_{0} \rightarrow 0 z_{0} \\
0,0 \rightarrow 00 \\
1,0 \rightarrow \varepsilon
\end{gathered}
$$

To find an applicable transition, match the current input/stack pair.

A transition of the form

$$
a, b \rightarrow z
$$

Means "If the current input symbol is a and the current stack symbol is $b$, then follow this transition, pop $b$, and push the string $z$.

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$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton

$\xrightarrow{$| $\mathbf{0}, \mathbf{Z}_{\mathbf{0}} \rightarrow \mathbf{0} \mathbf{Z}_{0}$ |
| ---: | :--- |
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\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

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| :---: |
| $0,0 \rightarrow 00$ |
| $1,0 \rightarrow \varepsilon$ |$}$

> If a transition reads the top symbol of the stack, it always pops that symbol (though it might replace it)

$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton

$\xrightarrow{$| $\mathbf{0}, \mathbf{Z}_{\mathbf{0}} \rightarrow \mathbf{0} \mathbf{Z}_{0}$ |
| ---: | :--- |
| $0,0 \rightarrow 00$ |
| $1,0 \rightarrow \varepsilon$ |$}$

$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton



$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton



Each transition then pushes some (possibly empty) string back onto the stack. Notice that the leftmost symbol is pushed onto the top.

$$
0 \quad 0 \quad 0 \quad 1 \quad 1 \quad 1
$$

## A Simple Pushdown Automaton



$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton



$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton



## A Simple Pushdown Automaton



## A Simple Pushdown Automaton



## A Simple Pushdown Automaton

$$
\begin{aligned}
0, \mathrm{Z}_{0} & \rightarrow 0 \mathrm{Z}_{0} \\
0,0 & \rightarrow 00 \\
1,0 & \rightarrow \varepsilon
\end{aligned}
$$


$0 Z_{0}$

$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton



$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1 \\
\hline
\end{array}
$$

## A Simple Pushdown Automaton



$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton



## A Simple Pushdown Automaton



## A Simple Pushdown Automaton



## A Simple Pushdown Automaton

$$
\begin{aligned}
0, Z_{0} & \rightarrow 0 Z_{0} \\
0,0 & \rightarrow 00 \\
1,0 & \rightarrow \varepsilon
\end{aligned}
$$



$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton



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## A Simple Pushdown Automaton

$$
\begin{aligned}
0, Z_{0} & \rightarrow 0 Z_{0} \\
0,0 & \rightarrow 00 \\
1,0 & \rightarrow \varepsilon
\end{aligned}
$$



$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1 \\
\hline
\end{array}
$$

## A Simple Pushdown Automaton



> We now push the string $\varepsilon$ onto the stack, which adds no new characters. This essentially means "pop the stack."


## A Simple Pushdown Automaton



## A Simple Pushdown Automaton



## A Simple Pushdown Automaton

$$
\begin{aligned}
0, Z_{0} & \rightarrow 0 Z_{0} \\
0,0 & \rightarrow 00 \\
1,0 & \rightarrow \varepsilon
\end{aligned}
$$



$$
\left.\begin{array}{lll}
0 & 0 & Z_{0}
\end{array} \right\rvert\,
$$

$$
\begin{array}{lllllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton

$$
\begin{aligned}
0, Z_{0} & \rightarrow 0 Z_{0} \\
0,0 & \rightarrow 00 \\
1,0 & \rightarrow \varepsilon
\end{aligned}
$$



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\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

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\begin{aligned}
0, Z_{0} & \rightarrow 0 Z_{0} \\
0,0 & \rightarrow 00 \\
1,0 & \rightarrow \varepsilon
\end{aligned}
$$


$0 Z_{0}$

$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

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$$
\begin{aligned}
0, Z_{0} & \rightarrow 0 Z_{0} \\
0,0 & \rightarrow 00 \\
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\end{aligned}
$$


$Z_{0}$

$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton



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This transition can be taken at any time $\mathbf{z}_{0}$ is atop the stack, but we've nondeterministically guessed that this would be a good time to take it.

$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton



## A Simple Pushdown Automaton



$$
\begin{array}{llllll}
0 & 0 & 0 & 1 & 1 & 1
\end{array}
$$

## A Simple Pushdown Automaton

$\xrightarrow{$| $0, Z_{0} \rightarrow 0 Z_{0}$ |
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0,0 & \rightarrow 00 \\
1,0 & \rightarrow \varepsilon
\end{aligned}
$$


$Z_{0}$


## A Simple Pushdown Automaton



## A Simple Pushdown Automaton


$z_{0}$


## A Simple Pushdown Automaton



## A Simple Pushdown Automaton



## A Simple Pushdown Automaton

$\xrightarrow{$| $0, Z_{0}$ | $\rightarrow 0 Z_{0}$ |
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## Pushdown Automata

- Formally, a pushdown automaton is a nondeterministic machine defined by the 7-tuple ( $\mathrm{Q}, \Sigma$, $\Gamma, \delta, \mathrm{q}_{0}, \mathrm{Z}_{0}, \mathrm{~F}$ )


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> The stack alphabet allows PDAs' stacks
> to store extra information that can't otherwise be encoded by the input string.

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Each transition is based
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```
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```

We only allow a finite set of choices to be made at each point.

## Pushdown Automata

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- $\Gamma$ is the stack alphabet of symbols that can be pushed on the stack,
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- $\mathrm{Z}_{0} \in \Gamma$ is the stack start symbol


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- $\mathrm{q}_{0} \in \mathrm{Q}$ is the start state,
- $\mathrm{Z}_{0} \in \Gamma$ is the stack start symbol -

This ensures that there is
a symbol on the stack that we can use to detect whether the stack has nothing else on it.

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- $\mathrm{q}_{0} \in \mathrm{Q}$ is the start state,
- $Z_{0} \in \Gamma$ is the stack start symbol, and
- $\mathrm{F} \subseteq \mathrm{Q}$ is the set of accepting states.


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- $\mathrm{q}_{0} \in \mathrm{Q}$ is the start state,
- $Z_{0} \in \Gamma$ is the stack start symbol, and
- $\mathrm{F} \subseteq \mathrm{Q}$ is the set of accepting states.
- The automaton accepts if it ends in an accepting state with no input remaining.


## The Language of a PDA

- The language of a PDA is the set of strings that the PDA accepts:

$$
\mathscr{L}(P)=\left\{w \in \Sigma^{*} \mid P \text { accepts } w\right\}
$$

- If $P$ is a PDA where $\mathscr{L}(P)=L$, we say that $P$ recognizes $L$.


## A Note on Terminology

- Finite automata are highly standardized.
- There are many equivalent but different definitions of PDAs.
- The one we will use is a slight variant on the one described in Sipser.
- Sipser does not have a start stack symbol.
- Sipser does not allow transitions to push multiple symbols onto the stack.
- Feel free to use either this version or Sipser's; the two are equivalent to one another.


## A PDA for Palindromes

- A palindrome is a string that is the same forwards and backwards.
- Let $\Sigma=\{0,1\}$ and consider the language

$$
\text { PALINDROME }=\left\{w \in \Sigma^{*} \mid w \text { is a palindrome }\right\} .
$$

- How would we build a PDA for PALINDROME?
- Idea: Push the first half of the symbols on to the stack, then verify that the second half of the symbols match.
- Nondeterministically guess when we've read half of the symbols.
- This handles even-length strings; we'll see a cute trick to handle odd-length strings in a minute.


## A PDA for Palindromes

## A PDA for Palindromes <br> $$
0, Z_{0} \rightarrow 0 Z_{0}
$$

## A PDA for Palindromes

$$
\begin{aligned}
0, Z_{0} & \rightarrow 0 Z_{0} \\
0,0 & \rightarrow 00 \\
0,1 & \rightarrow 01
\end{aligned}
$$



## A PDA for Palindromes

$$
\begin{aligned}
0, Z_{0} & \rightarrow 0 Z_{0} \\
0,0 & \rightarrow 00 \\
0,1 & \rightarrow 01 \\
1, Z_{0} & \rightarrow 1 Z_{0} \\
1,0 & \rightarrow 10 \\
1,1 & \rightarrow 11
\end{aligned}
$$



A PDA for Palindromes

$$
\begin{aligned}
0, Z_{0} & \rightarrow 0 Z_{0} \\
0,0 & \rightarrow 00 \\
0,1 & \rightarrow 01 \\
1, Z_{0} & \rightarrow 1 Z_{0} \\
1,0 & \rightarrow 10 \\
1,1 & \rightarrow 11
\end{aligned}
$$



## A PDA for Palindromes

$$
\begin{aligned}
& 0, \varepsilon \rightarrow 0 \\
& 1, \varepsilon \rightarrow 1
\end{aligned}
$$

## A PDA for Palindromes



This transition indicates that the transition does
not pop anything from the stack. It just pushes on
a new symbol instead.

## A PDA for Palindromes

$$
\begin{aligned}
& 0, \varepsilon \rightarrow 0 \\
& 1, \varepsilon \rightarrow 1
\end{aligned}
$$

## A PDA for Palindromes

$$
\begin{aligned}
& 0, \varepsilon \rightarrow 0 \\
& 1, \varepsilon \rightarrow 1
\end{aligned}
$$

## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \boldsymbol{\Sigma}
$$

## A PDA for Palindromes



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma
$$

## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma
$$



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma
$$



This transition means "don't consume any input, don't change the top of the stack, and don't add anything to a stack. It's the equivalent of an $\varepsilon$-†ransition in an NFA.

## A PDA for Palindromes



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes


$Z_{0}$

## A PDA for Palindromes


$Z_{0}$

## A PDA for Palindromes



## $\begin{array}{llllll}0 & 1 & 1 & 1 & 1 & 0\end{array}$

## A PDA for Palindromes



## $0 \begin{array}{lllll}0 & 1 & 1 & 1 & 0\end{array}$

## A PDA for Palindromes



## $0 \begin{array}{lllll}0 & 1 & 1 & 1 & 0\end{array}$

## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes


$0 Z_{0}$

## A PDA for Palindromes



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$

$$
\varepsilon, \varepsilon \rightarrow \varepsilon
$$

$\Sigma, \varepsilon \rightarrow \varepsilon$

$\varepsilon, 7_{0} \longrightarrow \varepsilon$

## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$

$$
\varepsilon, \varepsilon \rightarrow \varepsilon
$$


$\varepsilon, Z_{0} \rightarrow \varepsilon$

## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$

$$
\xrightarrow{\substack{\varepsilon, \varepsilon \rightarrow \varepsilon \\ \Sigma, \varepsilon \rightarrow \varepsilon}}
$$

## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes



## $\begin{array}{lllll}0 & 1 & 0 & 1 & 0\end{array}$

## A PDA for Palindromes



## $\begin{array}{lllll}0 & 1 & 0 & 1 & 0\end{array}$

## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$


$0 Z_{0}$

## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes


$10 Z_{0}$

## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$


$10 Z_{0}$

## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$

$\varepsilon, \varepsilon \rightarrow \varepsilon$
$\Sigma, \varepsilon \rightarrow \varepsilon$


$$
\varepsilon, Z_{0} \rightarrow \varepsilon
$$

## A PDA for Palindromes



## A PDA for Palindromes



## $\begin{array}{lllll}0 & 1 & 0 & 1 & 0\end{array}$

## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes



## A PDA for Palindromes



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$


$Z_{0}$

## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$

$$
\varepsilon, \varepsilon \rightarrow \varepsilon
$$

$\Sigma, \varepsilon \rightarrow \varepsilon$

## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A PDA for Palindromes

$$
\Sigma, \varepsilon \rightarrow \Sigma \quad \Sigma, \Sigma \rightarrow \varepsilon
$$



## A Note on Nondeterminism

- In an NFA, we could interpret nondeterminism as being in multiple states simultaneously.
- This is only possible because NFAs have no extra storage.



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- In an NFA, we could interpret nondeterminism as being in multiple states simultaneously.
- This is only possible because NFAs have no extra storage.



## A Note on Nondeterminism

- In a PDA, if there are multiple nondeterministic choices, you cannot treat the machine as being in multiple states at once.
- Each state might have its own stack associated with it.
- Instead, there are multiple parallel copies of the machine running at once, each of which has its own stack.


## A PDA for Arithmetic

- Let $\Sigma=\{$ int, + , *, (, ) \} and consider the language
ARITH $=\left\{w \in \Sigma^{*} \mid w\right.$ is a legal arithmetic expression \}
- Examples:
- int + int * int
- ((int + int) * (int + int)) + (int)
- Can we build a PDA for ARITH?


## A PDA for Arithmetic

## A PDA for Arithmetic



## A PDA for Arithmetic



## A PDA for Arithmetic



## A PDA for Arithmetic



## A PDA for Arithmetic



## A PDA for Arithmetic



## A PDA for Arithmetic



## int + int * int

## A PDA for Arithmetic



## int + int * int

## A PDA for Arithmetic



## int + int * int

## A PDA for Arithmetic



## int + int * int

## A PDA for Arithmetic



## int + int * int

## A PDA for Arithmetic



## int + int * int

## A PDA for Arithmetic



## A PDA for Arithmetic



## int + int * int

## A PDA for Arithmetic



## int + int * int

## A PDA for Arithmetic


int + int * int

## A PDA for Arithmetic


int + int * int

## A PDA for Arithmetic



## A PDA for Arithmetic



## A PDA for Arithmetic



## int + int * int

## A PDA for Arithmetic



## int + int * int

## A PDA for Arithmetic



## int + int * int

## A PDA for Arithmetic


int + int * int

## A PDA for Arithmetic



## A PDA for Arithmetic


int + ( (int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( (int * int ) + int )

## A PDA for Arithmetic



## A PDA for Arithmetic


int + ( (int * int ) + int )

## A PDA for Arithmetic



## A PDA for Arithmetic


( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( (int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( (int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )


## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## A PDA for Arithmetic


int $+($ (int * int ) + int $)$

## A PDA for Arithmetic


int + ( ( int * int ) + int )

## Why PDAs Matter

- Recall: A language is context-free iff there is some CFG that generates it.
- Important, non-obvious theorem: A language is context-free iff there is some PDA that recognizes it.
- Need to prove two directions:
- If $L$ is context-free, then there is a PDA for it.
- If there is a PDA for $L$, then $L$ is context-free.
- Part (1) is absolutely beautiful and we'll see it in a second.
- Part (2) is brilliant, but a bit too involved for lecture (you should read this in Sipser).


## From CFGs to PDAs

- Theorem: If $G$ is a CFG for a language $L$, then there exists a PDA for $L$ as well.
- Idea: Build a PDA that simulates expanding out the CFG from the start symbol to some particular string.
- Stack holds the part of the string we haven't matched yet.


## From CFGs to PDAs

- Example: Let $\Sigma=\{1, \geq\}$ and let $G E=\left\{1^{m \geq 1} \mid m, n \in \mathbb{N} \wedge m \geq n\right\}$
- $111 \geq 11 \in G E$
- $11 \geq 11 \in G E$
- $1111 \geq 11 \in G E$
- $\geq \in G E$
- One CFG for $G E$ is the following:

$$
S \rightarrow 1 S 1|1 S| \geq
$$

- How would we build a PDA for GE?


## From CFGs to PDAs

$$
\begin{aligned}
& \mathbf{S} \rightarrow 1 \mathrm{~S} 1 \\
& \mathrm{~S} \rightarrow 1 \mathrm{~S} \\
& \mathrm{~S} \rightarrow \geq \\
& \hline
\end{aligned}
$$

## From CFGs to PDAs

$$
\begin{aligned}
& \mathrm{S} \rightarrow 1 \mathrm{~S} 1 \\
& \mathrm{~S} \rightarrow 1 \mathrm{~S} \\
& \mathrm{~S} \rightarrow \geq \\
& \hline
\end{aligned}
$$



## From CFGs to PDAs

$$
\begin{aligned}
& \mathrm{S} \rightarrow 1 \mathrm{~S} 1 \\
& \mathrm{~S} \rightarrow 1 \mathrm{~S} \\
& \mathrm{~S} \rightarrow \mathrm{Z} \\
& \hline
\end{aligned}
$$



## From CFGs to PDAs

$$
\begin{aligned}
& S \rightarrow 1 S 1 \\
& S \rightarrow 1 S \\
& S \rightarrow \geq
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow$ 1S1 |
| :--- |
| $\mathrm{S} \rightarrow$ 1S |
| $\mathrm{S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq
\end{aligned}
$$



## From CFGs to PDAs

## $S \rightarrow 1 \mathrm{~S} 1$ <br> $S \rightarrow 1 S$ <br> $\mathrm{S} \rightarrow \geq$

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq
\end{aligned}
$$



## From CFGs to PDAs

$$
\begin{aligned}
& \mathrm{S} \rightarrow 1 \mathrm{~S} 1 \\
& \mathrm{~S} \rightarrow 1 \mathrm{~S} \\
& \mathrm{~S} \rightarrow \geq \\
& \hline
\end{aligned}
$$

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

$$
\begin{aligned}
& S \rightarrow 1 S 1 \\
& S \rightarrow 1 S \\
& S \rightarrow \geq
\end{aligned}
$$



Once we have guessed the right production, this rule lets us match the next character from the input with the next terminal we produced.

## From CFGs to PDAs

$$
\begin{aligned}
& \mathrm{S} \rightarrow 1 \mathrm{~S} 1 \\
& \mathrm{~S} \rightarrow 1 \mathrm{~S} \\
& \mathrm{~S} \rightarrow \geq
\end{aligned}
$$

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

$$
\begin{aligned}
& S \rightarrow 1 S 1 \\
& S \rightarrow 1 S \\
& S \rightarrow \geq
\end{aligned}
$$

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

$$
\begin{aligned}
& S \rightarrow 1 S 1 \\
& S \rightarrow 1 S \\
& S \rightarrow \geq
\end{aligned}
$$

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$




> Now that the stack top is a nonterminal, we guess which production to use.

## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$\varepsilon, S \rightarrow 1 S$
$\varepsilon, S \rightarrow 1 S 1$
$\varepsilon, S \rightarrow \geq$
$\Sigma, \Sigma \rightarrow \varepsilon$


## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

$\mathrm{S} \rightarrow 1 \mathrm{~S} 1$
$\mathrm{~S} \rightarrow 1 \mathrm{~S}$
$\mathrm{~S} \rightarrow \geq$

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## $111 \geq 11$

## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
| $\mathrm{~S} \rightarrow \geq$ |

$$
\begin{aligned}
& \varepsilon, S \rightarrow 1 S \\
& \varepsilon, S \rightarrow 1 S 1 \\
& \varepsilon, S \rightarrow \geq \\
& \Sigma, \Sigma \rightarrow \varepsilon
\end{aligned}
$$



## From CFGs to PDAs

| $\mathrm{S} \rightarrow 1 \mathrm{~S} 1$ |
| :--- |
| $\mathrm{~S} \rightarrow 1 \mathrm{~S}$ |
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## From CFGs to PDAs

- Make three states: start, parsing, and accepting.
- There is a transition $\varepsilon, \varepsilon \rightarrow \mathbf{S}$ from start to parsing.
- Corresponds to starting off with the start symbol S.
- There is a transition $\varepsilon, \mathbf{A} \rightarrow \boldsymbol{\omega}$ from parsing to itself for each production $\mathbf{A} \rightarrow \boldsymbol{\omega}$.
- Corresponds to predicting which production to use.
- There is a transition $\Sigma, \Sigma \rightarrow \varepsilon$ from parsing to itself.
- Corresponds to matching a character of the input.
- There is a transition $\varepsilon, \mathrm{Z}_{0} \rightarrow \mathrm{Z}_{0}$ from parsing to accepting.
- Corresponds to completely matching the input.


## From CFGs to PDAs

- The PDA constructed this way is called a predict/match parser.
- Each step either predicts which production to use or matches some symbol of the input.


## From PDAs to CFGs

- The other direction of the proof (converting a PDA to a CFG) is much harder.
- Intuitively, create a CFG representing paths between states in the PDA.
- Lots of tricky details, but a marvelous proof.
- It's just too large to fit into the margins of this slide.
- Read Sipser for more details.


## Regular and Context-Free Languages

Theorem: Any regular language is context-free.

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## Refining the Context-Free Languages

## NPDAs and DPDAs

- With finite automata, we considered both deterministic (DFAs) and nondeterministic (NFAs) automata.
- So far, we've only seen nondeterministic PDAs (or NPDAs).
- What about deterministic PDAs (DPDAs)?


## DPDAs

- A deterministic pushdown automaton is a PDA with the extra property that

For each state in the PDA, and for any combination of a current input symbol and a current stack symbol, there is at most one transition defined.

- In other words, there is at most one legal sequence of transitions that can be followed for any input.
- This does not preclude $\varepsilon$-transitions, as long as there is never a conflict between following the $\varepsilon$-transition or some other transition.
- However, there can be at most one $\varepsilon$-transition that could be followed at any one time.
- This does not preclude the automaton "dying" from having no transitions defined; DPDAs can have undefined transitions.


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This does not p conflict betweer transition. does not allow the machine to "die" in some configuration. For CS103, we'll allow transitions to be missing.

Iowever, there can be at most one $\varepsilon$-transition that could be followed at any one time.

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## Is this a DPDA?



## Is this a DPDA?



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Is this a DPDA?
This $\varepsilon$-transition is allowable because no other transitions in this state use the input symbol 0

$$
\begin{gathered}
0,0 \rightarrow 00 \\
1,0 \rightarrow \varepsilon
\end{gathered}
$$



This $\varepsilon$-transition is allowable because no other transitions in this state use the stack symbol $Z_{0}$ 。

## Is this a DPDA?



## Is this a DPDA?



## $\begin{array}{llllll}0 & 1 & 0 & 0 & 1 & 1\end{array}$

## Is this a DPDA?



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## $\begin{array}{llllll}0 & 1 & 0 & 0 & 1 & 1\end{array}$

$0 Z_{0}$

## Is this a DPDA?



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\begin{gathered}
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$\varepsilon, Z_{0} \rightarrow Z_{0}$

## 0 <br> 10011

## Is this a DPDA?

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\begin{aligned}
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$$



100


## Is this a DPDA?



## 0

## Is this a DPDA?



## 0

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## $\begin{array}{llllll}0 & 1 & 0 & 0 & 1 & 1\end{array}$

## Is this a DPDA?



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## Is this a DPDA?



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## Is this a DPDA?



## Why DPDAs Matter

- Because DPDAs are deterministic, they can be simulated efficiently:
- Keep track of the top of the stack.
- Store an action/goto table that says what operations to perform on the stack and what state to enter on each input/stack pair.
- Loop over the input, processing input/stack pairs until the automaton rejects or ends in an accepting state with all input consumed.
- If we can find a DPDA for a CFL, then we can recognize strings in that language efficiently.

If we can find a DPDA for a CFL, then we can recognize strings in that language efficiently.

Can we guarantee that we can always find a DPDA for a CFL?

## The Power of Nondeterminism

- When dealing with finite automata, there is no difference in the power of NFAs and DFAs.
- However, when dealing with PDAs, there are CFLs that can be recognized by NPDAs that cannot be recognized by DPDAs.
- Simple example: The language of palindromes.
- How do you know when you've read half the string?
- NPDAs are more powerful than DPDAs.


## Deterministic CFLs

- A context-free language L is called a deterministic context-free language (DCFL) if there is some DPDA that recognizes L.
- Not all CFLs are DCFLs, though many important ones are.
- Balanced parentheses, most programming languages, etc.

```
Why are all regular
    languages DCFLs?
```

Regular Languages

DCFLs
CFLs

## Summary

- Automata can be augmented with a memory storage to increase their power.
- PDAs are finite automata equipped with a stack.
- PDAs accept precisely the context-free languages:
- Any CFG can be converted to a PDA.
- Any PDA can be converted to a CFG.
- Deterministic PDAs are strictly weaker than nondeterministic PDAs.


## Next Time

- The Limits of CFLs
- A New Pumping Lemma
- Non-Closure Properties of CFLs
- Turing Machines
- An extremely powerful computing device...
- ...that is almost impossible to program.

